

New York State Joint Commission on Public Ethics

Reform J

This proposal would amend subdivisions 1-e, 1-h, 1-i, and 1-j of article 1-a of the Legislative Law to expand the period for the retention of records by a lobbyist from a period of three years to three biennial registration periods following the biennial registration period for which the statement is filed (at least six years). It also increases the threshold for compensation and expenses that require retention of records from fifty dollars to seventy-five dollars.

Section 1. Paragraph three of subdivision (c) of section one-e of article 1-A of the legislative law is amended to read as follows:

- (3) if such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, a copy of such shall also be attached and if such retainer or employment is oral, a statement of the substance thereof; such written retainer, or if it is oral, a statement of the substance thereof, and any amendment thereto, shall be retained for a period of three **biennial registration periods following the biennial registration period for which the statement is filed** years;

Section 2. Subparagraph (v) of paragraph five of subdivision (b) of section one-h of article 1-A of the legislative law is amended to read as follows:

- (v) **compensation and** expenses of more than ~~fifty~~ **seventy-five** dollars shall be paid by check or substantiated by receipts and such checks and receipts shall be kept on file by the lobbyist for a period of three **biennial registration periods following the biennial registration period in which the bimonthly reporting period occurs** years.

Section 3. Subparagraph (v) of paragraph six of subdivision (b) of section one-i of article 1-A of the legislative law is amended to read as follows:

- (v) **compensation and** expenses of more than ~~fifty~~ **seventy-five** dollars shall be paid by check or substantiated by receipts and such checks and receipts shall be kept on file by the lobbyist for a period of three **biennial registration periods following the biennial registration period in which the bimonthly reporting period occurs** years.

Section 4. Paragraph five of subdivision (b) of section one-j of article 1-A of the legislative law is amended to read as follows:

- (5) (i) the compensation **and expenses** paid or owed to each such lobbyist, and any other expenses paid or incurred by such client for the purpose of lobbying.
- (ii) any expenses required to be reported pursuant to subparagraph (i) of this paragraph shall be listed in the aggregate if seventy-five dollars or less and if more than seventy-five dollars such expenses shall be detailed as to amount, to whom paid, and for what purpose; and where such expenses are more than seventy-five dollars on behalf of any one person, the name of such person shall be listed.
- (iii) for the purposes of this paragraph, expenses shall not include:
- (A) personal sustenance, lodging and travel disbursements of such lobbyist and client;
- (B) expenses, not in excess of five hundred dollars, directly incurred for the printing or other means of reproduction or mailing of letters, memoranda or other written communications.

- (iv) expenses paid or incurred for salaries other than that of the lobbyist shall be listed in the aggregate.
- (v) expenses of more than ~~fifty~~ **seventy-five** dollars must be paid by check or substantiated by receipts and such checks and receipts shall be kept on file by such client for a period of three **biennial registration periods beyond the biennial registration period during which the semiannual reporting period occurs** years.