



# LEGISLATIVE ETHICS COMMISSION STATE OF NEW YORK

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LOCATION: ALFRED E. SMITH STATE OFFICE BUILDING • SUITE 1401 • ALBANY, NY 12210  
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**RECEIVED**  
MAY 11 2015  
BY [Signature]  
LEG. ETHICS COMM.

## ANNUAL STATEMENT OF FINANCIAL DISCLOSURE

For Calendar Year 2014

1. Name JANE L. CORWIN
2. (a) Title of Position MEMBER  
 (b) Department, Agency or other Governmental Entity ASSEMBLY  
 (c) Address of Present Office 8180 MAIN ST. WILLIAMSVILLE NY 1422  
 (d) Office Telephone Number (716) 839-4690
3. (a) Marital Status MARRIED. If married, please give spouse's full name including maiden name where applicable.  
PHILIP A. CORWIN  
 (b) List the names of all unemancipated children.  
[Redacted]  
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 \_\_\_\_\_  
 \_\_\_\_\_

Answer each of the following questions completely, with respect to calendar year 2014, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories in Table I or Table II of this subdivision as called for in the question: A reporting individual shall indicate the Category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending the December 31st preceding the date of filing of the annual statement.

4. (a) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
DIRECTOR	GIBRALTAR INDUSTRIES, INC.	THROWAY AUTHORITY

- (b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
PRESIDENT	CORWIN HOLDINGS LLC	

5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
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NONE

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- (b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
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NONE

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6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an

ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

Self, Spouse or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract
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(In Table II)

NONE

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7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

NONE

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8. (a) If the reporting individual practices law, is licensed by the department of state as a real estate broker or agent or practices a profession licensed by the department of education; or works as a member or employee of a firm required to register pursuant to section one-e of the legislative law as a lobbyist, describe the services rendered for which compensation was paid including a general description of the principal subject areas of matters undertaken by such individual and principal duties performed. Specifically state whether the reporting individual provides services directly to clients. Additionally, if such an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation.

NOT APPLICABLE

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(b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN: If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the reporting individual

personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period for such services rendered in direct connection with:

(i) A contract in an amount totaling \$50,000 or more from the state or any state agency for services, materials, or property;

(ii) A grant of \$25,000 or more from the state or any state agency during the reporting period;

(iii) A grant obtained through a legislative initiative during the reporting period; or

(iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (i) through (iv) of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, provided, however, that a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	Nature of Services Provided
None	

(c) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of \$1,000 excluding investments in securities and interests in real property.

SEE ATTACHED

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9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of \$1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in item 10. Indicate the value and nature of each such gift.

Self, Spouse or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift (In Table I)
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NONE

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10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.

Source	Description
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NONE

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11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans

(e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Identity Category  
of Value\*  
(In Table II)

NONE

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\* The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

UNDER THE CORPORATE GOVERNANCE GUIDELINES SET FORTH BY GIBRALTAR INDUSTRIES, INC. I AM REQUIRED TO SUBMIT A LETTER OF RESIGNATION FROM MY DIRECTORSHIP FOR CONSIDERATION BY ITS BOARD OF DIRECTORS UPON SEPARATION FROM MY ASSEMBLY POSITION, AS ALL DIRECTORS WHEN THEIR OTHER EMPLOYMENT CHANGES.

(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

NONE

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13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. Each such source must be described with particularity. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the

name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse	Source	Nature	Category of Amount (In Table I)
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SEE ATTACHED

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14. List the sources of any deferred income (not retirement income) in EXCESS of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

Source	Category of Amount (In Table I)
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NONE

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15. List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

Item Assigned or Transferred	Assigned or Transferred to	Category of Value (In Table I)
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NONE

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16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last

occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

Self/ Spouse	Issuing Entity	Type of Security	Percentage of corporate stock owned or controlled (if more than 5% of pub- licly traded stock, or more than 10% if stock not publicly traded, is held)	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)
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SEE ATTACHED

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17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in

which any vested or contingent interest in EXCESS of \$1,000 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

Self/ Spouse/ Corporation	Location	Size	General Nature	Acquisition Date	Percentage of Ownership	Category of Market Value (In Table II)
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NONE

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18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

Name of Debtor	Type of Obligation, Date Due, and Nature of Collateral, if any	Category of Amount (In Table II)
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NONE

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19. List below all liabilities of the reporting individual and such individual's spouse, in EXCESS of \$10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with

a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor	Type of Liability and Collateral, if any	Category of Amount (In Table II)
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<u>MORGAN STANLEY</u>		
	<u>PERSONAL NOTE SECURED BY SPOUSE BROKERAGE ACCOUNT SECURITIES</u>	

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

 (Signature of Reporting Individual)	<u>05/11/15</u> Date (month/day/year)
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[CATEGORY OF INCOME TABLES]

TABLE I

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 350,000
Category K	\$ 350,000 to under \$ 450,000
Category L	\$ 450,000 to under \$ 550,000
Category M	\$ 550,000 to under \$ 650,000
Category N	\$ 650,000 to under \$ 750,000
Category O	\$ 750,000 to under \$ 850,000
Category P	\$ 850,000 to under \$ 950,000
Category Q	\$ 950,000 to under \$1,050,000
Category R	\$1,050,000 to under \$1,150,000
Category S	\$1,150,000 to under \$1,250,000
Category T	\$1,250,000 to under \$1,350,000
Category U	\$1,350,000 to under \$1,450,000
Category V	\$1,450,000 to under \$1,550,000
Category W	\$1,550,000 to under \$1,650,000

Category X	\$1,650,000 to under \$1,750,000
Category Y	\$1,750,000 to under \$1,850,000
Category Z	\$1,850,000 to under \$1,950,000
Category AA	\$1,950,000 to under \$2,050,000
Category BB	\$2,050,000 to under \$2,150,000
Category CC	\$2,150,000 to under \$2,250,000
Category DD	\$2,250,000 to under \$2,350,000
Category EE	\$2,350,000 to under \$2,450,000
Category FF	\$2,450,000 to under \$2,550,000
Category GG	\$2,550,000 to under \$2,650,000
Category HH	\$2,650,000 to under \$2,750,000
Category II	\$2,750,000 to under \$2,850,000
Category JJ	\$2,850,000 to under \$2,950,000
Category KK	\$2,950,000 to under \$3,050,000
Category LL	\$3,050,000 to under \$3,150,000
Category MM	\$3,150,000 to under \$3,250,000
Category NN	\$3,250,000 to under \$3,350,000
Category OO	\$3,350,000 to under \$3,450,000
Category PP	\$3,450,000 to under \$3,550,000
Category QQ	\$3,550,000 to under \$3,650,000
Category RR	\$3,650,000 to under \$3,750,000
Category SS	\$3,750,000 to under \$3,850,000
Category TT	\$3,850,000 to under \$3,950,000
Category UU	\$3,950,000 to under \$4,050,000
Category VV	\$4,050,000 to under \$4,150,000
Category WW	\$4,150,000 to under \$4,250,000
Category XX	\$4,250,000 to under \$4,350,000
Category YY	\$4,350,000 to under \$4,450,000
Category ZZ	\$4,450,000 to under \$4,550,000
Category AAA	\$4,550,000 to under \$4,650,000
Category BBB	\$4,650,000 to under \$4,750,000
Category CCC	\$4,750,000 to under \$4,850,000
Category DDD	\$4,850,000 to under \$4,950,000
Category EEE	\$4,950,000 to under \$5,050,000
Category FFF	\$5,050,000 to under \$5,150,000
Category GGG	\$5,150,000 to under \$5,250,000
Category HHH	\$5,250,000 to under \$5,350,000
Category III	\$5,350,000 to under \$5,450,000
Category JJJ	\$5,450,000 to under \$5,550,000
Category KKK	\$5,550,000 to under \$5,650,000
Category LLL	\$5,650,000 to under \$5,750,000
Category MMM	\$5,750,000 to under \$5,850,000
Category NNN	\$5,850,000 to under \$5,950,000
Category OOO	\$5,950,000 to under \$6,050,000
Category PPP	\$6,050,000 to under \$6,150,000
Category QQQ	\$6,150,000 to under \$6,250,000
Category RRR	\$6,250,000 to under \$6,350,000
Category SSS	\$6,350,000 to under \$6,450,000
Category TTT	\$6,450,000 to under \$6,550,000
Category UUU	\$6,550,000 to under \$6,650,000
Category VVV	\$6,650,000 to under \$6,750,000
Category WWW	\$6,750,000 to under \$6,850,000
Category XXX	\$6,850,000 to under \$6,950,000
Category YYY	\$6,950,000 to under \$7,050,000
Category ZZZ	\$7,050,000 to under \$7,150,000
Category AAAA	\$7,150,000 to under \$7,250,000
Category BBBB	\$7,250,000 to under \$7,350,000

Category CCCC	\$7,350,000 to under \$7,450,000
Category DDDD	\$7,450,000 to under \$7,550,000
Category EEEE	\$7,550,000 to under \$7,650,000
Category FFFF	\$7,650,000 to under \$7,750,000
Category GGGG	\$7,750,000 to under \$7,850,000
Category HHHH	\$7,850,000 to under \$7,950,000
Category IIII	\$7,950,000 to under \$8,050,000
Category JJJJ	\$8,050,000 to under \$8,150,000
Category KKKK	\$8,150,000 to under \$8,250,000
Category LLLL	\$8,250,000 to under \$8,350,000
Category MMMM	\$8,350,000 to under \$8,450,000
Category NNNN	\$8,450,000 to under \$8,550,000
Category OOOO	\$8,550,000 to under \$8,650,000
Category PPPP	\$8,650,000 to under \$8,750,000
Category QQQQ	\$8,750,000 to under \$8,850,000
Category RRRR	\$8,850,000 to under \$8,950,000
Category SSSS	\$8,950,000 to under \$9,050,000
Category TTTT	\$9,050,000 to under \$9,150,000
Category UUUU	\$9,150,000 to under \$9,250,000
Category VVVV	\$9,250,000 to under \$9,350,000
Category WWWW	\$9,350,000 to under \$9,450,000
Category XXXX	\$9,450,000 to under \$9,550,000
Category YYYY	\$9,550,000 to under \$9,650,000
Category ZZZZ	\$9,650,000 to under \$9,750,000
Category AAAAA	\$9,750,000 to under \$9,850,000
Category BBBBB	\$9,850,000 to under \$9,950,000
Category CCCCC	\$9,950,000 to under \$10,000,000
Category DDDDD	\$10,000,000 or over

TABLE II

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 500,000
Category K	\$ 500,000 to under \$ 750,000
Category L	\$ 750,000 to under \$1,000,000
Category M	\$1,000,000 to under \$1,250,000
Category N	\$1,250,000 to under \$1,500,000
Category O	\$1,500,000 to under \$1,750,000
Category P	\$1,750,000 to under \$2,000,000
Category Q	\$2,000,000 to under \$2,250,000
Category R	\$2,250,000 to under \$2,500,000
Category S	\$2,500,000 to under \$2,750,000
Category T	\$2,750,000 to under \$3,000,000
Category U	\$3,000,000 to under \$3,250,000
Category V	\$3,250,000 to under \$3,500,000
Category W	\$3,500,000 to under \$3,750,000
Category X	\$3,750,000 to under \$4,000,000
Category Y	\$4,000,000 to under \$4,250,000

Category Z	\$4,250,000 to under \$4,500,000
Category AA	\$4,500,000 to under \$4,750,000
Category BB	\$4,750,000 to under \$5,000,000
Category CC	\$5,000,000 to under \$5,250,000
Category DD	\$5,250,000 to under \$5,500,000
Category EE	\$5,500,000 to under \$5,750,000
Category FF	\$5,750,000 to under \$6,000,000
Category GG	\$6,000,000 to under \$6,250,000
Category HH	\$6,250,000 to under \$6,500,000
Category II	\$6,500,000 to under \$6,750,000
Category JJ	\$6,750,000 to under \$7,000,000
Category KK	\$7,000,000 to under \$7,250,000
Category LL	\$7,250,000 to under \$7,500,000
Category MM	\$7,500,000 to under \$7,750,000
Category NN	\$7,750,000 to under \$8,000,000
Category OO	\$8,000,000 to under \$8,250,000
Category PP	\$8,250,000 to under \$8,500,000
Category QQ	\$8,500,000 to under \$8,750,000
Category RR	\$8,750,000 to under \$9,000,000
Category SS	\$9,000,000 to under \$9,250,000
Category TT	\$9,250,000 to under \$9,500,000
Category UU	\$9,500,000 or over

Jane L. Corwin  
 Annual Statement of Financial Disclosure  
 For Calendar Year 2014  
 Question 8C

Spouse	Kinex Pharmaceuticals Inc.	701 Ellicott St. Buffalo, NY 14203	Drug Development
Spouse	Azoona, LLC	5151 Rockledge Dr Clarence, NY 14031	Digital Marketing Company
Spouse	Pharminex, LLC	6467 Main St. Williamsville, NY 14221	less than 1% in Kinex Pharmaceuticals
Joint	Corwin Holdings LLC	5151 Rockledge Dr. Clarence, NY 14031	Real Estate Partnership
Joint	Corwin Development LLC	5151 Rockledge Dr. Clarence, NY 14031	Financing Company
Joint	Benchmark Cayo Grande LLC	4053 Maple Road Amherst, NY 14226	Real Estate Partnership
Joint	Benchmark Village Green LLC	4053 Maple Road Amherst, NY 14226	Real Estate Partnership
Joint	Benchmark Richton Park Properties, LLC	4053 Maple Road Amherst, NY 14226	Real Estate Partnership



Joint	398 Central Avenue LLC	398 Central Avenue Unit 8H Hoboken NJ 07030	Real Estate Partnership
Joint	Central Summit LLC	117 Willow Street Hoboken, NJ 07030	Real Estate Partnership
Joint	Benchmark Hammock Landing LLC	4053 Maple Road Amherst, NY 14226	Real Estate Partnership



Jane L. Corwin  
Annual Statement of Financial Disclosure  
For Calendar Year 2014  
Question 13

	Source:	Nature:	Category:
Joint	Stocks and Bonds	Dividends and Interest	U
Joint	Stocks and Bonds	Capital Gains	EE
Joint	Corwin Development, LLC	Loan Interest	F
Self	Stocks and Bonds	Dividends and Interest	H
Self	Inherited IRA	Dividends and Interest	D
Self	Stocks and Bonds	Capital Gains	A
Spouse	Stocks and Bonds	Dividends and Interest	H
Spouse	Stocks and Bonds	Capital Gains	A
Self	Gibraltar Industries, Inc.	Board Meeting Fees Restricted Stock Awards	H



2014 Annual Statement of Financial Disclosure  
 Assemblywoman Jane Lewis Corwin  
 Values as of 12/31/14  
 Question 16

Self, Spouse, Joint	Description	Type of Security	Code
SELF	BANK DEPOSIT PROGRAM   MORGAN STANLEY BANK N.A.	Cash, MMF and BDP	C
SELF	BIRMINGHAM ALA GENL OBLIG SER-AAA2 / N/ACPN: 4.500% Due :	Municipal Bonds	D
SELF	BIRMINGHAM ALA GENL OBLIG SER-AAA2 / AACPN: 4.500% Due : 1:	Municipal Bonds	D
SELF	DALLAS TEX GENL OBLIGAA1 / AA+CPN: 4.500% Due : 2/15/2024 Prt	Municipal Bonds	I
SELF	ILLINOIS ST SALES TAX REVA3 / AACPN: 5.250% Due : 6/15/2034 C	Municipal Bonds	E
SELF	MARYLAND ST GENL OBLIG SER-BAAA / AACPN: 3.000% Due : 8/1/	Municipal Bonds	F
SELF	MASSACHUSETTS S OIDA1 / AA+CPN: 3.000% Due : 11/1/2028 Call	Municipal Bonds	I
SELF	NEW YORK CITY GENL OBLIG SER-CSUBSER C-1AA2 / AACPN: 4.000%	Municipal Bonds	F
SELF	NEW YORK CITY GENL OBLIG SER-LSUBSER L-1AA2 / AACPN: 4.250%	Municipal Bonds	I
SELF	NEW YORK CITY MUN WTR AU WTR &SWR SYS SECOND GEN RES RE	Municipal Bonds	E
SELF	NEW YORK CITY MUNI WTR FIN AUTH WTR & SWR SYS REV SER-AA/	Municipal Bonds	D
SELF	NEW YORK N Y CITY TRANSITIONALFIN AUTHAA1 / AACPN: 3.000%	Municipal Bonds	E
SELF	NEW YORK N Y GENL OBLIG SER-A-1N/A / N/ACPN: 5.000% Due : 8/:	Municipal Bonds	D
SELF	NEW YORK N Y GENL OBLIG SER-A-1AA2 / AACPN: 5.000% Due : 8/1	Municipal Bonds	H
SELF	NEW YORK NY CITY TRANSITIONAL FIN AUTH REV-EAA1 / AACPN: 4	Municipal Bonds	J
SELF	NEW YORK ST DORM AUTH ST PERSONAL INC TAX REV SER-CAA1 / P	Municipal Bonds	I
SELF	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV-AAA1 / AAAC	Municipal Bonds	E
SELF	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV-AAA1 / AAAC	Municipal Bonds	H
SELF	NEW YORK ST HSG FIN AGY ST PERSONAL INCOME TAX REV-AN/A / I	Municipal Bonds	D
SELF	NEW YORK ST HSG FIN AGY ST PERSONAL INCOME TAX REV-AAA1 / :	Municipal Bonds	E
SELF	NEW YORK ST THRUWAY AUTH PERSONAL INCOME TAX REV SER-AA	Municipal Bonds	E
SELF	NEWTON MA GENL OBLIG MUN PURP LNAAA / N/ACPN: 4.000% Du	Municipal Bonds	E
SELF	ONONDAGA CNTY NY GENL OBLIGAA2 / AA+CPN: 3.500% Due : 5/1/	Municipal Bonds	G
SELF	ONONDAGA CNTY NY GENL OBLIGAA2 / AA+CPN: 3.500% Due : 5/1/	Municipal Bonds	F
SELF	OYSTER BAY NY GENL OBLIG PUB IMPTN/A / BBBCPN: 3.000% Due :	Municipal Bonds	E
SELF	PALO ALTO CALIF UNI SCH DIST REFAA1 / AACPN: 4.000% Due : 8/1	Municipal Bonds	F
SELF	PENNSYLVANIA ST GENL OBLIG SECOND SERAA3 / AACPN: 4.250% D	Municipal Bonds	J
SELF	PRINCE GEORGES CNTY MD CONS PUB IMPT-AAAA / AACPN: 3.00%	Municipal Bonds	I
SELF	RICHMOND VA GENL OBLIG PUB IMPT SER-AAA2 / AA+CPN: 3.125%	Municipal Bonds	E
SELF	RICHMOND VA GENL OBLIG PUB IMPT SER-AAA2 / AA+CPN: 3.250%	Municipal Bonds	G
SELF	SAN ANTONIO TEX GENL OBLIG SER-AAAA / AACPN: 5.000% Due :	Municipal Bonds	E
SELF	SUGAR LAND TEX GEN OBLIG REFAA1 / AACPN: 4.050% Due : 2/15	Municipal Bonds	F
SELF	UNIVERSITY OF TEXAS BRD REGENTS SYS REV FING-D ID 06/01/09AF	Municipal Bonds	H
Spouse	BANK DEPOSIT PROGRAM   MORGAN STANLEY BANK N.A.	Cash, MMF and BDP	C
Spouse	COLUMBIA DIST WASHINGTON,DC INCOME TAX SECD REV-DAA1 / A	Municipal Bonds	G
Spouse	DALLAS TEX GENL OBLIGAA1 / AA+CPN: 4.500% Due : 2/15/2024 Prt	Municipal Bonds	I
Spouse	ILLINOIS ST SALES TAX REVA3 / AACPN: 5.250% Due : 6/15/2034 C	Municipal Bonds	E
Spouse	MARYLAND ST GENL OBLIG SER-BAAA / AACPN: 3.000% Due : 8/1/	Municipal Bonds	F
Spouse	MASSACHUSETTS S OIDA1 / AA+CPN: 3.000% Due : 11/1/2028 Call	Municipal Bonds	I
Spouse	NEW YORK CITY GENL OBLIG SER-CSUBSER C-1AA2 / AACPN: 4.000%	Municipal Bonds	F
Spouse	NEW YORK CITY GENL OBLIG SER-LSUBSER L-1AA2 / AACPN: 4.250%	Municipal Bonds	I
Spouse	NEW YORK CITY MUN WTR AU WTR &SWR SYS SECOND GEN RES RE	Municipal Bonds	E
Spouse	NEW YORK CITY MUNI WTR FIN AUTH WTR & SWR SYS REV SER-AA/	Municipal Bonds	D
Spouse	NEW YORK N Y CITY GENL OBLIG SER-E SUBSER E-1AA2 / AACPN: 6.:	Municipal Bonds	E
Spouse	NEW YORK N Y CITY TRANSITIONALFIN AUTHAA1 / AACPN: 3.000%	Municipal Bonds	E
Spouse	NEW YORK N Y GENL OBLIG SER-A-1N/A / N/ACPN: 5.000% Due : 8/:	Municipal Bonds	D
Spouse	NEW YORK N Y GENL OBLIG SER-A-1AA2 / AACPN: 5.000% Due : 8/1	Municipal Bonds	H
Spouse	NEW YORK NY CITY TRANSITIONAL FIN AUTH REV-EAA1 / AACPN: 4	Municipal Bonds	J
Spouse	NEW YORK ST DORM AUTH ST PERSONAL INC TAX REV SER-CAA1 / P	Municipal Bonds	I
Spouse	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV-AAA1 / AAAC	Municipal Bonds	E
Spouse	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV-AAA1 / AAAC	Municipal Bonds	H
Spouse	NEW YORK ST HSG FIN AGY ST PERSONAL INCOME TAX REV-AAA1 / :	Municipal Bonds	E
Spouse	NEW YORK ST THRUWAY AUTH PERSONAL INCOME TAX REV SER-AA	Municipal Bonds	E
Spouse	NEWTON MA GENL OBLIG MUN PURP LNAAA / N/ACPN: 4.000% Du	Municipal Bonds	E
Spouse	ONONDAGA CNTY NY GENL OBLIGAA2 / AA+CPN: 3.500% Due : 5/1/	Municipal Bonds	H
Spouse	OYSTER BAY NY GENL OBLIG PUB IMPTN/A / BBBCPN: 3.000% Due :	Municipal Bonds	E
Spouse	PALO ALTO CALIF UNI SCH DIST REFAA1 / AACPN: 4.000% Due : 8/1	Municipal Bonds	F
Spouse	PENNSYLVANIA ST GENL OBLIG SECOND SERAA3 / AACPN: 4.250% D	Municipal Bonds	J
Spouse	PRINCE GEORGES CNTY MD CONS PUB IMPT-AAAA / AACPN: 3.00%	Municipal Bonds	I
Spouse	RICHMOND VA GENL OBLIG PUB IMPT SER-AAA2 / AA+CPN: 3.125%	Municipal Bonds	E
Spouse	SAN ANTONIO TEX GENL OBLIG SER-AAAA / AACPN: 5.000% Due :	Municipal Bonds	E
Spouse	SUGAR LAND TEX GEN OBLIG REFAA1 / AACPN: 4.050% Due : 2/15	Municipal Bonds	F
Spouse	TEXAS ST TRANSN COMMN FIRST TIERAAA / AACPN: 5.000% Due :	Municipal Bonds	H
JOINT	BANK DEPOSIT PROGRAM   MORGAN STANLEY BANK N.A.	Cash, MMF and BDP	C
JOINT	MS AAA NEW YORK MUNI MNY MKT	Cash, MMF and BDP	C
JOINT	AT&T INCB+	Stocks	F
JOINT	BANK OF AMERICA CORPB-	Stocks	F
JOINT	BEXAR CNTY TEX GENL OBLIG TAX RDA1 / AA+CPN: 4.250% Due : 6	Municipal Bonds	I
JOINT	BIRMINGHAM ALA GENL OBLIG SER-AAA2 / N/ACPN: 4.500% Due :	Municipal Bonds	E
JOINT	BIRMINGHAM ALA GENL OBLIG SER-AAA2 / AACPN: 4.500% Due : 1:	Municipal Bonds	F
JOINT	BLACKROCK MUNIYIED INVESTMENT	Stocks	F
JOINT	BURLINGTON CNTY N J GEN IMPTN/A / N/ACPN: 4.000% Due : 7/15/	Municipal Bonds	D
JOINT	BURLINGTON CNTY N J GEN IMPTN/A / N/ACPN: 4.000% Due : 7/15/	Municipal Bonds	F
JOINT	BURLINGTON CNTY N J GEN IMPTAA2 / AACPN: 4.000% Due : 7/15/	Municipal Bonds	J
JOINT	BURLINGTON CNTY N J GEN IMPTAA2 / AACPN: 4.000% Due : 7/15/	Municipal Bonds	H
JOINT	BYRAM HILLS N Y CENT SCH DISTAAA / N/ACPN: 4.000% Due : 12/15	Municipal Bonds	J
JOINT	CAMBRIDGE MINN INDPT SCH DIST NO 911 SCH BLDG-AAA2 / N/AC	Municipal Bonds	J



JOINT	Cash	Cash, MMF and BOP	H
JOINT	CHICOPEE MASS GENL OBLIGAA3 / N/ACPN: 4.200% Due : 8/15/201	Municipal Bonds	J
JOINT	COHASSET MA GEN OBLIGN/A / AA+CPN: 4.000% Due : 8/1/2017 Pr	Municipal Bonds	I
JOINT	COLLIER CNTY FLA SPL OBLIG REVA2 / AA+CPN: 4.000% Due : 7/1/	Municipal Bonds	F
JOINT	COMAL TEX INDPT SCH DIST REF-APSF-GTDA3 / N/ACPN: 4.000% C	Municipal Bonds	I
JOINT	DORM AUTH NEW YORK STATE PERSONAL INC TAX REV SER-DAA1 /	Municipal Bonds	I
JOINT	EAST HAMPTON TWN NY GENL OBLIGPUB IMPTAA2 / N/ACPN: 4.25	Municipal Bonds	J
JOINT	EMPIRE ST NEW YORK DEV CORP STPERSONAL INCOME TAX REV-A-J	Municipal Bonds	H
JOINT	FLORIDA ST BRD GOVERNORS FLA ATLANTIC UNIV DORM REV REF-B	Municipal Bonds	J
JOINT	FORT WAYNE IND ECONOMIC DEV INCOME TAX RAA3 / N/ACPN: 4.2	Municipal Bonds	J
JOINT	FRAMINGHAM MASS GENL OBLIG MUNPURP LNA2 / N/ACPN: 3.37	Municipal Bonds	H
JOINT	GREEN BAY WISC CORP PURP-BAA2 / N/ACPN: 3.250% Due : 4/1/20	Municipal Bonds	K
JOINT	HARRIS CNTY TEX FLOOD CTL DISTIMPTAAA / AAACPN: 4.750% Due	Municipal Bonds	J
JOINT	HAWAII ST GENL OBLIG SER-DKAA2 / AACPN: 4.250% Due : 5/1/202	Municipal Bonds	J
JOINT	HAWAII STATE GENL OBLIG SERIES-EAA2 / AACPN: 4.000% Due : 11	Municipal Bonds	J
JOINT	HOUSTON TX PUB IMPT REF SER-AAA2 / AA+CPN: 5.000% Due : 3/1	Municipal Bonds	I
JOINT	INDIANA BD BK REV SPL PROG-DA3 / AACPN: 4.000% Due : 2/1/201	Municipal Bonds	J
JOINT	IRVING TEX CERTS OF OBLIGAAA / AAACPN: 5.000% Due : 8/15/202	Municipal Bonds	I
JOINT	ISLIP N Y GENL OBLIG PUB IMPTAA1 / AA+CPN: 3.625% Due : 7/15/2	Municipal Bonds	I
JOINT	KATY TEX INDPT SCH DIST PSF-GTD SCH BLDG SER-A ID 07-01-13AAA	Municipal Bonds	J
JOINT	KING CNTY WASH PUB HOSP DIST NO 002 EVERGREEN HLTHCARE C	Municipal Bonds	I
JOINT	LEESBURG FLA UTILS RE OIDA3 / AA-CPN: 4.375% Due : 10/1/2021	Municipal Bonds	J
JOINT	LOS ANGELES CALIF UNI SCH DISTREF SER-BAA2 / AA-CPN: 4.750% C	Municipal Bonds	H
JOINT	MARYLAND ST GENL OBLIG SER-AAAA / AAACPN: 4.000% Due : 3/1/	Municipal Bonds	J
JOINT	MARYLAND ST GENL OBLIG SER-BAAA / AAACPN: 3.000% Due : 8/1/	Municipal Bonds	J
JOINT	MARYLAND STAAA / AAACPN: 4.000% Due : 8/1/2028 Callable at \$1	Municipal Bonds	K
JOINT	MASSACHUSETTS ST PORT AUTH RE OIDA3 / AACPN: 4.100% Due :	Municipal Bonds	J
JOINT	MASSACHUSETTS ST PORT AUTH REVSER AAA3 / AACPN: 4.000% D	Municipal Bonds	H
JOINT	METRO ORE GENL OBLIG-AAAA / AAACPN: 4.000% Due : 6/1/2026 C	Municipal Bonds	I
JOINT	MICRON TECH INCC	Stocks	F
JOINT	MILLBURN TWP N J BRD ED SCHAAA / AAACPN: 4.250% Due : 7/15/	Municipal Bonds	J
JOINT	MISSISSIPPI ST GENL OBLIG SER-1AAA2 / AACPN: 4.125% Due : 5/1/	Municipal Bonds	F
JOINT	MISSISSIPPI ST GENL OBLIG SER-1CN/A / AACPN: 4.125% Due : 5/1/2	Municipal Bonds	I
JOINT	MONMOUTH CNTY N J GOAAA / AAACPN: 4.000% Due : 12/1/2016	Municipal Bonds	I
JOINT	NASSAU CO N Y GENL IMPT SER-AA2 / AACPN: 4.000% Due : 1/15/2	Municipal Bonds	I
JOINT	NEW JERSEY ST EDL FACS AUTH REV SER-EAAA / AAACPN: 4.500% D	Municipal Bonds	I
JOINT	NEW JERSEY ST VAR PURPA1 / ACNP: 5.000% Due : 6/1/2025 Prereft	Municipal Bonds	J
JOINT	NEW YORK CITY GENL OBLIG SER-ASUBSER A-1AA2 / AACPN: 4.500%	Municipal Bonds	J
JOINT	NEW YORK CITY GENL OBLIG SER-CSUBSER C-1AA2 / AACPN: 4.000%	Municipal Bonds	J
JOINT	NEW YORK CITY GENL OBLIG SER-1AA2 / AACPN: 4.000% Due : 2/1/2	Municipal Bonds	J
JOINT	NEW YORK CITY MUN WTR AU WTR &SWR SYS SECOND GEN RES RE	Municipal Bonds	J
JOINT	NEW YORK CITY MUNI WTR FIN AUTH WTR & SWR SYS REV SER-AA2	Municipal Bonds	G
JOINT	NEW YORK CITY MUNI WTR FIN AU WTR SWR SYS 2ND GENL RES RV	Municipal Bonds	F
JOINT	NEW YORK CITY NY GENL OBLIG SERIES-A SUBSERIES-A1AA2 / AACF	Municipal Bonds	H
JOINT	NEW YORK N Y CITY GENL OBLIG SER-CAA2 / AACPN: 5.000% Due : J	Municipal Bonds	I
JOINT	NEW YORK N Y GENL OBLIG SER-A-1N/A / N/ACPN: 5.000% Due : 8/	Municipal Bonds	D
JOINT	NEW YORK N Y GENL OBLIG SER-A-1AA2 / AACPN: 5.000% Due : 8/1	Municipal Bonds	I
JOINT	NEW YORK N Y GENL OBLIG-8AA2 / AACPN: 5.750% Due : 8/1/2015	Municipal Bonds	D
JOINT	NEW YORK N Y GENL OBLIG-CAA2 / N/ACPN: 5.000% Due : 8/1/2015	Municipal Bonds	E
JOINT	NEW YORK N Y GENL OBLIG-CAA2 / AACPN: 5.000% Due : 8/1/2019	Municipal Bonds	G
JOINT	NEW YORK NY GENL OBLIG SER-AN/A / N/ACPN: 5.000% Due : 8/1/2	Municipal Bonds	D
JOINT	NEW YORK NY GENL OBLIG SER-AAA2 / AACPN: 5.000% Due : 8/1/2	Municipal Bonds	J
JOINT	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV-AAA1 / AAAC	Municipal Bonds	I
JOINT	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV-AAA1 / AAAC	Municipal Bonds	I
JOINT	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV-EAA1 / AAACI	Municipal Bonds	H
JOINT	NEW YORK ST DORM AUTH ST PERSONAL INCOME TAX REV REF PUF	Municipal Bonds	J
JOINT	NEW YORK ST ENVIRONMENTAL FACSCORP SPL OBLIG REV REFN/A /	Municipal Bonds	J
JOINT	NEW YORK ST HSG FIN AGY ST PERSONAL INCOME TAX REV-AN/A / I	Municipal Bonds	D
JOINT	NEW YORK ST HSG FIN AGY ST PERSONAL INCOME TAX REV-AAA1 /	Municipal Bonds	G
JOINT	NEW YORK ST URBAN DEV CORP EMPIRE ST PRSNL INCOME TAX REV	Municipal Bonds	J
JOINT	NEWTON MA GENL OBLIG MUN PURP LNA3 / N/ACPN: 4.000% Du	Municipal Bonds	K
JOINT	NORTHWESTERN OHIO WTR & SWR DIST WTR RESOURCE REV SER E	Municipal Bonds	E
JOINT	OKLAHOMA HSG FIN AGY SINGLE FAMILY MTG REV C-1AAA / N/ACP	Municipal Bonds	F
JOINT	PENNSYLVANIA ST GENL OBLIG FIRST SERIESAA3 / AA-CPN: 5.000% I	Municipal Bonds	F
JOINT	PHOENIX ARIZ VAR PURP GO SER-AAA1 / AA+CPN: 4.500% Due : 7/1	Municipal Bonds	J
JOINT	PIMCO DYNAMIC CREDIT INC FD	Stocks	J
JOINT	RICHMOND VA GENL OBLIG PUB IMPT SER-AAA2 / AA+CPN: 3.000%	Municipal Bonds	H
JOINT	RICHMOND VA GENL OBLIG PUB IMPT SER-AAA2 / AA+CPN: 3.125%	Municipal Bonds	G
JOINT	ROYAL DUTCH SHELL PLCN/R	Stocks	F
JOINT	SAN ANTONIO TEX GENL OBLIG SER-AAAA / AAACPN: 5.000% Due :	Municipal Bonds	J
JOINT	SANGAMON CASS ETC CNTYS ILL CMNTY COLLEGE DIST NO 526 SER-	Municipal Bonds	H
JOINT	SOUTH CAROLINA ST HSG FIN & DEV AUTH MTG REV SER A-1AA1 / I	Municipal Bonds	H
JOINT	SOUTH CAROLINA ST PUB SVC AUTHREV OBLIGS-A SANTEE COOPER	Municipal Bonds	J
JOINT	SOUTH COUNTRY CENT SCH DIST N Y BROOKHAVEN SER-BA1 / N/AC	Municipal Bonds	J
JOINT	SOUTH DAKOTA ST BLDG AUTH REV-AA3 / AACPN: 4.375% Due : 9/1	Municipal Bonds	J
JOINT	SPRING LAKE PARK MINN INDPT SCH DIST NOAA2 / N/ACPN: 4.000%	Municipal Bonds	J
JOINT	SUNRISE FLA SPL TAX DIST REFA2 / AACPN: 4.700% Due : 10/1/2015	Municipal Bonds	E
JOINT	TEXAS TRANSN COMMN ST HWY FUNDFIRST TIER REV-A ID 11-01-06	Municipal Bonds	H
JOINT	TEXAS TRANSN COMMN ST HWY FUNDFIRST TIER REV-A ID 11-01-06	Municipal Bonds	F
JOINT	VAN TEX INDPT SCH DIST SCH BLDG PSF-GTDA3 / AAACPN: 4.400%	Municipal Bonds	J
JOINT	WASHINGTON ST CTF5 PART OIDA2 / N/ACPN: 3.375% Due : 7/1/2	Municipal Bonds	I
JOINT	WESTCHESTER CNTY NY GENL OBLIGSERIAL SER-CAA1 / AAACPN: 3.1	Municipal Bonds	J
JOINT	WESTCHESTER CO N Y GENL OBLIG SERIAL SER-AAA1 / AAACPN: 4.0	Municipal Bonds	J
JOINT	WESTERN ASSET HIGH YIELDN/R	Stocks	E
JOINT	WILLIAMSON CNTY TEX LTD TAX REF SER-AAA1 / AAACPN: 4.125% D	Municipal Bonds	J
SELF	DFA EMERGING MKTS CORE EQTY PORT INSTL	Mutual Funds	D



SELF	DFA INTL CORE EQTY PORT INSTL	Mutual Funds	E
SELF	DFA INTL SMALL CAP VALUE PORT INSTL	Mutual Funds	E
SELF	DFA INTL VALUE III PORT	Mutual Funds	E
SELF	DFA LARGE CAP VALUE III PORTFOLIO	Mutual Funds	E
SELF	DFA US CORE EQTY 2 PORT INSTL	Mutual Funds	G
SELF	DFA US TARGETED VALUE PORT INSTL	Mutual Funds	G
SELF	Schwab Money Market Fund	Cash, MMF and BDP	C
JOINT	DFA GLOBAL REAL ESTATE SECURITIES PORT	Mutual Fund	I
JOINT	DFA INTL CORE EQTY PORT INSTL	Mutual Fund	L
JOINT	DFA INTL VECTOR EQTY PORT	Mutual Fund	N
JOINT	DFA REAL ESTATE SECURITIES PORT INSTL	Mutual Fund	J
JOINT	DFA TA US CORE EQTY 2 PORT INSTL	Mutual Fund	J
JOINT	DFA TAX MANAGED US TARGE TED VALUE PORTFOLIO	Mutual Fund	L
JOINT	DFA T-M US MKTWE VAL II PORTFOLIO	Mutual Fund	K
JOINT	DFA US CORE EQTY 2 PORT INSTL	Mutual Fund	N
JOINT	VERICIMETRY US SM CAP VALUE FD	Mutual Fund	L
JOINT	AMERN EXPRESS BK 1.95%18 CD FDIC INS DUE 10/23/18 SALT LAKE	Fixed Income	J
JOINT	BEAUFORT CNTY SC 4%22 GO UTX DUE 03/01/22	Fixed Income	J
JOINT	CIT BANK 3.15%24 CD FDIC INS DUE 10/08/24 SALT LAKE CITY UT	Fixed Income	J
JOINT	COASTAL WTR AUTH TX 5%22 UTIL COMB DUE 12/15/22	Fixed Income	I
JOINT	COMENTY CAP BK 2.25%20 CD FDIC INS DUE 10/28/20 SALT LAKE C	Fixed Income	J
JOINT	COPPELL TX ISD 0%15 GO UTX DUE 08/15/15	Fixed Income	J
JOINT	DEKALB ETC IL CU 3.25%16 GO UTX DUE 02/01/16	Fixed Income	J
JOINT	DEL MAR TX CLG DIST 5%16 GO LTX DUE 08/15/16 NATIONAL PUBLI	Fixed Income	J
JOINT	DENTON TX 4%19 DB LTX DUE 02/15/19	Fixed Income	K
JOINT	DISCOVER BK 2.2%19 CD FDIC INS DUE 10/08/19 GREENWOOD DE	Fixed Income	J
JOINT	DISCOVER BK 3.2%24 CD FDIC INS DUE 05/21/24 GREENWOOD DE	Fixed Income	E
JOINT	ENERBANK USA 2.95%23 CD FDIC INS DUE 10/23/23 SALT LAKE CITY	Fixed Income	I
JOINT	FIRST MERCHANTS 2.25%20 CD FDIC INS DUE 09/30/20 MUNCIE IN	Fixed Income	J
JOINT	FL ST BD GVNRS I 4.25%16 EDUC PUB DUE 07/01/16 OID	Fixed Income	I
JOINT	GE CAPITAL BANK 3%23 CD FDIC INS DUE 10/03/23 SALT LAKE CITY I	Fixed Income	J
JOINT	GOLDMAN SACHS BK 2.25%19 CD FDIC INS DUE 05/16/19 NEW YOR	Fixed Income	J
JOINT	GOLDMAN SACHS BK US 3%23 CD FDIC INS DUE 10/23/23 NEW YOR	Fixed Income	J
JOINT	GREENVILLE MI PS 5%17 GO UTX DUE 05/01/17 ASSURED GUARANT	Fixed Income	I
JOINT	HARRIS CNTY TX 5%16 TRAN TOLL DUE 08/15/16 NATIONAL PUBLIC	Fixed Income	I
JOINT	KITSAP CNTY WA 5D30 5%21 GO UTX DUE 12/01/21	Fixed Income	H
JOINT	LORAIN CNTY OH 5%16 GO LTX DUE 12/01/16 NATIONAL PUBLIC FIF	Fixed Income	J
JOINT	MARIEMONT OH CTY SD 0%16 GO UTX DUE 12/01/16 ASSURED GU	Fixed Income	J
JOINT	MESQUITE TX W W/S 4%18 UTIL COMB DUE 03/01/18	Fixed Income	J
JOINT	MI ST UNIV 5%20 EDUC PUB DUE 08/15/20	Fixed Income	J
JOINT	MT VERNON IN SBC 5%17 SCH LEAS DUE 01/15/17 ASSURED GUARF	Fixed Income	H
JOINT	MT VERNON IN SBC 5%17 SCH LEAS DUE 01/15/17 ASSURED GUARF	Fixed Income	J
JOINT	NEW HAMPSHIRE ST 0%16 EDUC BLDG DUE 07/01/16	Fixed Income	J
JOINT	NYC NY 5%22 GO UTX DUE 08/01/22	Fixed Income	K
JOINT	ROUND ROCK TX 4%21 DB LTX DUE 08/15/21	Fixed Income	I
JOINT	SALLIE MAE BANK 2.65%21 CD FDIC INS DUE 10/08/21 SALT LAKE CT	Fixed Income	J
JOINT	SEATTLE WA 4%20 GO LTX DUE 10/01/20	Fixed Income	I
JOINT	STATE BK OF INDIA 3.4%24 CD FDIC INS DUE 10/17/24 NEW YORK N	Fixed Income	J
JOINT	SYNCHRONY BANK 2.65%21 CD FDIC INS DUE 08/09/21 DRAPER UT	Fixed Income	J
JOINT	SYNCHRONY BANK 2.65%21 CD FDIC INS DUE 08/09/21 DRAPER UT	Fixed Income	H
JOINT	UNIV MN 5%18 EDUC PUB DUE 12/01/18	Fixed Income	J
JOINT	WEST SPRINGFIELD MA 4%23 GO LTX DUE 09/15/23	Fixed Income	I
JOINT	WESTVIEW ETC SBC IN 5%15 SCH LEAS DUE 07/15/15 NATIONAL PU	Fixed Income	K
JOINT	ZACHARY LA CMTY SD # 3%17 GO UTX DUE 03/01/17	Fixed Income	J
JOINT	ZIONSVILLE IN CSBC 5%15 SCH LEAS DUE 07/15/15 ASSURED GUARF	Fixed Income	I
JOINT	ZIONSVILLE IN CSBC 5XXX PARTIAL CALL @PAR EFF: 01/15/15	Fixed Income	I
JOINT	Schwab Money Market Fund	Cash, MMF and BDP	I
SELF	DFA EMERGING MKTS PORT INSTL	Mutual Fund	D
SELF	DFA EMERGING MKTS VALUE PORT INSTL	Mutual Fund	D
SELF	DFA INTL SMALL CAP VALUE PORT INSTL	Mutual Fund	G
SELF	DFA INTL SMALL CO PORT INSTL	Mutual Fund	E
SELF	DFA INTL VALUE III PORT	Mutual Fund	H
SELF	DFA LARGE CAP VALUE III PORTFOLIO	Mutual Fund	H
SELF	DFA US LARGE CO PORTFOLIO	Mutual Fund	H
SELF	DFA US MICRO CAP PORT INSTL	Mutual Fund	F
SELF	DFA US SMALL CAP VALUE PORT INSTL	Mutual Fund	I
SELF	DODGE & COX STOCK FUND	Mutual Fund	D
JOINT	BANK OF AMERICA CORP	Stocks	D
JOINT	BECTON DICKINSON & CO	Stocks	D
JOINT	BOEING CO	Stocks	D
JOINT	CHEVRON CORPORATION	Stocks	D
JOINT	E O G RESOURCES INC	Stocks	D
JOINT	FLUOR CORPORATION NEW	Stocks	D
JOINT	GENERAL MOTORS CO	Stocks	D
JOINT	GILEAD SCIENCES INC	Stocks	D
JOINT	GOODYEAR TIRE & RUBBER	Stocks	D
JOINT	GOOGLE INC CL C NON VOTG NON VOTING SHARES	Stocks	D
JOINT	M & T BANK CORPORATION	Stocks	D
JOINT	MACYS INC	Stocks	D
JOINT	MICRON TECHNOLOGY INC	Stocks	D
JOINT	NATIONAL FUEL GAS CO	Stocks	D
JOINT	OCCIDENTAL PETE CORP	Stocks	D
JOINT	ROYAL CARIBBEAN CRUISESF	Stocks	D
JOINT	ROYAL DUTCH SHELL A ADRF SPONSORED ADR 1 ADR REPS 2 CL A OI	Stocks	D
JOINT	SCHLUMBERGER LTD F	Stocks	D
JOINT	ISHARES MSCI EMRG MKT FD EMERGING MARKETS ETF	ETFs & Closed End Funds	D

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JOINT	SECTOR SPDR FINCL SELECT SHARES OF BENEFICIAL INT	ETFs & Closed End Funds	D
JOINT	VANGUARD FTSE EUROPE ETF	ETFs & Closed End Funds	D
Spouse	POWERSHARES DB G10 CRNCY HARVEST FUND	ETFs & Closed End Funds	F
Spouse	BRIDGEWAY OMNI SM CAP VALUE FD	Mutual Fund	E
Spouse	DFA EMERGING MKTS PORT INSTL	Mutual Fund	E
Spouse	DFA EMERGING MKTS VALUE PORT INSTL	Mutual Fund	E
Spouse	DFA FIVE YEAR GLBL FIXED INCM PORT INSTL	Mutual Fund	G
Spouse	DFA INTERM GOVT FIXED INCM PORT INSTL	Mutual Fund	F
Spouse	DFA INTL REAL ESTATE SECURITIES PORT INSTL	Mutual Fund	D
Spouse	DFA INTL SMALL CAP VALUE PORT INSTL	Mutual Fund	E
Spouse	DFA INTL SMALL CO PORT INSTL	Mutual Fund	E
Spouse	DFA INTL VALUE III PORT	Mutual Fund	E
Spouse	DFA LARGE CAP INTL PORT INSTL	Mutual Fund	E
Spouse	DFA LARGE CAP VALUE III PORTFOLIO	Mutual Fund	F
Spouse	DFA REAL ESTATE SECURITIES PORT INSTL	Mutual Fund	D
Spouse	DFA SHORT TERM EXTENDED QUALITY PORT INSTL	Mutual Fund	E
Spouse	DFA SHORT TERM GOVT PORT INSTL	Mutual Fund	E
Spouse	DFA US LARGE CO PORTFOLIO	Mutual Fund	F
Spouse	DFA US MICRO CAP PORT INSTL	Mutual Fund	F
Spouse	VERICIMETRY US SM CAP VALUE FD	Mutual Fund	E
Spouse	Schwab Money Market Fund	Cash and Money Market	C
JOINT	Cash	Cash and Money Market	C
JOINT	MS New York Muni Money Market	Cash and Money Market	J
JOINT	3M	Stocks	E
JOINT	Activas	Stocks	F
JOINT	AIA Group LTD Spon ADR	Stocks	G
JOINT	Air Liquide	Stocks	F
JOINT	Air Methods Corp	Stocks	C
JOINT	Akamai Technologies Inc	Stocks	D
JOINT	AKBANK Turk Anonim Sirketi adr	Stocks	C
JOINT	Allianz SE ADS	Stocks	F
JOINT	AmBev ADR	Stocks	C
JOINT	AMC Networks Inc	Stocks	D
JOINT	American Campus Communities	Stocks	E
JOINT	American Express	Stocks	E
JOINT	American Tower Reit	Stocks	E
JOINT	Amgen Inc.	Stocks	D
JOINT	Andarko Petroleum	Stocks	D
JOINT	Anheuser Busch Invex ADR	Stocks	G
JOINT	Ansys Inc.	Stocks	E
JOINT	AptarGroup Inc.	Stocks	E
JOINT	ARM Holdings PLC	Stocks	E
JOINT	Atlas CopCo AS a ADR	Stocks	E
JOINT	Autodesk Inc	Stocks	E
JOINT	Automatic Data Processing	Stocks	E
JOINT	Baidu Inc	Stocks	F
JOINT	Banco Bilbao	Stocks	E
JOINT	Banco Do Brasil	Stocks	C
JOINT	Banco Marco	Stocks	D
JOINT	BMW	Stocks	E
JOINT	BB Seguridade	Stocks	C
JOINT	Bidvest Group	Stocks	D
JOINT	Blogen	Stocks	E
JOINT	Blackbaud	Stocks	E
JOINT	Broadcom Corp	Stocks	E
JOINT	Bunge LTD	Stocks	E
JOINT	Cablevision Systems Corp	Stocks	E
JOINT	Canadian National Railway	Stocks	F
JOINT	Cardtronics	Stocks	D
JOINT	Cepheid	Stocks	D
JOINT	CHEVRON CORPORATION	Stocks	E
JOINT	China Construction Bank Corp	Stocks	E
JOINT	China Mobile LTD	Stocks	C
JOINT	China Shenhua Energy LTD	Stocks	D
JOINT	Cielo Sa Sponsored ADR	Stocks	C
JOINT	Citrix Systems	Stocks	D
JOINT	Cleco Corp	Stocks	E
JOINT	Clicks Group	Stocks	D
JOINT	Cognex Corp	Stocks	D
JOINT	Comcast Corp	Stocks	H
JOINT	Commercial Intl Bank	Stocks	D
JOINT	Companhia Energy DE Min SP ADR	Stocks	D
JOINT	Compass Minerals Inter Inc.	Stocks	E
JOINT	Corporate Exec Board Co	Stocks	E
JOINT	Costar Group	Stocks	E
JOINT	Costco Wholesale Corp	Stocks	D
JOINT	Cree Research Inc.	Stocks	D
JOINT	CSL LTD	Stocks	E
JOINT	Cubist Pharmaceuticals Inc.	Stocks	E
JOINT	Dasault Systems SA	Stocks	F
JOINT	DBS Group Holdings	Stocks	E
JOINT	DeVry Education	Stocks	E
JOINT	Dolby CLA A Com Stk	Stocks	D
JOINT	Dorman Products Inc	Stocks	D
JOINT	DuPont El De Nemours & Co	Stocks	D
JOINT	Eaton Corp PLC	Stocks	D



JOINT	Ecolab	Stocks	D
JOINT	Exponent Inc.	Stocks	E
JOINT	Exxon Mobil Corp	Stocks	E
JOINT	FS Networks Inc	Stocks	E
JOINT	Fanuc Corp	Stocks	F
JOINT	First Republic Bank	Stocks	E
JOINT	FLUOR CORPORATION NEW	Stocks	C
JOINT	Freeport McMoran Inc	Stocks	C
JOINT	Fresenius Medical Care AG&O	Stocks	E
JOINT	Fuchs Petrolub	Stocks	D
JOINT	Gartner Inc	Stocks	E
JOINT	General Electric	Stocks	E
JOINT	Glacier Bancorp	Stocks	E
JOINT	Group 1 Automotive Inc	Stocks	E
JOINT	Harman Intl Inds	Stocks	C
JOINT	Heartland Express	Stocks	E
JOINT	Hibbett Sports Inc	Stocks	D
JOINT	Home Depot Inc	Stocks	E
JOINT	Hong King Exchanges & Clearing	Stocks	E
JOINT	Iberia Bank Corp	Stocks	D
JOINT	ICICI Bank LTD	Stocks	F
JOINT	ICU Medical	Stocks	D
JOINT	Immunogen Inc	Stocks	D
JOINT	Imperial Holdings LTD	Stocks	D
JOINT	Imperial Oil LTD	Stocks	E
JOINT	Intel Corp	Stocks	E
JOINT	International Paper	Stocks	D
JOINT	Isis Pharm Inc	Stocks	D
JOINT	Itau Unibanco Multiple	Stocks	E
JOINT	JGC Corp	Stocks	D
JOINT	JNJ	Stocks	E
JOINT	Kapstone Paper	Stocks	E
JOINT	KB Financial Group	Stocks	C
JOINT	Kimberly Clark Corp	Stocks	E
JOINT	KOC Hldg As	Stocks	E
JOINT	Loreal Co ADR	Stocks	F
JOINT	L-3 Communications	Stocks	F
JOINT	Liberty Broadband Corp S-A (LBRDA)	Stocks	C
JOINT	Liberty Broadband Corp S-A (LBRDK)	Stocks	C
JOINT	Liberty Inter Co Venture Ser A	Stocks	C
JOINT	Liberty Interactive Co Inter A	Stocks	E
JOINT	Liberty Media Corp Ser A	Stocks	C
JOINT	Liberty Media Corp Ser C	Stocks	D
JOINT	Lincoln Electric Hldgs Inc	Stocks	D
JOINT	Linde AG	Stocks	E
JOINT	Localiza Rent a car	Stocks	C
JOINT	LVMH Moet Hennessy Louis Vuitt	Stocks	E
JOINT	Manning and Napier	Stocks	C
JOINT	Marketaxess Holdings	Stocks	E
JOINT	Mednax Inc	Stocks	E
JOINT	Merck and Co	Stocks	E
JOINT	MetLife	Stocks	E
JOINT	Microsoft	Stocks	E
JOINT	Mid Amer Apart Comm Inc	Stocks	E
JOINT	Middleby Corp Del	Stocks	E
JOINT	Mitsubishi Est ADR	Stocks	C
JOINT	Mobile Mini Inc	Stocks	D
JOINT	Monotaro Co LTD	Stocks	D
JOINT	MTN GRP Ltd	Stocks	E
JOINT	Nat Grocers By Vita Cttg Inc	Stocks	D
JOINT	National Health Investors Inc	Stocks	E
JOINT	National Oilwell Varco Inc	Stocks	C
JOINT	Nedbank Group Ltd	Stocks	D
JOINT	Nestle	Stocks	H
JOINT	Netease.com Inc	Stocks	E
JOINT	Newell Rubbermaid Inc	Stocks	E
JOINT	Nextera Energy Inc	Stocks	D
JOINT	Nordson CP	Stocks	D
JOINT	Novo Nordisk	Stocks	E
JOINT	Now Inc	Stocks	D
JOINT	Nuance Communications Inc	Stocks	C
JOINT	Nucor Corporation	Stocks	D
JOINT	Occidental Petroleum Corp	Stocks	C
JOINT	Oil Co Lukoil	Stocks	C
JOINT	Oracle	Stocks	D
JOINT	Pall Corporation	Stocks	E
JOINT	Pentair PLC	Stocks	D
JOINT	Pepsico	Stocks	D
JOINT	Phillippine LG Dist Tel Spn ADR	Stocks	C
JOINT	Pier 1 Imports	Stocks	C
JOINT	Power Integrations Inc	Stocks	C
JOINT	PPC Limited Unspn ADR	Stocks	C
JOINT	PPG Industries Inc	Stocks	D
JOINT	Praxair Inc	Stocks	E
JOINT	Pricesmart Inc	Stocks	E
JOINT	ProAssurance CP	Stocks	E



JOINT	Proctor and Gamble	Stocks	E
JOINT	Proto Labs	Stocks	D
JOINT	PT Astra International TBK Adr	Stocks	C
JOINT	PT BK Mandiri Persero TBK UNSP	Stocks	C
JOINT	PT Telekomunikasi Indonesia	Stocks	E
JOINT	PT United Tractors adr	Stocks	C
JOINT	Qualcomm	Stocks	D
JOINT	Questar Corp	Stocks	E
JOINT	RPM Inc	Stocks	F
JOINT	Raytheon Co	Stocks	E
JOINT	RBC Bearings Inc	Stocks	E
JOINT	Ritchie Brothers Auctioneers	Stocks	E
JOINT	Roche Holdings ADR	Stocks	F
JOINT	Ryland Group Inc	Stocks	E
JOINT	Sandisk Corp	Stocks	E
JOINT	SanLam Ltd ADR	Stocks	D
JOINT	SAP AG	Stocks	E
JOINT	Sasol Ltd Spon ADR	Stocks	D
JOINT	SCHLUMBERGER LTD	Stocks	F
JOINT	Schneider Elec SA Unsp ADR	Stocks	E
JOINT	Seagate Technology PLC	Stocks	F
JOINT	Shinhan Financial Group Co Ltd	Stocks	C
JOINT	Shire PLC ADR	Stocks	E
JOINT	Shoprite Hldgs Ltd	Stocks	D
JOINT	Signature Bank	Stocks	E
JOINT	Solera Hldg Inc	Stocks	C
JOINT	Sonova Hldg AG UNSP ADR	Stocks	E
JOINT	Spectra Energy Corp	Stocks	E
JOINT	SS&C Technologies	Stocks	E
JOINT	Standard Bank Group LTD	Stocks	D
JOINT	Starz Series A	Stocks	D
JOINT	Steris Corporation	Stocks	E
JOINT	Superior Energy Services Inc	Stocks	C
JOINT	SVB FNCL GRP	Stocks	E
JOINT	Svenska Handelsbanken AB ADR	Stocks	E
JOINT	Swatch Group AG ADR	Stocks	E
JOINT	Symrise AG Unspns ADR	Stocks	E
JOINT	Symex Corp Unspns ADR	Stocks	F
JOINT	Taiwan Smcndctr Mfg Co Ltd ADR	Stocks	H
JOINT	TE Connectivity LTD New	Stocks	E
JOINT	Texas Instruments	Stocks	D
JOINT	The ADT Corp	Stocks	D
JOINT	Tiger Brands LTD Spon ADR New	Stocks	D
JOINT	Time Warner Inc	Stocks	E
JOINT	Toro Co	Stocks	E
JOINT	Tractor Supply Co.	Stocks	E
JOINT	Travelers Co. Inc	Stocks	E
JOINT	Tupperware Brands Corp	Stocks	C
JOINT	Turkcell Iletism HIZM AS New	Stocks	D
JOINT	Turkiye Garanti Bankasi A S	Stocks	D
JOINT	Tyco International	Stocks	E
JOINT	Tyler Technologies	Stocks	E
JOINT	Umpqua Holdings Corp	Stocks	D
JOINT	Unicharm Corp	Stocks	F
JOINT	Unilever PLC	Stocks	E
JOINT	Union Pacific Corp	Stocks	E
JOINT	United Parcel Service	Stocks	E
JOINT	United Technologies Corp	Stocks	E
JOINT	United Health Group	Stocks	G
JOINT	Urban Outfitters Inc	Stocks	D
JOINT	Verizon Communications	Stocks	E
JOINT	Vertex Pharmaceuticals	Stocks	E
JOINT	Vodacom Group Limited	Stocks	D
JOINT	WABTEC	Stocks	E
JOINT	Wal Mart Stores Inc	Stocks	E
JOINT	Walt Disney Co HLDG Co	Stocks	E
JOINT	Waste Mgmt Inc	Stocks	D
JOINT	Waters Corp	Stocks	E
JOINT	Weatherford International	Stocks	C
JOINT	Weichai PWR Co Ltd ADR	Stocks	D
JOINT	West Pharmaceutical Svcs	Stocks	E
JOINT	Weyerhaeuser Co	Stocks	D
JOINT	Wisconsin Energy Corp	Stocks	E
JOINT	Woolworths Holdings	Stocks	C
JOINT	WPP PLC Spon	Stocks	F
JOINT	Wynn Macau LTD ADR	Stocks	C
JOINT	XINYI Glass Holdings ltd	Stocks	C
JOINT	YPF Sociedad ADS Rep 1	Stocks	C
JOINT	Zebra Tech CL-A	Stocks	D
JOINT	Ishares Core US Aggregate	Exchange Traded Funds	L
JOINT	Ishares Core US Growth ETF	Exchange Traded Funds	I
JOINT	SPDR Trust Series 1	Exchange Traded Funds	K
JOINT	Vanguard Extended Mkt ETF	Exchange Traded Funds	J
JOINT	Vanguard FTSE Developed Mkts E	Exchange Traded Funds	I
JOINT	Vanguard FTSE Emerging Mkts	Exchange Traded Funds	J
JOINT	Goldman Sachs Multi Mgr ALT 1	Other Mutual Funds	D







TABLE II

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 500,000
Category K	\$ 500,000 to under \$ 750,000
Category L	\$ 750,000 to under \$1,000,000
Category M	\$1,000,000 to under \$1,250,000
Category N	\$1,250,000 to under \$1,500,000
Category O	\$1,500,000 to under \$1,750,000
Category P	\$1,750,000 to under \$2,000,000
Category Q	\$2,000,000 to under \$2,250,000
Category R	\$2,250,000 to under \$2,500,000
Category S	\$2,500,000 to under \$2,750,000
Category T	\$2,750,000 to under \$3,000,000
Category U	\$3,000,000 to under \$3,250,000
Category V	\$3,250,000 to under \$3,500,000
Category W	\$3,500,000 to under \$3,750,000
Category X	\$3,750,000 to under \$4,000,000
Category Y	\$4,000,000 to under \$4,250,000
Category Z	\$4,250,000 to under \$4,500,000
Category AA	\$4,500,000 to under \$4,750,000
Category BB	\$4,750,000 to under \$5,000,000
Category CC	\$5,000,000 to under \$5,250,000
Category DD	\$5,250,000 to under \$5,500,000
Category EE	\$5,500,000 to under \$5,750,000
Category FF	\$5,750,000 to under \$6,000,000
Category GG	\$6,000,000 to under \$6,250,000
Category HH	\$6,250,000 to under \$6,500,000
Category II.	\$6,500,000 to under \$6,750,000
Category JJ	\$6,750,000 to under \$7,000,000
Category KK	\$7,000,000 to under \$7,250,000
Category LL	\$7,250,000 to under \$7,500,000
Category MM	\$7,500,000 to under \$7,750,000
Category NN	\$7,750,000 to under \$8,000,000
Category OO	\$8,000,000 to under \$8,250,000
Category PP	\$8,250,000 to under \$8,500,000
Category QQ	\$8,500,000 to under \$8,750,000
Category RR	\$8,750,000 to under \$9,000,000
Category SS	\$9,000,000 to under \$9,250,000
Category TT	\$9,250,000 to under \$9,500,000
Category UU	\$9,500,000 or over

