

4. (a) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
	none	

- (b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
	none	

5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
----------	-----------------------------------	-------------	-----------------------------

	Attorney Law Office 1512 Castle Hill Ave, Bronx, NY, 10460; Appellate Division - 2nd Department. The office name is the Law Office of Luis R. Sepulveda		
--	---	--	--

- (b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
----------	-----------------------------------	-------------	-----------------------------

none

6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an

ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

Self, Spouse or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract
-----------------------	--	---	-----------------------------------	-------------------------------

(In Table II)

None

7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

Democratic District Leader - 87th A.D.
 Democratic County Committeemen - 87th A.D.

8. (a) If the reporting individual practices law, is licensed by the department of state as a real estate broker or agent or practices a profession licensed by the department of education, or works as a member or employee of a firm required to register pursuant to section one-e of the legislative law as a lobbyist, describe the services rendered for which compensation was paid including a general description of the principal subject areas of matters undertaken by such individual and principal duties performed. Specifically state whether the reporting individual provides services directly to clients. Additionally, if such an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation.

I am a practicing attorney with my own firm. My practice area includes civil litigation, Matrimonial law, Real estate law and administrative law. My services are provided directly to my clients, including motion practice and court appearances.

(b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN: If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the reporting individual

(c) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of \$1,000 excluding investments in securities and interests in real property.

None

9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of \$1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in item 10. Indicate the value and nature of each such gift.

Self, Spouse or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift (In Table I)
-----------------------------	------------------	---------	-------------------	--

None

10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.

Source	Description
--------	-------------

<u>The Ministry of Foreign Affairs, Republic of China Sponsored</u>	
<u>A Cultural and Economic exchange trip to the Republic of</u>	
<u>China. We visited several ministries and technical</u>	
<u>industry sites.</u>	

11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans

personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period for such services rendered in direct connection with:

(i) A contract in an amount totaling \$50,000 or more from the state or any state agency for services, materials, or property;

(ii) A grant of \$25,000 or more from the state or any state agency during the reporting period;

(iii) A grant obtained through a legislative initiative during the reporting period; or

(iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (i) through (iv) of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, provided, however, that a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	Nature of Services Provided
None	

(e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Identity	Category of Value* (In Table II)
403(B)	D
403(B) (Spouse)	C

* The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

None

(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

None

13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. Each such source must be described with particularity. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the

name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse	Source	Nature	Category of Amount (In Table I)
-----------------	--------	--------	---------------------------------------

	Law OFFICE OF Luis R. Sepúlveda	Income (Self Employed)	G
	Elizabeth Sepúlveda	Salary (Spouse)	E
	Spouse Source of Employment	Montefiore Hospital	

14. List the sources of any deferred income (not retirement income) in EXCESS of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

Source	Category of Amount (In Table I)
--------	---------------------------------------

None

15. List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

Item Assigned or Transferred	Assigned or Transferred to	Category of Value (In Table I)
---------------------------------	-------------------------------	--------------------------------------

None

16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last

occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

Self/ Spouse	Issuing Entity	Type of Security	Percentage of corporate stock owned or controlled (if more than 5% of pub- licly traded stock, or more than 10% if stock not publicly traded, is held)	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)
-----------------	-------------------	---------------------	---	--

See Attached Statements for Member and Spouse (TIAACIGFF)
See Attached Statement for Member (Primerica)

17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in

which any vested or contingent interest in EXCESS of \$1,000 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

Self/ Spouse/ Corporation	Location	Size	General Nature	Acquisition Date	Percentage of Ownership	Category of Market Value (In Table II)
None						

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

Name of Debtor	Type of Obligation, Date Due, and Nature of Collateral, if any	Category of Amount (In Table II)
None		

19. List below all liabilities of the reporting individual and such individual's spouse, in EXCESS of \$10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with

a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor	Type of Liability and Collateral, if any	Category of Amount (In Table II)
----------------------------------	---	---

None

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

John R. Sepulveda
(Signature of Reporting Individual)

5/12/15
Date (month/day/year)

[CATEGORY OF INCOME TABLES]

TABLE I

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 350,000
Category K	\$ 350,000 to under \$ 450,000
Category L	\$ 450,000 to under \$ 550,000
Category M	\$ 550,000 to under \$ 650,000
Category N	\$ 650,000 to under \$ 750,000
Category O	\$ 750,000 to under \$ 850,000
Category P	\$ 850,000 to under \$ 950,000
Category Q	\$ 950,000 to under \$1,050,000
Category R	\$1,050,000 to under \$1,150,000
Category S	\$1,150,000 to under \$1,250,000
Category T	\$1,250,000 to under \$1,350,000
Category U	\$1,350,000 to under \$1,450,000
Category V	\$1,450,000 to under \$1,550,000
Category W	\$1,550,000 to under \$1,650,000

Category X	\$1,650,000 to under \$1,750,000
Category Y	\$1,750,000 to under \$1,850,000
Category Z	\$1,850,000 to under \$1,950,000
Category AA	\$1,950,000 to under \$2,050,000
Category BB	\$2,050,000 to under \$2,150,000
Category CC	\$2,150,000 to under \$2,250,000
Category DD	\$2,250,000 to under \$2,350,000
Category EE	\$2,350,000 to under \$2,450,000
Category FF	\$2,450,000 to under \$2,550,000
Category GG	\$2,550,000 to under \$2,650,000
Category HH	\$2,650,000 to under \$2,750,000
Category II	\$2,750,000 to under \$2,850,000
Category JJ	\$2,850,000 to under \$2,950,000
Category KK	\$2,950,000 to under \$3,050,000
Category LL	\$3,050,000 to under \$3,150,000
Category MM	\$3,150,000 to under \$3,250,000
Category NN	\$3,250,000 to under \$3,350,000
Category OO	\$3,350,000 to under \$3,450,000
Category PP	\$3,450,000 to under \$3,550,000
Category QQ	\$3,550,000 to under \$3,650,000
Category RR	\$3,650,000 to under \$3,750,000
Category SS	\$3,750,000 to under \$3,850,000
Category TT	\$3,850,000 to under \$3,950,000
Category UU	\$3,950,000 to under \$4,050,000
Category VV	\$4,050,000 to under \$4,150,000
Category WW	\$4,150,000 to under \$4,250,000
Category XX	\$4,250,000 to under \$4,350,000
Category YY	\$4,350,000 to under \$4,450,000
Category ZZ	\$4,450,000 to under \$4,550,000
Category AAA	\$4,550,000 to under \$4,650,000
Category BBB	\$4,650,000 to under \$4,750,000
Category CCC	\$4,750,000 to under \$4,850,000
Category DDD	\$4,850,000 to under \$4,950,000
Category EEE	\$4,950,000 to under \$5,050,000
Category FFF	\$5,050,000 to under \$5,150,000
Category GGG	\$5,150,000 to under \$5,250,000
Category HHH	\$5,250,000 to under \$5,350,000
Category III	\$5,350,000 to under \$5,450,000
Category JJJ	\$5,450,000 to under \$5,550,000
Category KKK	\$5,550,000 to under \$5,650,000
Category LLL	\$5,650,000 to under \$5,750,000
Category MMM	\$5,750,000 to under \$5,850,000
Category NNN	\$5,850,000 to under \$5,950,000
Category OOO	\$5,950,000 to under \$6,050,000
Category PPP	\$6,050,000 to under \$6,150,000
Category QQQ	\$6,150,000 to under \$6,250,000
Category RRR	\$6,250,000 to under \$6,350,000
Category SSS	\$6,350,000 to under \$6,450,000
Category TTT	\$6,450,000 to under \$6,550,000
Category UUU	\$6,550,000 to under \$6,650,000
Category VVV	\$6,650,000 to under \$6,750,000
Category WWW	\$6,750,000 to under \$6,850,000
Category XXX	\$6,850,000 to under \$6,950,000
Category YYY	\$6,950,000 to under \$7,050,000
Category ZZZ	\$7,050,000 to under \$7,150,000
Category AAAA	\$7,150,000 to under \$7,250,000
Category BBBB	\$7,250,000 to under \$7,350,000

Category CCCC	\$7,350,000 to under \$7,450,000
Category DDDD	\$7,450,000 to under \$7,550,000
Category EEEE	\$7,550,000 to under \$7,650,000
Category FFFF	\$7,650,000 to under \$7,750,000
Category GGGG	\$7,750,000 to under \$7,850,000
Category HHHH	\$7,850,000 to under \$7,950,000
Category IIII	\$7,950,000 to under \$8,050,000
Category JJJJ	\$8,050,000 to under \$8,150,000
Category KKKK	\$8,150,000 to under \$8,250,000
Category LLLL	\$8,250,000 to under \$8,350,000
Category MMMM	\$8,350,000 to under \$8,450,000
Category NNNN	\$8,450,000 to under \$8,550,000
Category OOOO	\$8,550,000 to under \$8,650,000
Category PPPP	\$8,650,000 to under \$8,750,000
Category QQQQ	\$8,750,000 to under \$8,850,000
Category RRRR	\$8,850,000 to under \$8,950,000
Category SSSS	\$8,950,000 to under \$9,050,000
Category TTTT	\$9,050,000 to under \$9,150,000
Category UUUU	\$9,150,000 to under \$9,250,000
Category VVVV	\$9,250,000 to under \$9,350,000
Category WWWW	\$9,350,000 to under \$9,450,000
Category XXXX	\$9,450,000 to under \$9,550,000
Category YYYY	\$9,550,000 to under \$9,650,000
Category ZZZZ	\$9,650,000 to under \$9,750,000
Category AAAAA	\$9,750,000 to under \$9,850,000
Category BBBBB	\$9,850,000 to under \$9,950,000
Category CCCCC	\$9,950,000 to under \$10,000,000
Category DDDDD	\$10,000,000 or over

TABLE II

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 500,000
Category K	\$ 500,000 to under \$ 750,000
Category L	\$ 750,000 to under \$1,000,000
Category M	\$1,000,000 to under \$1,250,000
Category N	\$1,250,000 to under \$1,500,000
Category O	\$1,500,000 to under \$1,750,000
Category P	\$1,750,000 to under \$2,000,000
Category Q	\$2,000,000 to under \$2,250,000
Category R	\$2,250,000 to under \$2,500,000
Category S	\$2,500,000 to under \$2,750,000
Category T	\$2,750,000 to under \$3,000,000
Category U	\$3,000,000 to under \$3,250,000
Category V	\$3,250,000 to under \$3,500,000
Category W	\$3,500,000 to under \$3,750,000
Category X	\$3,750,000 to under \$4,000,000
Category Y	\$4,000,000 to under \$4,250,000

Category Z	\$4,250,000 to under \$4,500,000
Category AA	\$4,500,000 to under \$4,750,000
Category BB	\$4,750,000 to under \$5,000,000
Category CC	\$5,000,000 to under \$5,250,000
Category DD	\$5,250,000 to under \$5,500,000
Category EE	\$5,500,000 to under \$5,750,000
Category FF	\$5,750,000 to under \$6,000,000
Category GG	\$6,000,000 to under \$6,250,000
Category HH	\$6,250,000 to under \$6,500,000
Category II	\$6,500,000 to under \$6,750,000
Category JJ	\$6,750,000 to under \$7,000,000
Category KK	\$7,000,000 to under \$7,250,000
Category LL	\$7,250,000 to under \$7,500,000
Category MM	\$7,500,000 to under \$7,750,000
Category NN	\$7,750,000 to under \$8,000,000
Category OO	\$8,000,000 to under \$8,250,000
Category PP	\$8,250,000 to under \$8,500,000
Category QQ	\$8,500,000 to under \$8,750,000
Category RR	\$8,750,000 to under \$9,000,000
Category SS	\$9,000,000 to under \$9,250,000
Category TT	\$9,250,000 to under \$9,500,000
Category UU	\$9,500,000 or over



4400 Computer Drive
Westborough, MA 01581

Customer Service (800) 544-5445
Monday through Friday
8:00 a.m. - 8:00 p.m. ET
www.shareholder.primerica.com

PFS INVESTMENTS CUSTODIAN FOR THE IRA OF
LUIS R SEPULVEDA

Español (800) 544-7278
TDD (800) 824-1721

ACCOUNT NUMBER: [REDACTED]
ACCOUNT ACTIVITY TRANSCRIPT
Period: January 1, 2000 - May 12, 2015

DATE	TRANSACTION	DOLLAR AMOUNT	PRICE	SHARES	CUMULATIVE SHARES
Van Kampen Strategic Growth Fund Class A - ACEGX					
2000 ACTIVITY					
01/01/2000	2000 Beginning Balance	\$4,025.49	\$87.37	46.074	46.074
06/21/2000	IRA Custodian Fee Billed	(\$15.00)	\$101.87	(0.147)	45.927
12/15/2000	Long Term Cap Gain	\$682.49	\$63.63	10.726	56.653
12/31/2000	2000 Ending Balance	\$3,556.68	\$62.78	56.653	56.653
2001 ACTIVITY					
01/01/2001	2001 Beginning Balance	\$3,556.68	\$62.78	56.653	56.653
06/12/2001	IRA Custodian Fee Billed	(\$15.00)	\$50.43	(0.297)	56.356
12/31/2001	2001 Ending Balance	\$2,384.99	\$42.32	56.356	56.356
2002 ACTIVITY					
01/01/2002	2002 Beginning Balance	\$2,384.99	\$42.32	56.356	56.356
12/27/2002	IRA Custodian Fee Billed	(\$20.00)	\$28.36	(0.705)	55.651
12/31/2002	2002 Ending Balance	\$1,572.70	\$28.26	55.651	55.651
2003 ACTIVITY					
01/01/2003	2003 Beginning Balance	\$1,572.70	\$28.26	55.651	55.651
12/26/2003	IRA Custodian Fee Billed	(\$20.00)	\$35.63	(0.561)	55.090
12/31/2003	2003 Ending Balance	\$1,990.40	\$36.13	55.090	55.090
2004 ACTIVITY					
01/01/2004	2004 Beginning Balance	\$1,990.40	\$36.13	55.090	55.090
12/23/2004	IRA Custodian Fee Billed	(\$20.00)	\$38.51	(0.519)	54.571
12/31/2004	2004 Ending Balance	\$2,109.71	\$38.66	54.571	54.571
2005 ACTIVITY					
01/01/2005	2005 Beginning Balance	\$2,109.71	\$38.66	54.571	54.571
12/23/2005	IRA Custodian Fee Billed	(\$20.00)	\$42.33	(0.472)	54.099
12/31/2005	2005 Ending Balance	\$2,252.68	\$41.64	54.099	54.099
2006 ACTIVITY					
01/01/2006	2006 Beginning Balance	\$2,252.68	\$41.64	54.099	54.099
12/22/2006	IRA Custodian Fee Billed	(\$20.00)	\$42.49	(0.471)	53.628
12/31/2006	2006 Ending Balance	\$2,291.52	\$42.73	53.628	53.628
2007 ACTIVITY					
01/01/2007	2007 Beginning Balance	\$2,291.52	\$42.73	53.628	53.628
12/28/2007	IRA Custodian Fee Billed	(\$20.00)	\$49.99	(0.400)	53.228
12/31/2007	2007 Ending Balance	\$2,648.09	\$49.75	53.228	53.228

2008 ACTIVITY

01/01/2008	2008 Beginning Balance	\$2,648.09	\$49.75	53.228	53.228
08/22/2008	Non-Taxable Fund Merger	(\$2,262.27)	\$42.50	(53.228)	0.000
12/31/2008	2008 Ending Balance	\$0.00	\$42.50	0.000	0.000

Invesco VK Capital Growth Fund Class A - ACPAX**2008 ACTIVITY**

08/22/2008	Fund Merger In	\$2,262.27	\$11.43	197.924	197.924
12/11/2008	Income Dividend Reinvestment	\$1.70	\$6.61	0.257	198.181
12/26/2008	IRA Custodian Fee Billed	(\$20.00)	\$6.57	(3.044)	195.137
12/31/2008	2008 Ending Balance	\$1,317.17	\$6.75	195.137	195.137

2009 ACTIVITY

01/01/2009	2009 Beginning Balance	\$1,317.17	\$6.75	195.137	195.137
12/28/2009	IRA Custodian Fee Billed	(\$20.00)	\$11.34	(1.764)	193.373
12/31/2009	2009 Ending Balance	\$2,171.58	\$11.23	193.373	193.373

2010 ACTIVITY

01/01/2010	2010 Beginning Balance	\$2,171.58	\$11.23	193.373	193.373
12/23/2010	IRA Custodian Fee Billed	(\$20.00)	\$13.43	(1.489)	191.884
12/31/2010	2010 Ending Balance	\$2,588.52	\$13.49	191.884	191.884

2011 ACTIVITY

01/01/2011	2011 Beginning Balance	\$2,588.52	\$13.49	191.884	191.884
05/20/2011	Non-Taxable Fund Merger	(\$2,743.94)	\$14.30	(191.884)	0.000
12/31/2011	2011 Ending Balance	\$0.00	\$14.30	0.000	0.000

Invesco American Franchise Class A - VAFAX**2011 ACTIVITY**

05/20/2011	Fund Merger In	\$2,743.94	\$12.81	214.203	214.203
12/09/2011	Long Term Cap Gain	\$26.30	\$11.32	2.323	216.526
12/23/2011	IRA Custodian Fee Billed	(\$20.00)	\$11.20	(1.786)	214.740
12/31/2011	2011 Ending Balance	\$2,396.50	\$11.16	214.740	214.740

2012 ACTIVITY

01/01/2012	2012 Beginning Balance	\$2,396.50	\$11.16	214.740	214.740
12/07/2012	Income Dividend Reinvestment	\$0.58	\$12.50	0.046	214.786
12/21/2012	IRA Custodian Fee Billed	(\$20.00)	\$12.57	(1.591)	213.195
12/31/2012	2012 Ending Balance	\$2,692.65	\$12.63	213.195	213.195

2013 ACTIVITY

01/01/2013	2013 Beginning Balance	\$2,692.65	\$12.63	213.195	213.195
06/20/2013	Income Dividend Reinvestment	\$0.43	\$13.64	0.032	213.227
12/13/2013	Income Dividend Reinvestment	\$3.39	\$16.10	0.211	213.438
12/13/2013	Long Term Cap Gain	\$145.59	\$16.10	9.043	222.481
12/20/2013	IRA Custodian Fee Billed	(\$20.00)	\$16.61	(1.204)	221.277
12/31/2013	2013 Ending Balance	\$3,741.79	\$16.91	221.277	221.277

2014 ACTIVITY

01/01/2014	2014 Beginning Balance	\$3,741.79	\$16.91	221.277	221.277
12/12/2014	Long Term Cap Gain	\$355.24	\$16.25	21.861	243.138
12/26/2014	IRA Custodian Fee Billed	(\$20.00)	\$16.88	(1.185)	241.953
12/31/2014	2014 Ending Balance	\$4,033.36	\$16.67	241.953	241.953

2015 ACTIVITY

01/01/2015	2015 Beginning Balance	\$4,033.36	\$16.67	241.953	241.953
------------	------------------------	------------	---------	---------	---------



TIAA-CREF
PO Box 5157
New York, NY 10163

Quarterly Retirement Portfolio Statement

LUIS RICHARD SEPULVEDA

January 01, 2015 - March 31, 2015

For
LUIS RICHARD SEPULVEDA

Customer Service

Website: tiaa-cref.org
Automated 24-hour Information and Personal Assistance (Español disponible) **800 842-2252**
Hearing Impaired (TTY phone users only) 800 842-2755
Monday through Friday, 8:00 a.m. - 10:00 p.m. (ET)
Saturday, 9:00 a.m. - 6:00 p.m. (ET)

To view your most current account information, go to our website at tiaa-cref.org and log-in with your user ID and password or call our 24-hour automated system.

Portfolio Summary

	This Quarter	Year-to-Date
Beginning Balance	\$13,947.19	\$13,947.19
Gain/Loss	246.18	246.18
Ending Balance ¹	\$14,193.37	\$14,193.37

¹The availability of your Ending Balance is subject to your outstanding Retirement Plan Loan(s) of \$2,880.13.

Retirement Income Projection

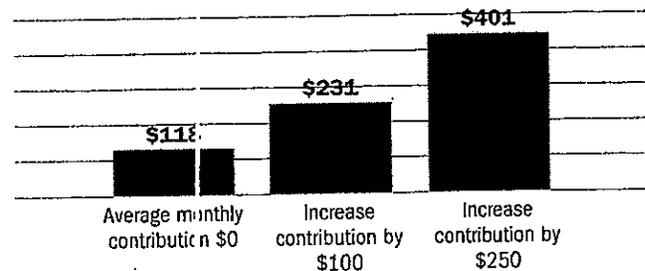
As part of your retirement savings planning, have you considered how much you need to retire? Saving a little more now can add up by the time you retire. **These charts are purely hypothetical and do not illustrate past or projected performance.**

To raise your savings rate or further personalize the retirement income projection, visit us online at tiaa-cref.org or call TIAA-CREF at 800-842-2252. Recent changes to your contribution amounts may not be reflected on this statement.

What can you expect from Retirement Income?

Average Monthly Contribution	Monthly Contribution Increased by	Sample Lifetime Retirement Monthly Income at Age 65
\$0	\$0	\$118
\$100	\$100	\$231
\$250	\$250	\$401

Example of Monthly Income at Age 65



Message Board

If you are invested in mutual funds in your retirement plans or IRAs, please review the frequent trading policy at www.tiaa-cref.org/tradingpolicy.

Please refer to the back of this statement for Glossary Terms.

TIAA-CREF Individual & Institutional Services, LLC distributes securities products. Data compiled from other entities as noted.

Quarterly Retirement Portfolio Statement

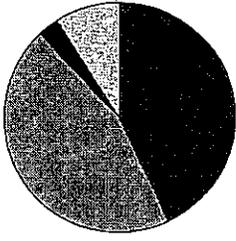
LUIS RICHARD SEPULVEDA

January 01, 2015 - March 31, 2015

Personalized Rate of Return

This Quarter	1.8%	This figure is an estimate of the performance of the assets in your retirement portfolio, as reflected on this statement, that are maintained at TIAA-CREF during the period(s) specified. Past performance is not a guarantee of future results. Please refer to the Disclosures Section for more information.
Year-to-Date	1.8%	

Asset Allocation Summary

	Current Percent	Asset Class	Current Value
	43%	Guaranteed	\$6,044.72
	45%	Equities	6,468.94
	3%	Real Estate	442.06
	9%	Fixed Income	1,237.65
	100%	Total	\$14,193.37

If you are invested in more than one asset class, the Asset Allocation percentages may not be exact due to rounding.

Activity Summary by Asset Class

Asset Class/ Investment	Beginning Balance as of 01/01/2015	Additions	Reductions	Gain/Loss	Ending Balance as of 03/31/2015
Guaranteed					
TIAA Traditional	\$5,999.84	\$0.00	\$0.00	\$44.88	\$6,044.72
Equities					
CREF Stock	\$6,300.67	\$0.00	\$0.00	\$168.27	\$6,468.94
Real Estate					
TIAA Real Estate	\$429.27	\$0.00	\$0.00	\$12.79	\$442.06
Fixed Income					
CREF Bond Market	\$1,217.41	\$0.00	\$0.00	\$20.24	\$1,237.65
Total Account Value	\$13,947.19	\$0.00	\$0.00	\$246.18	\$14,193.37

To view current performance for your specific investments, log in to your account at tiaa-cref.org or you can visit www.tiaa-cref.org/performance for general performance information.

Portfolio Breakdown

	Beginning Balance as of 01/01/2015	Ending Balance as of 03/31/2015
Plans		
MERCY COLLEGE TAX-DEFERRED ANNUITY PLAN	\$13,947.19	\$14,193.37
Annuities In Your Plans		
TIAA L75491E-1	\$6,429.11	\$6,486.78
CREF M75491E-9	7,518.08	7,706.59
Total Annuities In Your Plans	\$13,947.19	\$14,193.37

**Quarterly Retirement
Portfolio Statement**

LUIS RICHARD SEPULVEDA

January 01, 2015 - March 31, 2015

MERCY COLLEGE TAX-DEFERRED ANNUITY PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contracts (TIAA L75491E-1, CREF M75491E-9) Employee	100%	\$14,193.37
Total	100%	\$14,193.37

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 01/01/2015	# of Units/ Shares	Unit/Share Price	Ending Balance as of 03/31/2015	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional TIAA L75491E-1	\$5,999.84	n/a	n/a	\$6,044.72	n/a	n/a
Equities						
CREF Stock CREF M75491E-9	\$6,300.67	17.2418	\$365.4298	\$6,468.94	17.2418	\$375.1893
Real Estate						
TIAA Real Estate TIAA L75491E-1	\$429.27	1.2799	\$335.3928	\$442.06	1.2799	\$345.3836
Fixed Income						
CREF Bond Market CREF M75491E-9	\$1,217.41	10.7288	\$113.4709	\$1,237.65	10.7288	\$115.3578
Total Pre-Tax Investments	\$13,947.19			\$14,193.37		
Total ¹	\$13,947.19			\$14,193.37		

¹ The availability of your plan ending balance is subject to your outstanding Retirement Plan Loan(s) of \$2,880.13.

Plan Transaction Detail

There are no transactions this quarter.

*The Annuity Contracts are shown to provide you
with an alternative view of your investments with TIAA-CREF.*

ANNUITY CONTRACTS IN YOUR PLANS

Investment Summary by Contract

Contract/ Investment	Beginning Balance as of 01/01/2015	# of Units/ Shares	Unit/Share Price	Ending Balance as of 03/31/2015	# of Units/ Shares	Unit/Share Price
TIAA L75491E-1¹						
TIAA Traditional	\$5,999.84	n/a	n/a	\$6,044.72	n/a	n/a
TIAA Real Estate	429.27	1.2799	335.3928	442.06	1.2799	345.3836
Total TIAA L75491E-1	\$6,429.11			\$6,486.78		
CREF M75491E-9						
CREF Stock	\$6,300.67	17.2418	\$365.4298	\$6,468.94	17.2418	\$375.1893
CREF Bond Market	1,217.41	10.7288	113.4709	1,237.65	10.7288	115.3578
Total CREF M75491E-9	\$7,518.08			\$7,706.59		
Total	\$13,947.19			\$14,193.37		

¹ The availability of your contract balance is subject to your outstanding Retirement Plan Loan(s) of \$2,880.13.

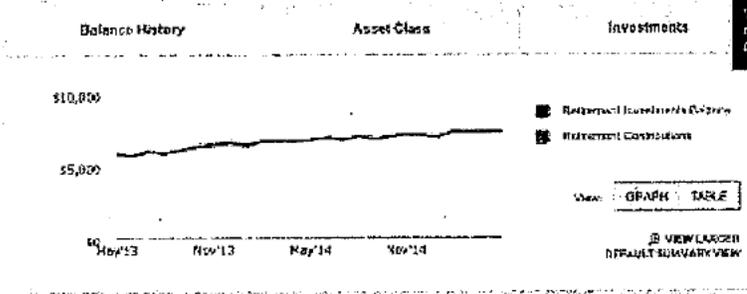
- ACCOUNT HOME
- MANAGE MY PORTFOLIO
- ADVICE & GUIDANCE
- PRODUCTS & SERVICES
- RESEARCH & PERFORMANCE

ANNOUNCEMENT:

- A change has come to College Retirement Equities Fund (CREF). Learn what it means for you. [\[Dismiss\]](#)
- Attention Illinois policyholders residing in DeKalb and Ogle Counties. You may be eligible for an extended grace period of at least an additional 60 days on premium payments. [Learn more.](#)

TOTAL PORTFOLIO BALANCE
\$7,488.71
AS OF 02/02/15

Portfolio Summary



What's newly added recently related to improve your experience. Read more

WELLS FARGO

See how we can help you.

- I Want To...
- View statements and reports
 - View pending and recent activity
 - Update my beneficiaries
 - More

My Accounts

NONNAME & REORDER ACCOUNTS

Retirement Investments **\$7,488.71**

CHANGE IN BALANCE SINCE 03/31/14
^ \$80.68

PERSONAL RATE OF RETURN **3.5%**
2/1/15 - 2/2/15

[VIEW DETAILS](#)

ACCOUNT NAME	ACTIONS	BALANCE
NYU HOSPITALS CENTER NYU HOSPITALS CENTER AND PARTICIPATING EMPLOYERS 403(B)		\$7,488.71 <small>AS OF 02/02/15</small>
NYU SCHOOL OF MEDICINE NYU SCHOOL OF MEDICINE MGMT AND STAFF 403(B) RETIREMENT PLAN	Enroll	
NYU SCHOOL OF MEDICINE NYU SCHOOL OF MEDICINE MGMT AND STAFF 403(B) RETIREMENT PLAN	Enroll	

- Recommended Resources
- TIAA-CREF Retirement Advisor. Personal and online advice
 - Women and Work: Protecting yourself
 - How our on-line advice can help you reach your goals
 - Advice & Guidance

360° Financial View
 View all your TIAA-CREF and other accounts in one place. [LEARN MORE](#)

[Go to 360°](#)



LEGISLATIVE ETHICS COMMISSION STATE OF NEW YORK

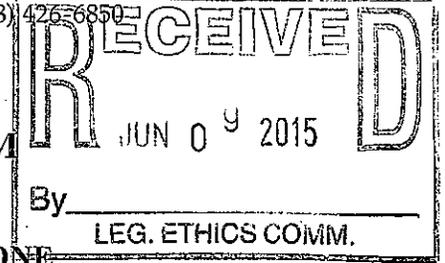
MAIL: LEGISLATIVE OFFICE BUILDING • BOX 75 • ALBANY, NY 12247
LOCATION: ALFRED E. SMITH STATE OFFICE BUILDING • SUITE 1431 • ALBANY, NY 12247
PHONE: (518) 432-7837/7838 FAX: (518) 426-6850

SENATOR ANDREW J. LANZA
SENATE CO-CHAIR

ASSEMBLY MEMBER CHARLES D. LAVINE
ASSEMBLY CO-CHAIR

LISA P. REID
EXECUTIVE DIRECTOR/COUNSEL

Question 11 AMENDMENT FORM For Calendar Year 2014



Please complete the form. If a column is not applicable specify NONE.

11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans (e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Identity	Category of Value* (In Table II)
<u>See attached statement</u>	<u>C</u>

* The value of such interest shall be reported only if reasonable ascertainable.

Name: Luis R. Sepulveda

Title of Position: Assemblyman

Address of Present Office: 1473 Westchester Avenue Bronx, NY 10462

Luis R. Sepulveda
(Signature of reporting individual)

6/9/15
Date (month/day/year)

TABLE II

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 500,000
Category K	\$ 500,000 to under \$ 750,000
Category L	\$ 750,000 to under \$1,000,000
Category M	\$1,000,000 to under \$1,250,000
Category N	\$1,250,000 to under \$1,500,000
Category O	\$1,500,000 to under \$1,750,000
Category P	\$1,750,000 to under \$2,000,000
Category Q	\$2,000,000 to under \$2,250,000
Category R	\$2,250,000 to under \$2,500,000
Category S	\$2,500,000 to under \$2,750,000
Category T	\$2,750,000 to under \$3,000,000
Category U	\$3,000,000 to under \$3,250,000
Category V	\$3,250,000 to under \$3,500,000
Category W	\$3,500,000 to under \$3,750,000
Category X	\$3,750,000 to under \$4,000,000
Category Y	\$4,000,000 to under \$4,250,000
Category Z	\$4,250,000 to under \$4,500,000
Category AA	\$4,500,000 to under \$4,750,000
Category BB	\$4,750,000 to under \$5,000,000
Category CC	\$5,000,000 to under \$5,250,000
Category DD	\$5,250,000 to under \$5,500,000
Category EE	\$5,500,000 to under \$5,750,000
Category FF	\$5,750,000 to under \$6,000,000
Category GG	\$6,000,000 to under \$6,250,000
Category HH	\$6,250,000 to under \$6,500,000
Category II	\$6,500,000 to under \$6,750,000
Category JJ	\$6,750,000 to under \$7,000,000
Category KK	\$7,000,000 to under \$7,250,000
Category LL	\$7,250,000 to under \$7,500,000
Category MM	\$7,500,000 to under \$7,750,000
Category NN	\$7,750,000 to under \$8,000,000
Category OO	\$8,000,000 to under \$8,250,000
Category PP	\$8,250,000 to under \$8,500,000
Category QQ	\$8,500,000 to under \$8,750,000
Category RR	\$8,750,000 to under \$9,000,000
Category SS	\$9,000,000 to under \$9,250,000
Category TT	\$9,250,000 to under \$9,500,000
Category UU	\$9,500,000 or over



New York State
Deferred Compensation Plan

A Plan for Your Future

Acct#: [REDACTED]

October 1, 2014 - December 31, 2014

LUIS R SEPULVEDA
[REDACTED]

Please review your statement carefully. Corrections may not be accepted more than 45 days after the closing date of the statement.

Questions? Contact Us!

HELPLINE: (800)422-8463
TTY: (800)514-2447
Website: www.nysdcp.com
Write us at: NEW YORK STATE DEFERRED
COMPENSATION PLAN
ADMINISTRATIVE SERVICE
AGENCY
P.O. BOX 182797
COLUMBUS OH 43218-2797

Need Assistance? The HELPLINE is available from 8 AM to 11 PM (ET) Monday through Friday and 9 AM to 6 PM (ET) on Saturdays, except holidays.

Activity at a Glance

	<u>457(b) Plan</u>
Balance as of 10-01-14	\$2,185.63
Deferrals	\$1,404.62
Transfers In	\$0.00
Interest/Dividend/Cap Gain/Reimb	\$121.00
Gain/Loss	-\$73.07
Withdrawals/Transfers Out/Ln Disbmts	\$0.00
Charges/Fees	-\$10.00
Balance as of 12-31-14	\$3,628.18

Total Contributions Since Joining

Plan Type	Contributions Since Joining
457(b) Plan	\$3,607.92

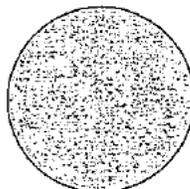
Contributions and Distributions Summary - October 1, 2014 to December 31, 2014

	<u>Deferral Rate/ Amount</u>	<u>Last Contribution Amount</u>	<u>Contributions This Period</u>	<u>Contributions Year to Date</u>	<u>Last Distribution Amount</u>	<u>Distributions Year to Date</u>
Pre-Tax Contribution	5%	\$143.97	\$1,003.29	\$2,577.06		\$0.00
Roth Contribution	2%	\$57.59	\$401.33	\$1,030.86		\$0.00

Your Asset Allocation

To the right is your Account Allocation. Your Account Allocation is how the money in your account is divided among the investment options you have selected.

Your Account Allocation



Investment Type

100% Asset Allocation	\$3,628.18
100% Total Percent/Value	\$3,628.18

For more information on contribution and account allocation, log on to WWW.NYSDCP.COM

*Percentages and totals may not be exact due to rounding.

Statement Details

Investment Option Summary

Ending Price is as of 12/31/2014

Investment Option	Pre-Tax Investment Election	Roth Investment Election	Ending Price	Units/Shares	Value
Pre-Tax Contribution					
Asset Allocation					
TRowePr Rtrmt 2025	100.00%	0.00%	15.7100	164.9607	\$2,591.53
Asset Allocation Total	100.00%	0.00%			\$2,591.53
Pre-Tax Contribution Total	100.00%	0.00%			\$2,591.53
Roth Contribution					
Asset Allocation					
TRowePr Rtrmt 2025	0.00%	100.00%	15.7100	65.9863	\$1,036.65
Asset Allocation Total	0.00%	100.00%			\$1,036.65
Roth Contribution Total	0.00%	100.00%			\$1,036.65
TOTAL					\$3,628.18

Your Account Values by Investment Option - October 1, 2014 to December 31, 2014

Investment Option	Beginning Balance	Contributions/Transfers In/Ln Paymts	Exchanges	Withdrawals/Transfers Out/Ln Disbmts	Charges/Credits/Fees	Interest/Dividend/Cap Gain/Reimb	Gain/Loss	Ending Balance
Pre-Tax Contribution								
Asset Allocation								
TRowePr Rtrmt 2025	\$1,561.15	\$1,003.29	\$0.00	\$0.00	-\$7.14	\$86.42	-\$52.19	\$2,591.53
Pre-Tax Contribution Total	\$1,561.15	\$1,003.29	\$0.00	\$0.00	-\$7.14	\$86.42	-\$52.19	\$2,591.53
Roth Contribution								
Asset Allocation								
TRowePr Rtrmt 2025	\$624.48	\$401.33	\$0.00	\$0.00	-\$2.86	\$34.58	-\$20.88	\$1,036.65
Roth Contribution Total	\$624.48	\$401.33	\$0.00	\$0.00	-\$2.86	\$34.58	-\$20.88	\$1,036.65
TOTAL	\$2,185.63	\$1,404.62	\$0.00	\$0.00	-\$10.00	\$121.00	-\$73.07	\$3,628.18

Gain/Loss is the difference between the account balance on the first and last day of the period, after allowing for the effects of transactions.

Transaction Details - October 1, 2014 to December 31, 2014

Pay Period End Date	Effective Date	Total Amount	Transaction Type	Money Source	Investment Option	Price	Units/Shares	Amount Per Fund
10/8/2014	10/8/2014	\$143.07	Deferral	Pre-Tax Contribution	TRowePr Rtrmt 2025	15.9600	8.9643	\$143.07
10/8/2014	10/8/2014	\$57.23	Deferral	Roth Contribution	TRowePr Rtrmt 2025	15.9600	3.5858	\$57.23
	10/10/2014	-\$7.14	Administrative Charge	Pre-Tax Contribution	TRowePr Rtrmt 2025	15.5400	-0.4595	-\$7.14
	10/10/2014	-\$2.86	Administrative Charge	Roth Contribution	TRowePr Rtrmt 2025	15.5400	-0.1840	-\$2.86
	10/20/2014	\$0.20	Fund Reimbursement	Pre-Tax Contribution	TRowePr Rtrmt 2025	15.6500	0.0130	\$0.20
	10/20/2014	\$0.08	Fund Reimbursement	Roth Contribution	TRowePr Rtrmt 2025	15.6500	0.0052	\$0.08
10/22/2014	10/22/2014	\$143.07	Deferral	Pre-Tax Contribution	TRowePr Rtrmt 2025	15.7700	9.0723	\$143.07
10/22/2014	10/22/2014	\$57.23	Deferral	Roth Contribution	TRowePr Rtrmt 2025	15.7700	3.6290	\$57.23

Statement Details

Transaction Details (continued)

Pay Period End Date	Effective Date	Total Amount	Transaction Type	Money Source	Investment Option	Price	Units/Shares	Amount Per Fund
11/5/2014	11/5/2014	\$143.07	Deferral	Pre-Tax Contribution	TRowePr Rtrmt 2025	16.2300	8.8152	\$143.07
11/5/2014	11/5/2014	\$57.23	Deferral	Roth Contribution	TRowePr Rtrmt 2025	16.2300	3.5262	\$57.23
	11/18/2014	\$0.24	Fund Reimbursement	Pre-Tax Contribution	TRowePr Rtrmt 2025	16.3800	0.0148	\$0.24
	11/18/2014	\$0.10	Fund Reimbursement	Roth Contribution	TRowePr Rtrmt 2025	16.3800	0.0059	\$0.10
11/19/2014	11/19/2014	\$143.07	Deferral	Pre-Tax Contribution	TRowePr Rtrmt 2025	16.3400	8.7558	\$143.07
11/19/2014	11/19/2014	\$57.23	Deferral	Roth Contribution	TRowePr Rtrmt 2025	16.3400	3.5024	\$57.23
12/3/2014	12/3/2014	\$143.07	Deferral	Pre-Tax Contribution	TRowePr Rtrmt 2025	16.4300	8.7079	\$143.07
12/3/2014	12/3/2014	\$57.23	Deferral	Roth Contribution	TRowePr Rtrmt 2025	16.4300	3.4833	\$57.23
	12/12/2014	\$0.28	Fund Reimbursement	Pre-Tax Contribution	TRowePr Rtrmt 2025	15.9800	0.0175	\$0.28
	12/12/2014	\$0.11	Fund Reimbursement	Roth Contribution	TRowePr Rtrmt 2025	15.9800	0.0070	\$0.11
12/17/2014	12/17/2014	\$143.97	Deferral	Pre-Tax Contribution	TRowePr Rtrmt 2025	15.9900	9.0038	\$143.97
12/17/2014	12/17/2014	\$57.59	Deferral	Roth Contribution	TRowePr Rtrmt 2025	15.9900	3.6016	\$57.59
	12/19/2014	\$48.11	Capital Gain	Pre-Tax Contribution	TRowePr Rtrmt 2025	15.7500	3.0548	\$48.11
	12/19/2014	\$19.25	Capital Gain	Roth Contribution	TRowePr Rtrmt 2025	15.7500	1.2220	\$19.25
	12/19/2014	\$37.59	Reinvested Dividend	Pre-Tax Contribution	TRowePr Rtrmt 2025	15.7500	2.3866	\$37.59
	12/19/2014	\$15.04	Reinvested Dividend	Roth Contribution	TRowePr Rtrmt 2025	15.7500	0.9547	\$15.04
12/31/2014	12/31/2014	\$143.97	Deferral	Pre-Tax Contribution	TRowePr Rtrmt 2025	15.7100	9.1642	\$143.97
12/31/2014	12/31/2014	\$57.59	Deferral	Roth Contribution	TRowePr Rtrmt 2025	15.7100	3.6658	\$57.59

Your Beneficiary Information

