LEGISLATIVE ETHICS COMMISSION
STATE OF NEW YORK

MAIL: LEGISLATIVE OFFICE BUILDING • BOX 75 • ALBANY, NY 12247
LOCATION: ALFRED E. SMITH STATE OFFICE BUILDING • SUITE 1431 • ALBANY, NY 12210
PHONE: (518) 432-7837/7838

ANNUAL STATEMENT OF FINANCIAL DISCLOSURE

RECEIVED
MAY 12 2015
BY
LEG. ETHICS COMM.

For Calendar Year 2014

1. Name Jo Anne Simon

2. (a) Title of Position Member of Assembly

(b) Department, Agency or other Governmental Entity NYS Assembly

(c) Address of Present Office 341 Smith St., Brooklyn, NY 11231

(d) Office Telephone Number 718 246-4889

3. (a) Marital Status Married. If married, please give spouse's full name including maiden name where applicable.
William L. Harris.

(b) List the names of all unemancipated children.

none

Answer each of the following questions completely, with respect to calendar year 2014, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories in Table I or Table II of this subdivision as called for in the question: A reporting individual shall indicate the Category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending the December 31st preceding the date of filing of the annual statement.

4. (a) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
President (through 12/31/14)	Everyone Reading	NYC Dept. of Youth & Community Devel. NYC Dept of Education (small contracts) NYC Dept. of Soc. Svcs, Prot. Svcs for Adults
Article 81 Guardian - court appointed		
Trustee	Gerald W. Arthur Living Trust	none
Trustee	Dennis Johnson Special Need's Trust	none
General Partner	Simon-Harris Ltd Partnership	none

NYSED OCFS

(b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
Member	NYC Community Board 2 (Bklyn)	
General Partner	Simon-Harris Ltd Partnership	
Director (to 2/1/15)	Everyone Reading	NYSED, OCFS, NYC DYCD, NYC DOE

5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
President	JoAnne Simon, PC 350 Fulton St, Bklyn, NY	Law Practice	NYS Supreme Court Appellate Division
Adjunct Assoc. Prof.	Fordham Law School 150 W. 62nd St, NY, NY	law school	N/A

(b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency

6. List any interest, in EXCESS of $1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an

ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

Self, Spouse or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract (In Table II)
	NONE			

7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

NYS Democratic State Committee, 52AD (through Sept 8, 2014)
County Committee 52 AD, 31 ED.

8. (a) If the reporting individual practices law, is licensed by the department of state as a real estate broker or agent or practices a profession licensed by the department of education, or works as a member or employee of a firm required to register pursuant to section one-e of the legislative law as a lobbyist, describe the services rendered for which compensation was paid including a general description of the principal subject areas of matters undertaken by such individual and principal duties performed. Specifically state whether the reporting individual provides services directly to clients. Additionally, if such an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation.

I am an attorney licensed by the states of New York and New Jersey. I am President of JoAnne Simon, P.C., a professional corporation. My practice concentrates on disability civil rights (anti-discrimination) in high stakes standardized testing & higher education. In connection therewith, I supervise attorneys and perform other law practice activities, including consultation with clients regarding civil rights, perform and supervise legal research and writing, engage in discovery and court appearances, etc. My firm is General Counsel to the Association on Higher Education And Disability and I serve as the court appointed guardian for a multiply disabled deafman. I now serve as Trustee of his Supplemental Needs Trust.

(b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN: If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the reporting individual

personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of $10,000 during the reporting period for such services rendered in direct connection with:

(i) A contract in an amount totaling $50,000 or more from the state or any state agency for services, materials, or property;

(ii) A grant of $25,000 or more from the state or any state agency during the reporting period;

(iii) A grant obtained through a legislative initiative during the reporting period; or

(iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (i)through (iv) of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c),(d), (e)and (f)of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, provided, however, that a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	Nature of Services Provided
NONE	

(c) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of $1,000 excluding investments in securities and interests in real property.

NONE

9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of $1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in item 10. Indicate the value and nature of each such gift.

Self, Spouse or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift (In Table I)

NONE

10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of $1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.

Source	Description

NONE

11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans

(e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of $1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Identity	Category of Value* (In Table II)
See attached account statements	

* The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

NONE

(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of $1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

NONE

13. List below the nature and amount of any income in EXCESS of $1,000 from EACH SOURCE for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. Each such source must be described with particularity. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the

name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse	Source	Nature	Category of Amount (In Table I)
Self	Jo Anne Simon, PC	salary + other income	G
Self	Fordham Law School	teaching income	D
Self	NYC DSS-Protective Svcs for Adults	guardian stipend	C
Self & Spouse	393 Pacific St, Brooklyn, NY	rents	E
H Self & Spouse	191 Bond St a/k/a 429 Warren St Brooklyn, NY	rents	E

14. List the sources of any deferred income (not retirement income) in EXCESS of $1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

Source	Category of Amount (In Table I)
NONE	

15. List each assignment of income in EXCESS of $1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of $1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

Item Assigned or Transferred	Assigned or Transferred to	Category of Value (In Table I)
NONE		

16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of $1,000 at the close of the taxable year last

occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

Self/ Spouse	Issuing Entity	Type of Security	Percentage of corporate stock owned or controlled (if more than 5% of publicly traded stock, or more than 10% if stock not publicly traded, is held)	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)
see attached account records				

17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in

which any vested or contingent interest in EXCESS of $1,000 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

Self/ Spouse/ Corporation	Location Size	General Nature	Acquisition Date	Percentage of Ownership	Category of Market Value (In Table II)
self & spouse	191 Bond St A/K/A #29 Warren St Brooklyn, NY 11217	2 family + store	1985	50%	O

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of $1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

Name of Debtor	Type of Obligation, Date Due, and Nature of Collateral, if any	Category of Amount (In Table II)
	NONE	

19. List below all liabilities of the reporting individual and such individual's spouse, in EXCESS of $10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with

a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor	Type of Liability and Collateral, if any	Category of Amount (In Table II)
Frank Hoornbeck	Personal loan by Spouse	H
Nathaniel Hendricks	Personal loan by Spouse	I
Gerald W Arthur Living Trust	Personal loan by Spouse	D
Gerald W. Arthur Living Trust	Personal loan	G
Friends of JoAnne Simon	Personal loan to campaign	H

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

JoAnne Simon
(Signature of Reporting Individual)

5/11/15
Date (month/day/year)

[CATEGORY OF INCOME TABLES]

TABLE I

Category A		none		
Category B	$	1	to under $	1,000
Category C	$	1,000	to under $	5,000
Category D	$	5,000	to under $	20,000
Category E	$	20,000	to under $	50,000
Category F	$	50,000	to under $	75,000
Category G	$	75,000	to under $	100,000
Category H	$	100,000	to under $	150,000
Category I	$	150,000	to under $	250,000
Category J	$	250,000	to under $	350,000
Category K	$	350,000	to under $	450,000
Category L	$	450,000	to under $	550,000
Category M	$	550,000	to under $	650,000
Category N	$	650,000	to under $	750,000
Category O	$	750,000	to under $	850,000
Category P	$	850,000	to under $	950,000
Category Q	$	950,000	to under $	1,050,000
Category R	$	1,050,000	to under $	1,150,000
Category S	$	1,150,000	to under $	1,250,000
Category T	$	1,250,000	to under $	1,350,000
Category U	$	1,350,000	to under $	1,450,000
Category V	$	1,450,000	to under $	1,550,000
Category W	$	1,550,000	to under $	1,650,000

Category X	$1,650,000 to under $1,750,000
Category Y	$1,750,000 to under $1,850,000
Category Z	$1,850,000 to under $1,950,000
Category AA	$1,950,000 to under $2,050,000
Category BB	$2,050,000 to under $2,150,000
Category CC	$2,150,000 to under $2,250,000
Category DD	$2,250,000 to under $2,350,000
Category EE	$2,350,000 to under $2,450,000
Category FF	$2,450,000 to under $2,550,000
Category GG	$2,550,000 to under $2,650,000
Category HH	$2,650,000 to under $2,750,000
Category II	$2,750,000 to under $2,850,000
Category JJ	$2,850,000 to under $2,950,000
Category KK	$2,950,000 to under $3,050,000
Category LL	$3,050,000 to under $3,150,000
Category MM	$3,150,000 to under $3,250,000
Category NN	$3,250,000 to under $3,350,000
Category OO	$3,350,000 to under $3,450,000
Category PP	$3,450,000 to under $3,550,000
Category QQ	$3,550,000 to under $3,650,000
Category RR	$3,650,000 to under $3,750,000
Category SS	$3,750,000 to under $3,850,000
Category TT	$3,850,000 to under $3,950,000
Category UU	$3,950,000 to under $4,050,000
Category VV	$4,050,000 to under $4,150,000
Category WW	$4,150,000 to under $4,250,000
Category XX	$4,250,000 to under $4,350,000
Category YY	$4,350,000 to under $4,450,000
Category ZZ	$4,450,000 to under $4,550,000
Category AAA	$4,550,000 to under $4,650,000
Category BBB	$4,650,000 to under $4,750,000
Category CCC	$4,750,000 to under $4,850,000
Category DDD	$4,850,000 to under $4,950,000
Category EEE	$4,950,000 to under $5,050,000
Category FFF	$5,050,000 to under $5,150,000
Category GGG	$5,150,000 to under $5,250,000
Category HHH	$5,250,000 to under $5,350,000
Category III	$5,350,000 to under $5,450,000
Category JJJ	$5,450,000 to under $5,550,000
Category KKK	$5,550,000 to under $5,650,000
Category LLL	$5,650,000 to under $5,750,000
Category MMM	$5,750,000 to under $5,850,000
Category NNN	$5,850,000 to under $5,950,000
Category OOO	$5,950,000 to under $6,050,000
Category PPP	$6,050,000 to under $6,150,000
Category QQQ	$6,150,000 to under $6,250,000
Category RRR	$6,250,000 to under $6,350,000
Category SSS	$6,350,000 to under $6,450,000
Category TTT	$6,450,000 to under $6,550,000
Category UUU	$6,550,000 to under $6,650,000
Category VVV	$6,650,000 to under $6,750,000
Category WWW	$6,750,000 to under $6,850,000
Category XXX	$6,850,000 to under $6,950,000
Category YYY	$6,950,000 to under $7,050,000
Category ZZZ	$7,050,000 to under $7,150,000
Category AAAA	$7,150,000 to under $7,250,000
Category BBBB	$7,250,000 to under $7,350,000

Category CCCC	$7,350,000 to under $7,450,000
Category DDDD	$7,450,000 to under $7,550,000
Category EEEE	$7,550,000 to under $7,650,000
Category FFFF	$7,650,000 to under $7,750,000
Category GGGG	$7,750,000 to under $7,850,000
Category HHHH	$7,850,000 to under $7,950,000
Category IIII	$7,950,000 to under $8,050,000
Category JJJJ	$8,050,000 to under $8,150,000
Category KKKK	$8,150,000 to under $8,250,000
Category LLLL	$8,250,000 to under $8,350,000
Category MMMM	$8,350,000 to under $8,450,000
Category NNNN	$8,450,000 to under $8,550,000
Category OOOO	$8,550,000 to under $8,650,000
Category PPPP	$8,650,000 to under $8,750,000
Category QQQQ	$8,750,000 to under $8,850,000
Category RRRR	$8,850,000 to under $8,950,000
Category SSSS	$8,950,000 to under $9,050,000
Category TTTT	$9,050,000 to under $9,150,000
Category UUUU	$9,150,000 to under $9,250,000
Category VVVV	$9,250,000 to under $9,350,000
Category WWWW	$9,350,000 to under $9,450,000
Category XXXX	$9,450,000 to under $9,550,000
Category YYYY	$9,550,000 to under $9,650,000
Category ZZZZ	$9,650,000 to under $9,750,000
Category AAAAA	$9,750,000 to under $9,850,000
Category BBBBB	$9,850,000 to under $9,950,000
Category CCCCC	$9,950,000 to under $10,000,000
Category DDDDD	$10,000,000 or over

TABLE II

Category A	none
Category B	$ 1 to under $ 1,000
Category C	$ 1,000 to under $ 5,000
Category D	$ 5,000 to under $ 20,000
Category E	$ 20,000 to under $ 50,000
Category F	$ 50,000 to under $ 75,000
Category G	$ 75,000 to under $ 100,000
Category H	$ 100,000 to under $ 150,000
Category I	$ 150,000 to under $ 250,000
Category J	$ 250,000 to under $ 500,000
Category K	$ 500,000 to under $ 750,000
Category L	$ 750,000 to under $1,000,000
Category M	$1,000,000 to under $1,250,000
Category N	$1,250,000 to under $1,500,000
Category O	$1,500,000 to under $1,750,000
Category P	$1,750,000 to under $2,000,000
Category Q	$2,000,000 to under $2,250,000
Category R	$2,250,000 to under $2,500,000
Category S	$2,500,000 to under $2,750,000
Category T	$2,750,000 to under $3,000,000
Category U	$3,000,000 to under $3,250,000
Category V	$3,250,000 to under $3,500,000
Category W	$3,500,000 to under $3,750,000
Category X	$3,750,000 to under $4,000,000
Category Y	$4,000,000 to under $4,250,000

Category Z	$4,250,000 to under $4,500,000
Category AA	$4,500,000 to under $4,750,000
Category BB	$4,750,000 to under $5,000,000
Category CC	$5,000,000 to under $5,250,000
Category DD	$5,250,000 to under $5,500,000
Category EE	$5,500,000 to under $5,750,000
Category FF	$5,750,000 to under $6,000,000
Category GG	$6,000,000 to under $6,250,000
Category HH	$6,250,000 to under $6,500,000
Category II	$6,500,000 to under $6,750,000
Category JJ	$6,750,000 to under $7,000,000
Category KK	$7,000,000 to under $7,250,000
Category LL	$7,250,000 to under $7,500,000
Category MM	$7,500,000 to under $7,750,000
Category NN	$7,750,000 to under $8,000,000
Category OO	$8,000,000 to under $8,250,000
Category PP	$8,250,000 to under $8,500,000
Category QQ	$8,500,000 to under $8,750,000
Category RR	$8,750,000 to under $9,000,000
Category SS	$9,000,000 to under $9,250,000
Category TT	$9,250,000 to under $9,500,000
Category UU	$9,500,000 or over

Janney Montgomery Scott LLC

Member: NYSE • FINRA • SIPC

Client Account Summary

December 1 - December 31, 2014

Account number: [redacted]
Investment Objective: Growth & Income/Moderate

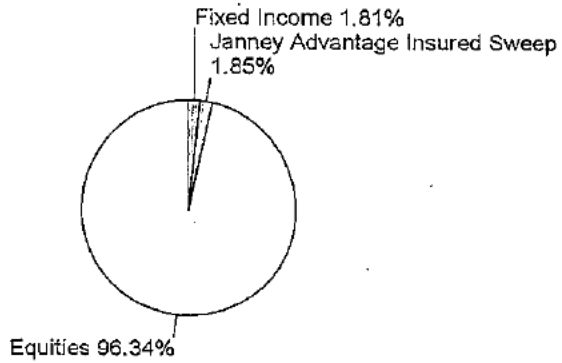
Your Financial Advisor
JOHN RUGGIERO & DONALD DEAGAZIO

YNNNNN TT33
JO ANNE SIMON
[redacted]

PORTFOLIO SUMMARY

	As of 11/30/14	As of 12/31/14
Janney Advantage Insured Sweep**	825.50	825.52
Equities - Mutual Funds, ETFs, UITs	44,276.86	43,922.01
Fixed Income - Mutual Funds, ETFs, UITs	884.92	888.91
TOTAL ACCOUNT VALUE	45,987.28	45,636.44

ACCOUNT ALLOCATION



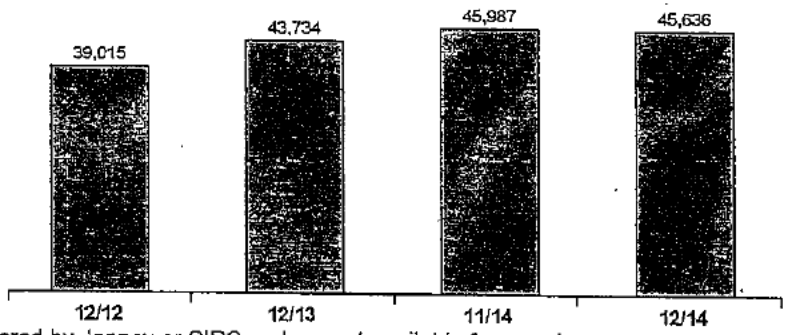
INCOME AND EXPENSE SUMMARY

	Current Period	Year-to-Date
Taxable Dividends	868.08	1,046.99
Tax-Exempt Dividends	1.95	25.22
Taxable Interest	0.00	0.00
Tax-Exempt Interest	0.00	0.00
Janney Advantage Insured Sweep Interest**	0.02	0.22
Capital Gain Distributions	2,012.58	2,012.58
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
TOTAL INCOME	2,882.63	3,085.01
Tax Withheld	0.00	0.00
Margin Interest Expense	0.00	0.00
TOTAL EXPENSES	0.00	0.00

CASH ACTIVITY SUMMARY

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	0.00	0.00
Cash Deposits	0.00	0.00
Cash Withdrawals	0.00	0.00
Securities Bought	(2,882.61)	(3,084.79)
Securities Sold	0.00	0.00
Net Income (Expense)	2,882.63	3,085.01
Other Activity	0.00	0.00
Money Market Summary	0.00	0.00
Janney Advantage Insured Sweep Activity**	(0.02)	(0.22)
CLOSING CREDIT/(DEBIT) BALANCE	0.00	0.00

ACCOUNT VALUE COMPARISON



**Janney Advantage Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

PORTFOLIO DETAILS

JANNEY ADVANTAGE INSURED SWEEP**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
JANNEY ADVANTAGE INSURED SWEEP						N/A	825.52					0.03%	1.9%
TOTAL JANNEY ADVANTAGE INSURED SWEEP							**825.52**						**1.9%**

**Janney Advantage Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
FIDELITY ADVISOR ASSET MANAGER 50% CL C	FFCMX	1,560.062	8/20/09	20,000.00	12.8200	16.9100	26,380.63	6,380.64	LT		140.39	0.53%	
		659.631	12/6/10	10,000.00	15.1599	16.9100	11,154.36	1,154.36	LT		59.37	0.53%	
		377.706	Reinvestments	6,246.65	16.5383	N/A	6,387.02	140.23			34.00	0.53%	
		2,597.399		**36,246.65**			**43,922.01**	**7,675.23**			**233.76**	**0.53%**	**96.3%**
				Fund Investment Gain/(Loss)			13,922.01						
TOTAL EQUITIES - MUTUAL FUNDS, ETFS, UITS				**36,246.65**			**43,922.01**	**7,675.23**			**233.76**	**0.53%**	**96.3%**

FIXED INCOME - MUTUAL FUNDS, ETFs & UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
PUTNAM NEW YORK TAX EXEMPT CL C	PNNCX	102.411	Reinvestments	865.49	8.4511	N/A	888.91	23.17			25.50	2.86%	1.8%
				Fund Investment Gain/(Loss)			888.91						
TOTAL FIXED INCOME - MUTUAL FUNDS, ETFS & UITS				**865.49**			**888.91**	**23.17**			**25.50**	**2.86%**	**1.8%**
TOTAL ACCOUNT VALUE				**37,112.14**			**45,636.44**	**7,698.40**			**259.26**	**0.56%**	**100.0%**

CASH ACTIVITY DETAILS

SECURITIES BOUGHT

Trade Date	Settlement Date	Transaction Type	Quantity	Symbol	Description	Price	Amount	Acct Type
12/01	12/01	REINVEST DIV	0.225	PNNCX	PUTNAM NEW YORK TAX EXEMPT CL C REINVEST AT 8.660	0.00	(1.95)	CASH
12/15	12/15	REINVEST CAP GAIN	120.586	FFCMX	FIDELITY ADVISOR ASSET MANAGER 50% CL C REINVEST AT 16.690	0.00	(2,012.58)	CASH
12/15	12/15	REINVEST CAP GAIN	49.252	FFCMX	FIDELITY ADVISOR ASSET MANAGER 50% CL C REINVEST AT 16.690	0.00	(822.01)	CASH
12/15	12/15	REINVEST DIV	2.760	FFCMX	FIDELITY ADVISOR ASSET MANAGER 50% CL C REINVEST AT 16.690	0.00	(46.07)	CASH
TOTAL SECURITIES BOUGHT							(2,882.61)	

INCOME AND EXPENSE

Income

Date	Transaction Type	Symbol	Description	Amount	Acct Type
12/01	DIVIDEND	PNNCX	PUTNAM NEW YORK TAX EXEMPT CL C 112814 102.18600	1.95	CASH
12/15	LT CAPITAL GAIN	FFCMX	FIDELITY ADVISOR ASSET MANAGER 50% CL C 121514 2,372.78900	2,012.58	CASH
12/15	ST CAPITAL GAIN	FFCMX	FIDELITY ADVISOR ASSET MANAGER 50% CL C 121514 2,301.45500	822.01	CASH
12/15	DIVIDEND	FFCMX	FIDELITY ADVISOR ASSET MANAGER 50% CL C 121514 2,254.96300	46.07	CASH
12/31	INTEREST		JANNEY ADVANTAGE INSURED SWEEP 123114 825	0.02	CASH
TOTAL INCOME				2,882.63	
NET INCOME (EXPENSE)				2,882.63	

JANNEY ADVANTAGE INSURED SWEEP** ACTIVITY

Date	Transaction Type	Description	Amount
12/31/2014	INTEREST REINVEST	JANNEY ADVANTAGE	($0.02)
TOTAL JANNEY ADVANTAGE INSURED SWEEP			**$825.52**

**Janney Advantage Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

JANNEY ADVANTAGE INSURED SWEEP** - Deposits by Bank

Description			Current Value
Goldman Sachs Bank USA	Salt Lake Cit, UT	84018	$825.52
TOTAL JANNEY ADVANTAGE INSURED SWEEP			**$825.52**

**Janney Advantage Insured Sweep Deposit Balances are insured up to the FDIC limits per bank for the combined total of all your deposits held in the same insurable capacity at one bank, including those outside the Program. Your balances are not covered by SIPC. Please refer to the Janney Advantage Insured Sweep Information Statement for more detail concerning insurance coverage. Account numbers at each bank are the same number as your Janney Montgomery Scott LLC account number. For any questions concerning the bank balances please call (877) 859-1684 or ask for the Janney Advantage Insured Sweep customer service representative.

Janney Montgomery Scott LLC

Account number: [redacted]
Investment Objective: Growth & Income/Aggressive

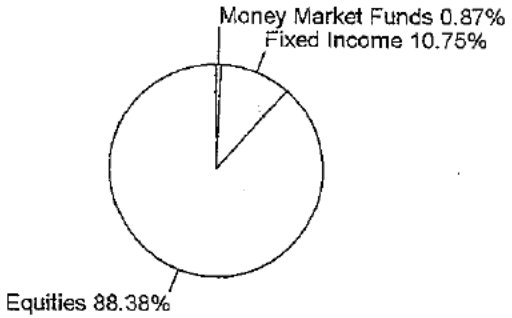
Your Financial Advisor
JOHN RUGGIERO & DONALD DEAGAZIO

YNNNNN TT33
JO ANNE SIMON (SEP-IRA)
[redacted]

PORTFOLIO SUMMARY

	As of 11/30/14	As of 12/31/14
Money Market Funds	1,125.09	1,435.37
Equities - Mutual Funds, ETFs, UITs	155,490.46	154,134.75
Fixed Income - Mutual Funds, ETFs, UITs	18,943.08	18,494.81
TOTAL ACCOUNT VALUE	**175,558.63**	**174,064.93**

ACCOUNT ALLOCATION



Client Account Summary

December 1 - December 31, 2014

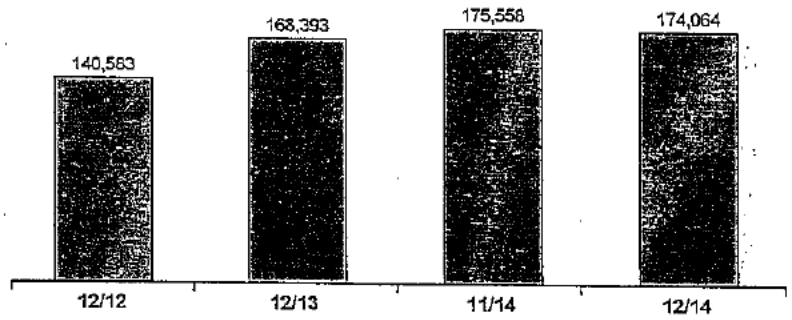
INCOME AND EXPENSE SUMMARY

	Current Period	Year-to-Date
Taxable Dividends	2,019.23	3,073.34
Tax-Exempt Dividends	0.00	0.00
Taxable Interest	0.00	0.00
Tax-Exempt Interest	0.00	0.00
Capital Gain Distributions	19,258.34	19,301.35
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
TOTAL INCOME	21,277.57	22,374.69
Tax Withheld	0.00	0.00
Margin Interest Expense	0.00	0.00
TOTAL EXPENSES	0.00	0.00

CASH ACTIVITY SUMMARY

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	0.00	0.00
Cash Deposits	0.00	0.00
Cash Withdrawals	0.00	0.00
Securities Bought	(20,967.29)	(21,413.27)
Securities Sold	0.00	0.00
Net Income (Expense)	21,277.57	19,826.83
Other Activity	0.00	0.00
Money Market Summary	(310.28)	1,586.44
CLOSING CREDIT/(DEBIT) BALANCE	0.00	0.00

ACCOUNT VALUE COMPARISON



PORTFOLIO DETAILS

MONEY MARKET FUNDS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
DREYFUS CASH MGNT 288 INSTL SHS							1,435.37				0.43	0.03%	0.9%
TOTAL MONEY MARKET FUNDS							1,435.37				0.43	0.02%	0.9%

EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
ALLIANCEBERNSTEIN INTL VALUE ADVISOR CL	ABIYX	72.743	4/19/07	1,741.47	23.9400	12.3900	901.29	(840.19)	LT		49.32	5.47%	
		232.643	1/18/08	4,748.24	20.4099	12.3900	2,882.44	(1,865.80)	LT		157.73	5.47%	
		353.045	3/18/08	7,015.00	19.8699	12.3900	4,374.23	(2,640.78)	LT		239.36	5.47%	
		32.180	10/29/08	328.24	10.2001	12.3900	398.71	70.47	LT		21.82	5.47%	
		34.946	10/29/08	356.47	10.2000	12.3900	433.01	76.53	LT		23.70	5.47%	
		53.639	10/29/08	549.16	10.2000	12.3900	667.06	117.90	LT		36.50	5.47%	
		206.612	4/25/11	3,000.00	14.5199	12.3900	2,559.92	(440.08)	LT		140.09	5.47%	
		295.237	Reinvestments	4,198.30	14.2201	N/A	3,657.99	(540.35)			200.16	5.47%	
		1,281.247		21,936.88			15,874.65	(6,062.30)			868.68	5.47%	9.1%
						Fund Investment Gain/(Loss)		(1,863.93)					
AMERICAN CENTURY LARGE COMPANY VALUE INVESTOR CL	ALVIX	617.945	4/19/07	4,844.69	7.8400	9.0400	5,586.22	741.53	LT		74.77	1.33%	
		162.634	1/18/08	1,052.24	6.4699	9.0400	1,470.21	417.97	LT		19.68	1.33%	
		1,032.308	3/18/08	6,710.00	6.4999	9.0400	9,332.06	2,622.06	LT		124.90	1.33%	
		412.628	4/11/08	2,673.83	6.4800	9.0400	3,730.16	1,056.32	LT		49.92	1.33%	
		971.744	4/11/08	6,296.90	6.4799	9.0400	8,784.57	2,487.66	LT		117.58	1.33%	
		855.058	Reinvestments	4,961.01	5.8019	N/A	7,729.72	2,768.52			103.48	1.33%	
		4,052.317		26,538.67			36,632.94	10,094.06			490.33	1.33%	21.0%
						Fund Investment Gain/(Loss)		15,055.28					
BARON GROWTH INSTL CL	BGRIX	39.739	4/19/07	2,092.83	52.6643	73.2400	2,910.48	817.65	LT				
		5.409	1/18/08	246.74	45.6165	73.2400	396.16	149.41	LT				
		20.207	3/18/08	915.00	45.2813	73.2400	1,479.96	564.96	LT				
		31.367	4/11/08	1,442.44	45.9859	73.2400	2,297.32	854.87	LT				
		6.967	9/19/08	324.46	46.5709	73.2400	510.26	185.80	LT				
		25.967	4/17/09	826.82	31.8411	73.2400	1,901.82	1,075.00	LT				
		30.574	Reinvestments	1,815.59	59.3834	N/A	2,239.24	423.61					

PORTFOLIO DETAILS

EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		160.230		**7,663.88**			**11,735.24**	**4,071.30**					**6.7%**
				Fund Investment Gain/(Loss)				**5,886.95**					
HARDING LOEVNER EMERGING MARKETS ADVISOR CL	HLEMX	178.384	4/19/07	8,508.92	47.7000	45.6200	8,137.87	(371.05)	LT		71.89	0.88%	
		3.969	1/18/08	207.63	52.3129	45.6200	181.07	(26.57)	LT		1.60	0.88%	
		7.646	1/18/08	398.94	52.3070	45.6200	348.81	(51.13)	LT		3.08	0.88%	
		65.502	3/18/08	3,355.00	51.2196	45.6200	2,988.20	(366.80)	LT		26.40	0.88%	
		1.424	4/11/08	76.19	53.5042	45.6200	64.96	(11.23)	LT		0.57	0.88%	
		47.446	9/19/08	1,933.91	40.7602	45.6200	2,164.49	230.57	LT		19.12	0.88%	
		10.115	10/29/08	250.96	24.8106	45.6200	461.45	210.48	LT		4.08	0.88%	
		31.971	10/29/08	793.20	24.8099	45.6200	1,458.52	665.31	LT		12.88	0.88%	
		24.835	4/17/09	704.83	28.3805	45.6200	1,132.97	428.14	LT		10.01	0.88%	
		10.295	5/19/09	Please Provide		45.6200	469.66		LT		4.15	0.88%	
		57.252	4/25/11	3,000.00	52.3999	45.6200	2,611.84	(388.17)	LT		23.07	0.88%	
		111.085	Reinvestments	5,031.51	45.2901	N/A	5,068.14	36.57			44.77	0.88%	
		549.934		**24,262.09**			**25,087.98**	**356.12**			**221.62**	**0.88%**	**14.4%**
				Fund Investment Gain/(Loss)				**5,857.40**					
JANUS FORTY CL I	JCAPX	582.350	4/19/07	19,411.36	33.3328	30.5400	17,784.96	(1,626.40)	LT				
		247.118	3/18/08	9,760.01	39.4953	30.5400	7,546.98	(2,213.03)	LT				
		29.304	9/19/08	1,056.32	36.0469	30.5400	894.94	(161.38)	LT				
		68.946	10/29/08	1,727.67	25.0583	30.5400	2,105.61	377.94	LT				
		898.770	Reinvestments	28,731.39	31.9674	N/A	27,448.45	(1,282.98)					
		1,826.488		**60,686.75**			**55,780.94**	**(4,905.85)**					**32.1%**
				Fund Investment Gain/(Loss)				**23,825.58**					
ROYCE MICRO CAP INVESTMENT CL	RYOTX	42.185	3/18/08	610.00	14.4601	14.4700	610.42	0.41	LT		0.80	0.13%	
		96.603	4/11/08	1,409.44	14.5900	14.4700	1,397.85	(11.60)	LT		1.83	0.13%	
		54.167	9/19/08	762.13	14.0700	14.4700	783.80	21.66	LT		1.03	0.13%	
		78.450	9/19/08	1,103.79	14.0699	14.4700	1,135.17	31.38	LT		1.49	0.13%	
		18.289	4/17/09	169.36	9.2602	14.4700	264.64	95.28	LT		0.35	0.13%	
		34.619	4/17/09	320.57	9.2599	14.4700	500.94	180.36	LT		0.66	0.13%	
		36.890	4/17/09	341.60	9.2599	14.4700	533.80	192.19	LT		0.70	0.13%	
		76.839	4/17/09	711.53	9.2600	14.4700	1,111.86	400.33	LT		1.46	0.13%	
		185.524	Reinvestments	2,666.67	14.3737	N/A	2,684.52	17.79			3.52	0.13%	
		623.566		**8,095.09**			**9,023.00**	**927.80**			**11.84**	**0.13%**	**5.1%**

PORTFOLIO DETAILS

EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
						Fund Investment Gain/(Loss)		3,594.58					
TOTAL EQUITIES - MUTUAL FUNDS, ETFS, UITS				149,183.36			154,134.75	4,481.13			1,592.47	1.03%	88.4%

FIXED INCOME - MUTUAL FUNDS, ETFs & UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
LORD ABBETT BOND DEBENTURE CL F	LBDFX	1,599.016	c12/18/12	13,000.00	8.1299	7.9100	12,648.21	(351.79)	LT		655.59	5.18%	7.3%
PIMCO TOTAL RETURN CL P	PTTPX	169.961	4/19/07	1,769.30	10.4100	10.6600	1,811.78	42.48	LT		36.71	2.02%	
		139.908	3/18/08	1,525.00	10.9000	10.6600	1,491.42	(33.59)	LT		30.22	2.02%	
		24.378	4/11/08	267.18	10.9598	10.6600	259.87	(7.32)	LT		5.26	2.02%	
		0.249	8/8/11	2.74	11.0040	10.6600	2.65	(0.09)	LT		0.05	2.02%	
		213.966	Reinvestments	2,301.50	10.7563	N/A	2,280.88	(21.11)			46.22	2.02%	
		548.462		5,365.72			5,846.60	(19.63)			118.46	2.02%	3.5%
						Fund Investment Gain/(Loss)		2,282.38					
TOTAL FIXED INCOME - MUTUAL FUNDS, ETFS & UITS				18,865.72			18,494.81	(371.42)			774.05	4.18%	10.8%
TOTAL ACCOUNT VALUE				168,049.08			174,064.93	4,109.71			2,366.95	1.35%	100.0%

CASH ACTIVITY DETAILS

SECURITIES BOUGHT

Trade Date	Settlement Date	Transaction Type	Quantity	Symbol	Description	Price	Amount	Acct Type
12/01	12/01	REINVEST DIV	0.894	PTTPX	PIMCO TOTAL RETURN CL P REINVEST AT 11.030	0.00	(9.86)	CASH

JO ANNE SIMON (SEP-IRA) ACCOUNT NUMBER [redacted]

SECURITIES BOUGHT - Continued

Trade Date	Settlement Date	Transaction Type	Quantity	Symbol	Description	Price	Amount	Acct Type
12/03	12/03	REINVEST CAP GAIN	6.881	BGRIX	BARON GROWTH INSTL CL REINVEST AT 71.850	0.00	(494.41)	CASH
12/11	12/11	REINVEST CAP GAIN	4.120	PTTPX	PIMCO TOTAL RETURN CL P REINVEST AT 10.900	0.00	(44.91)	CASH
12/18	12/18	REINVEST CAP GAIN	557.945	JCAPX	JANUS FORTY CL I REINVEST AT 29.790	0.00	(16,621.19)	CASH
12/18	12/18	REINVEST DIV	31.692	JCAPX	JANUS FORTY CL I REINVEST AT 29.790	0.00	(944.10)	CASH
12/18	12/18	REINVEST CAP GAIN	59.343	RYOTX	ROYCE MICRO CAP INVESTMENT CL REINVEST AT 14.100	0.00	(836.74)	CASH
12/22	12/22	REINVEST CAP GAIN	22.369	HLEMX	HARDING LOEVNER EMERGING MARKETS ADVISOR CL REINVEST AT 45.210	0.00	(1,011.32)	CASH
12/22	12/22	REINVEST DIV	4.462	HLEMX	HARDING LOEVNER EMERGING MARKETS ADVISOR CL REINVEST AT 45.210	0.00	(201.71)	CASH
12/23	12/23	REINVEST DIV	44.014	ABIYX	ALLIANCEBERNSTEIN INTL VALUE ADVISOR CL REINVEST AT 12.540	0.00	(551.93)	CASH
12/24	12/24	REINVEST DIV	15.326	ALVIX	AMERICAN CENTURY LARGE COMPANY VALUE INVESTOR CL REINVEST AT 9.140	0.00	(140.08)	CASH
12/30	12/30	REINVEST DIV	10.436	PTTPX	PIMCO TOTAL RETURN CL P REINVEST AT 10.640	0.00	(111.04)	CASH
TOTAL SECURITIES BOUGHT							(20,967.29)	

JO ANNE SIMON (SEP-IRA) ACCOUNT NUMBER: [redacted]

INCOME AND EXPENSE

Income

Date	Transaction Type	Symbol	Description	Amount	Acct Type
12/01	DIVIDEND	LBDFX	LORD ABBETT BOND DEBENTURE CL F 112814 1,599.01600	47.21	CASH
12/01	DIVIDEND	PTTPX	PIMCO TOTAL RETURN CL P 112814 533.01200	9.86	CASH
12/03	LT CAPITAL GAIN	BGRIX	BARON GROWTH INSTL CL 120314 153.34900	494.41	CASH
12/11	LT CAPITAL GAIN	PTTPX	PIMCO TOTAL RETURN CL P 121014 533.90600	44.91	CASH
12/18	LT CAPITAL GAIN	JCAPX	JANUS FORTY CL I 121714 1,205.15900	16,621.19	CASH
12/18	DIVIDEND	JCAPX	JANUS FORTY CL I 121714 678.90600	944.10	CASH
12/18	LT CAPITAL GAIN	RYOTX	ROYCE MICRO CAP INVESTMENT CL 121714 564.22300	836.74	CASH
12/22	LT CAPITAL GAIN	HLEMX	HARDING LOEVNER EMERGING MARKETS ADVISOR CL 121914 518.64100	1,011.32	CASH
12/22	DIVIDEND	HLEMX	HARDING LOEVNER EMERGING MARKETS ADVISOR CL 121914 500.73400	201.71	CASH
12/22	ST CAPITAL GAIN	LBDFX	LORD ABBETT BOND DEBENTURE CL F 121914 1,599.01600	13.27	CASH
12/22	LT CAPITAL GAIN	LBDFX	LORD ABBETT BOND DEBENTURE CL F 121914 1,599.01600	249.77	CASH

JO ANNE SIMON (SEP-IRA) ACCOUNT NUMBER: [redacted]

INCOME AND EXPENSE - Continued

Income

Date	Transaction Type	Symbol	Description	Amount	Acct Type
12/23	DIVIDEND	ABIYX	ALLIANCEBERNSTEIN INTL VALUE ADVISOR CL 122314 1,237.23300	551.93	CASH
12/24	DIVIDEND	ALVIX	AMERICAN CENTURY LARGE COMPANY VALUE INVESTOR CL 122314 4,036.99100	140.08	CASH
12/30	DIVIDEND	PTTPX	PIMCO TOTAL RETURN CL P 122914 538.02600	111.04	CASH
12/31	DIVIDEND		DREYFUS CASH MGNT 288 INSTL SHS 123114 1,435	0.03	CASH
TOTAL INCOME				**21,277.57**	
NET INCOME (EXPENSE)				**21,277.57**	

MONEY MARKET SUMMARY

Date	Transaction Type	Description	Amount
12/02/14	PURCHASE	DREYFUS CASH MGNT 288	(47.21)
12/23/14	PURCHASE	DREYFUS CASH MGNT 288	(263.04)
12/31/14	REINVEST DIV	DREYFUS CASH MGNT 288	(0.03)
TOTAL MONEY MARKET SUMMARY			**(310.28)**

This is your annual custodian report. Securities with no known regular market have been valued using matrices, secondary market bids or participant's provided appraisal which we do not warrant the accuracy of the valuation. Contributions and values are being reported to the IRS by June 1, 2015.

Janney Montgomery Scott LLC

Client Household Summary

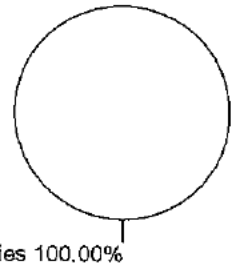
October 1 - December 31, 2014

YNNNNN TT33
000000.000.000000.000.000000
JO ANNE SIMON PC 401K SAVINGS
DTD 01/01/2012
[redacted]

Your Financial Advisor

JOHN RUGGIERO & DONALD DEAGAZIO
3 MANHATTANVILLE ROAD, SUITE 204
PURCHASE NY 10577
800-888-1260
www.janney.com

HOUSEHOLD ALLOCATION



Annuities 100.00%

FOR YOUR INFORMATION

As we enter a new year, all of us at Janney thank you for your continued business, and wish you a happy and successful 2015. With the close of 2014 comes the time to begin planning for the preparation of your taxes. We have published a list of dates we expect various 2014 tax forms, including 1099s, to be mailed to you. This tax-related information is available in the enclosed *Janney Update* newsletter, on our website at janney.com/taxes, or by contacting your Financial Advisor.

MARKET INDICES

	12/11	12/12	12/13	12/14
DJIA	12,217.56	13,104.14	16,576.66	17,823.07
S&P 500	1,257.60	1,426.19	1,848.36	2,058.90
NASDAQ	2,605.15	3,019.51	4,176.59	4,736.06
10 YR. T Bonds	1.88%	1.76%	3.03%	2.17%
Russell 1000	693.36	789.90	1,030.36	1,144.37
Russell 2000	740.92	849.35	1,163.64	1,204.70

HOUSEHOLD SUMMARY

Account Number	Account Name	Account Type	Account Value 9/30/14	Account Value 12/31/14	Income Current Period	Income Year-to-Date
[redacted]	JO ANNE SIMON PC 401K SAVINGS		$98,445.61	$99,086.60	$0.00	$0.00

Janney Montgomery Scott LLC

Member: NYSE • FINRA • SIPC

Client Account Summary

October 1 - December 31, 2014

Account number: [redacted]
Investment Objective: Growth & Income/Aggressive

Your Financial Advisor
JOHN RUGGIERO & DONALD DEAGAZIO

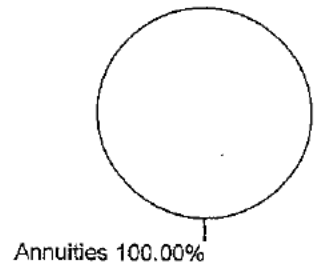
YNNNNN TT33
JO ANNE SIMON PC 401K SAVINGS
DTD 01/01/2012
[redacted]

PORTFOLIO SUMMARY

	As of 9/30/14	As of 12/31/14
Annuities	98,445.61	99,086.60
TOTAL ACCOUNT VALUE*	98,445.61	99,086.60

*Please refer to the Portfolio Details section.

ACCOUNT ALLOCATION



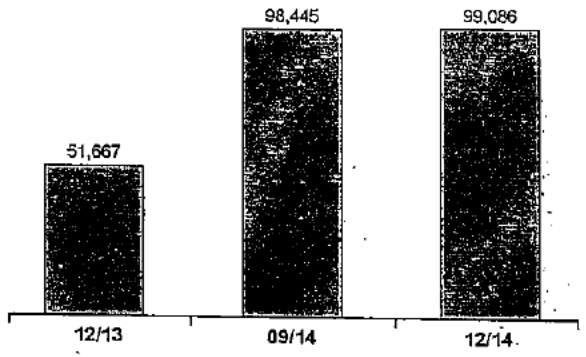
INCOME AND EXPENSE SUMMARY

	Current Period	Year-to-Date
Taxable Dividends	0.00	0.00
Tax-Exempt Dividends	0.00	0.00
Taxable Interest	0.00	0.00
Tax-Exempt Interest	0.00	0.00
Capital Gain Distributions	0.00	0.00
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
TOTAL INCOME	0.00	0.00
Tax Withheld	0.00	0.00
Margin Interest Expense	0.00	0.00
TOTAL EXPENSES	0.00	0.00

CASH ACTIVITY SUMMARY

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	0.00	0.00
Cash Deposits	0.00	45,000.00
Cash Withdrawals	0.00	(45,000.00)
Securities Bought	0.00	0.00
Securities Sold	0.00	0.00
Net Income (Expense)	0.00	0.00
Other Activity	0.00	0.00
Money Market Summary	0.00	0.00
CLOSING CREDIT/(DEBIT) BALANCE	0.00	0.00

ACCOUNT VALUE COMPARISON



PORTFOLIO DETAILS

ANNUITIES

Description*	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
NATIONWIDE LIFE INS CO* DESTINATION B NY 2.0 VAR ANNUITY CONTR# 017579916 VALUE AS OF 12/30/14	63890A165	96,091	12/9/13	96,091.00	1.0000	N/A	99,086.60	2,995.60	LT				100.0%
TOTAL ANNUITIES				**96,091.00**			**99,086.60**	**2,995.60**					**100.0%**
TOTAL ACCOUNT VALUE				**96,091.00**			**99,086.60**	**2,995.60**					**100.0%**

*These assets are held at custodians other than Janney. These assets are not covered by Janney's SIPC coverage or excess SIPC protection. Where available, values are provided but may not be current because they are derived from external sources for which Janney is not responsible and are shown as a service only. The custodian of these assets is responsible for providing year-end tax reporting and separate periodic statements (some exceptions may apply to certain IRA accounts).

Janney Montgomery Scott LLC

Member NYSE • FINRA • SIPC

Account number: [redacted]
Investment Objective: Growth & Income/Aggressive

Your Financial Advisor
JOHN V RUGGIERO & DONALD DEAGAZIO

YNNNNN TT35
NY 529 ADVISOR-GUIDED COLLEGE
[redacted]

Client Account Summary

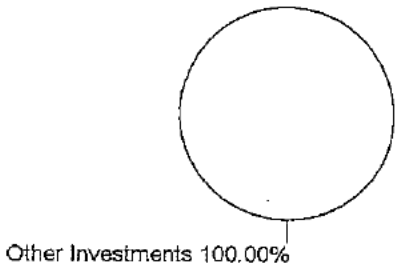
October 1 - December 31, 2014

PORTFOLIO SUMMARY

	As of 9/30/14	As of 12/31/14
Other Investments	31,802.25	32,462.15
TOTAL ACCOUNT VALUE*	31,802.25	32,462.15

*Please refer to the Portfolio Details section.

ACCOUNT ALLOCATION



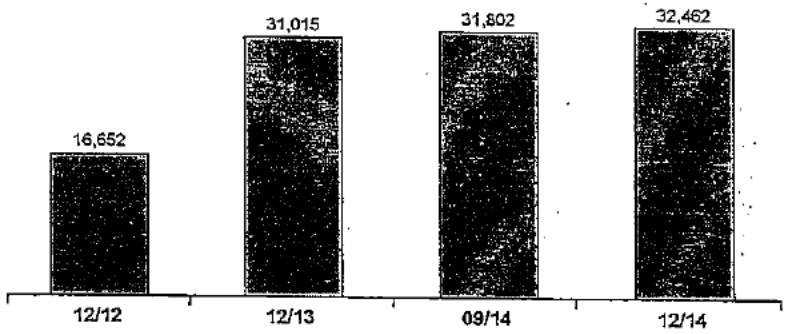
INCOME AND EXPENSE SUMMARY

	Current Period	Year-to-Date
Taxable Dividends	0.00	0.00
Tax-Exempt Dividends	0.00	0.00
Taxable Interest	0.00	0.00
Tax-Exempt Interest	0.00	0.00
Capital Gain Distributions	0.00	0.00
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
TOTAL INCOME	0.00	0.00
Tax Withheld	0.00	0.00
Margin Interest Expense	0.00	0.00
TOTAL EXPENSES	0.00	0.00

CASH ACTIVITY SUMMARY

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	0.00	0.00
Cash Deposits	0.00	0.00
Cash Withdrawals	0.00	0.00
Securities Bought	0.00	0.00
Securities Sold	0.00	0.00
Net Income (Expense)	0.00	0.00
Other Activity	0.00	0.00
Money Market Summary	0.00	0.00
CLOSING CREDIT/(DEBIT) BALANCE	0.00	0.00

ACCOUNT VALUE COMPARISON



PORTFOLIO DETAILS

OTHER INVESTMENTS

Other Mutual Funds, ETFs & UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
NEW YORK ST CLLG 529* MODERATE AGE BASED 10-12 CL C	64981A734	2,538.089	11/5/14	Please Provide		12.7900	32,462.15		ST				100.0%
TOTAL OTHER MUTUAL FUNDS, ETFS & UITS							32,462.15						100.0%
TOTAL OTHER INVESTMENTS							32,462.15						100.0%
TOTAL ACCOUNT VALUE							32,462.15						100.0%

*These assets are held at custodians other than Janney. These assets are not covered by Janney's SIPC coverage or excess SIPC protection. Where available, values are provided but may not be current because they are derived from external sources for which Janney is not responsible and are shown as a service only. The custodian of these assets is responsible for providing year-end tax reporting and separate periodic statements (some exceptions may apply to certain IRA accounts).

REALIZED GAIN (LOSS) INFORMATION

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
c08/06/13	10/31/14	1,627.49000		NEW YORK ST CLLG 529 ^	18,699.89	20,815.63	2,115.74	LONG
c12/20/13	10/31/14	405.34000		NEW YORK ST CLLG 529 ^	4,896.57	5,184.37	287.80	SHORT
c12/20/13	10/31/14	505.25000		NEW YORK ST CLLG 529 ^	6,103.43	6,462.16	358.73	SHORT
TOTAL REALIZED GAIN (LOSS)					29,699.89	32,462.16	2,762.27	
LONG TERM GAIN (LOSS)					18,699.89	20,815.63	2,115.74	
SHORT TERM GAIN (LOSS)					11,000.00	11,646.53	646.53	

Janney Montgomery Scott LLC

Member: NYSE • FINRA • SIPC

Account number: [redacted]
Investment Objective: Growth & Income/Aggressive

Your Financial Advisor
JOHN V RUGGIERO & DONALD DEAGAZIO

YNNNNN TT35
NY 529 ADVISOR-GUIDED COLLEGE
[redacted]

Client Account Summary

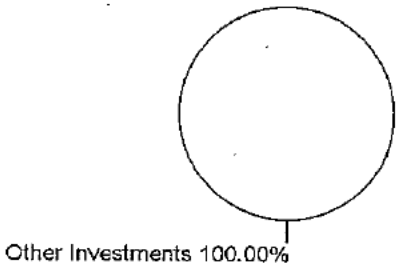
October 1 - December 31, 2014

PORTFOLIO SUMMARY

	As of 9/30/14	As of 12/31/14
Other Investments	32,468.73	33,238.25
TOTAL ACCOUNT VALUE*	32,468.73	33,238.25

*Please refer to the Portfolio Details section.

ACCOUNT ALLOCATION



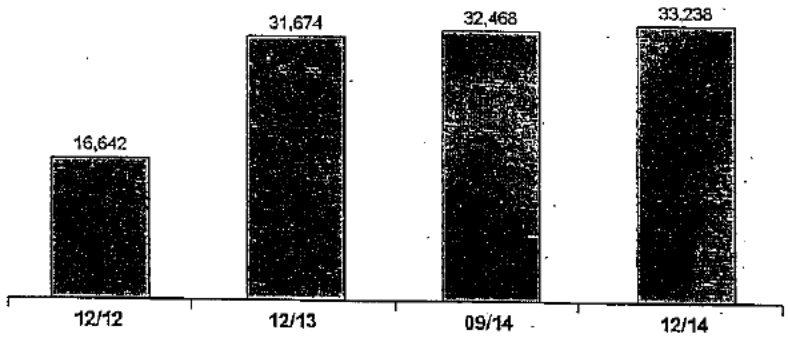
INCOME AND EXPENSE SUMMARY

	Current Period	Year-to-Date
Taxable Dividends	0.00	0.00
Tax-Exempt Dividends	0.00	0.00
Taxable Interest	0.00	0.00
Tax-Exempt Interest	0.00	0.00
Capital Gain Distributions	0.00	0.00
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
TOTAL INCOME	0.00	0.00
Tax Withheld	0.00	0.00
Margin Interest Expense	0.00	0.00
TOTAL EXPENSES	0.00	0.00

CASH ACTIVITY SUMMARY

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	0.00	0.00
Cash Deposits	0.00	0.00
Cash Withdrawals	0.00	0.00
Securities Bought	0.00	0.00
Securities Sold	0.00	0.00
Net Income (Expense)	0.00	0.00
Other Activity	0.00	0.00
Money Market Summary	0.00	0.00
CLOSING CREDIT/(DEBIT) BALANCE	0.00	0.00

ACCOUNT VALUE COMPARISON



PORTFOLIO DETAILS

OTHER INVESTMENTS

Other Mutual Funds, ETFs & UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
NEW YORK ST CLLG 529* JPM MODERATE GROWTH 6-9 CL C	64981A775	2,482.319	11/5/14	Please Provide		13.3900	33,238.25		ST				100.0%
TOTAL OTHER MUTUAL FUNDS, ETFS & UITS							33,238.25						100.0%
TOTAL OTHER INVESTMENTS							33,238.25						100.0%
TOTAL ACCOUNT VALUE							33,238.25						100.0%

*These assets are held at custodians other than Janney. These assets are not covered by Janney's SIPC coverage or excess SIPC protection. Where available, values are provided but may not be current because they are derived from external sources for which Janney is not responsible and are shown as a service only. The custodian of these assets is responsible for providing year-end tax reporting and separate periodic statements (some exceptions may apply to certain IRA accounts).

REALIZED GAIN (LOSS) INFORMATION

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
c12/05/13	10/31/14	1,606.52000		NEW YORK ST CLLG 529 ^	19,888.75	21,495.27	1,606.52	SHORT
c12/20/13	10/31/14	336.67000		NEW YORK ST CLLG 529 ^	4,228.66	4,504.73	276.07	SHORT
c12/20/13	10/31/14	539.12000		NEW YORK ST CLLG 529 ^	6,771.34	7,213.43	442.09	SHORT
TOTAL REALIZED GAIN (LOSS)					30,888.75	33,213.43	2,324.68	
SHORT TERM GAIN (LOSS)					30,888.75	33,213.43	2,324.68	

Janney Montgomery Scott LLC
Member NYSE • FINRA • SIPC

Client Account Summary

December 1 - December 31, 2014

Account number: [redacted]
Investment Objective: Growth & Income/Aggressive

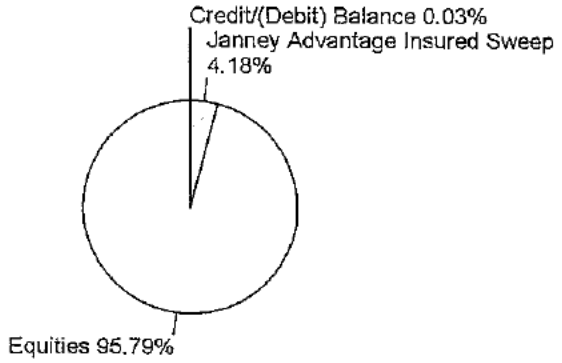
Your Financial Advisor
JOHN RUGGIERO & DONALD DEAGAZIO

YNNNNN TT33
WILLIAM HARRIS &
JO ANNE SIMON TTEES
[redacted]

PORTFOLIO SUMMARY

	As of 11/30/14	As of 12/31/14
Janney Advantage Insured Sweep**	18,885.83	19,523.11
Credit/(Debit) Balance	1,031.31	147.04
Equities - Stocks and Options	348,142.22	374,853.58
Equities - Mutual Funds, ETFs, UITs	103,697.54	77,975.04
TOTAL ACCOUNT VALUE	**471,756.90**	**472,498.77**

ACCOUNT ALLOCATION



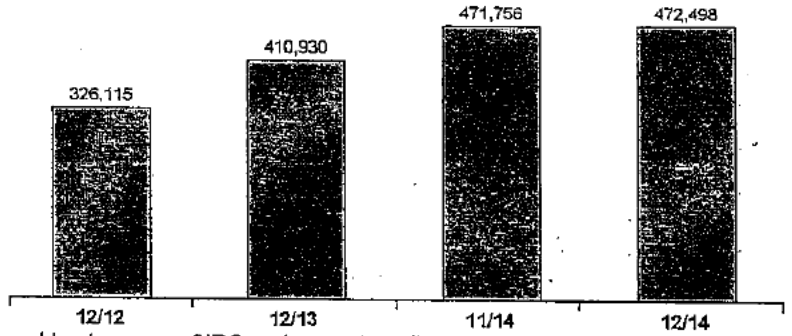
INCOME AND EXPENSE SUMMARY

	Current Period	Year-to-Date
Taxable Dividends	1,891.58	8,258.65
Tax-Exempt Dividends	0.00	0.00
Taxable Interest	0.00	0.00
Tax-Exempt Interest	0.00	0.00
Janney Advantage Insured Sweep Interest**	0.50	4.36
Capital Gain Distributions	0.00	0.00
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
TOTAL INCOME	1,892.08	8,263.01
Tax Withheld	(0.76)	(44.86)
Margin Interest Expense	0.00	0.00
TOTAL EXPENSES	(0.76)	(44.86)

CASH ACTIVITY SUMMARY

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	1,031.31	31.66
Cash Deposits	0.00	25,000.00
Cash Withdrawals	0.00	0.00
Securities Bought	(36,152.44)	(236,774.64)
Securities Sold	34,008.16	214,329.50
Net Income (Expense)	1,891.32	1,856.21
Other Activity	5.97	84.36
Money Market Summary	0.00	0.00
Janney Advantage Insured Sweep Activity**	(637.28)	(4,380.05)
CLOSING CREDIT/(DEBIT) BALANCE	147.04	147.04

ACCOUNT VALUE COMPARISON



**Janney Advantage Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

PORTFOLIO DETAILS

JANNEY ADVANTAGE INSURED SWEEP**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
JANNEY ADVANTAGE INSURED SWEEP						N/A	19,523.11					0.03%	4.2%
TOTAL JANNEY ADVANTAGE INSURED SWEEP							**19,523.11**						**4.2%**

**Janney Advantage Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

CREDIT/(DEBIT) BALANCE

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
CASH							147.04						0.0%
TOTAL CREDIT/(DEBIT) BALANCE							**147.04**						**0.0%**

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
ACE LTD	ACE	1	c8/29/11	64.37	64.3700	114.8800	114.88	50.51	LT		2.60	2.26%	
		6	c2/9/12	442.14	73.6900	114.8800	689.28	247.14	LT		15.60	2.26%	
		4	c10/25/12	319.00	79.7500	114.8800	459.52	140.52	LT		10.40	2.26%	
		3	c3/25/13	265.68	88.5600	114.8800	344.64	78.96	LT		7.80	2.26%	
		2	c10/6/14	212.74	106.3700	114.8800	229.76	17.02	ST		5.20	2.26%	
		6	c11/17/14	666.96	111.1600	114.8800	689.28	22.32	ST		15.60	2.26%	
		2	c11/18/14	222.92	111.4600	114.8800	229.76	6.84	ST		5.20	2.26%	
		4	c12/23/14	465.67	116.4175	114.8800	459.52	(6.15)	ST		10.40	2.26%	
		28		**2,669.48**			**3,216.64**	**557.16**			**72.80**	**2.26%**	**0.7%**
ADOBE SYSTEMS INC DELAWARE	ADBE	79	c6/27/11	2,421.57	30.6527	72.7000	5,743.30	3,321.73	LT				
		7	c9/30/14	481.04	68.7200	72.7000	508.90	27.86	ST				
		6	c11/18/14	425.10	70.8500	72.7000	436.20	11.10	ST				
		92		**3,327.71**			**6,688.40**	**3,360.69**					**1.4%**
AFFILIATED MANAGERS GROUP INC	AMG	5	c4/30/14	981.90	196.3800	212.2400	1,061.20	79.30	ST				
		3	c9/30/14	602.16	200.7200	212.2400	636.72	34.56	ST				

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		2	c10/15/14	353.50	176.7500	212.2400	424.48	70.98	ST				
		3	c10/29/14	592.29	197.4300	212.2400	636.72	44.43	ST				
		1	c11/18/14	198.23	198.2300	212.2400	212.24	14.01	ST				
		14		2,728.08			2,971.36	243.28					0.6%
AFLAC INC	AFL	22	c10/15/14	1,221.42	55.5190	61.0900	1,343.98	122.56	ST		34.32	2.55%	
		5	c11/17/14	295.55	59.1100	61.0900	305.45	9.90	ST		7.80	2.55%	
		3	c11/18/14	177.54	59.1800	61.0900	183.27	5.73	ST		4.68	2.55%	
		7	c12/17/14	410.62	58.6600	61.0900	427.63	17.01	ST		10.92	2.55%	
		5	c12/23/14	310.10	62.0200	61.0900	305.45	(4.65)	ST		7.80	2.55%	
		42		2,415.23			2,565.78	150.55			65.52	2.55%	0.5%
ALBEMARLE CORP	ALB	27	c12/16/14	1,492.02	55.2600	60.1300	1,623.51	131.49	ST		29.70	1.82%	0.3%
ALCOA INC	AA	114	c9/19/13	961.02	8.4300	15.7900	1,800.06	839.04	LT		13.68	0.75%	
		40	c10/31/13	374.80	9.3700	15.7900	631.60	256.80	LT		4.80	0.75%	
		36	c12/2/13	344.88	9.5800	15.7900	568.44	223.56	LT		4.32	0.75%	
		23	c1/27/14	271.17	11.7900	15.7900	363.17	92.00	ST		2.76	0.75%	
		15	c11/18/14	258.15	17.2100	15.7900	236.85	(21.30)	ST		1.80	0.75%	
		228		2,210.02			3,600.12	1,390.10			27.36	0.75%	0.8%
ALTRIA GROUP INC	MO	7	c7/16/14	292.11	41.7300	49.2700	344.89	52.78	ST		14.56	4.22%	
		40	c7/23/14	1,670.00	41.7500	49.2700	1,970.80	300.80	ST		83.20	4.22%	
		4	c11/18/14	197.52	49.3800	49.2700	197.08	(0.44)	ST		8.32	4.22%	
		51		2,159.63			2,512.77	353.14			106.08	4.22%	0.5%
AMDOCS LTD	DOX	24	c12/13/13	969.36	40.3900	46.6550	1,119.72	150.36	LT		14.88	1.32%	
		1	c5/6/14	47.10	47.1000	46.6550	46.66	(0.45)	ST		0.62	1.32%	
		7	c5/7/14	329.70	47.1000	46.6550	326.58	(3.12)	ST		4.34	1.32%	
		32		1,346.16			1,492.96	146.79			19.84	1.32%	0.3%
AMERICAN CAPITAL AGENCY CORP	AGNC	68	c12/16/14	1,481.72	21.7900	21.8300	1,484.44	2.72	ST		179.52	12.09%	0.3%
ANADARKO PETROLEUM CORP	APC	4	c11/29/12	293.48	73.3700	82.5000	330.00	36.52	LT		4.32	1.30%	
		3	c6/7/13	264.39	88.1300	82.5000	247.50	(16.89)	LT		3.24	1.30%	

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		4	c4/30/14	395.72	98.9300	82.5000	330.00	(65.72)	ST		4.32	1.30%	
		6	c10/21/14	552.36	92.0600	82.5000	495.00	(57.36)	ST		6.48	1.30%	
		17		1,505.95			1,402.50	(103.45)			18.36	1.30%	0.3%
ANALOG DEVICES INC	ADI	12	6/24/09	285.72	23.8100	55.5200	666.24	380.52	LT		17.76	2.66%	
		14	3/5/10	413.00	29.5000	55.5200	777.28	364.28	LT		20.72	2.66%	
		2	c3/16/12	79.44	39.7200	55.5200	111.04	31.60	LT		2.96	2.66%	
		28		778.16			1,554.56	776.40			41.44	2.66%	0.3%
APPLE INC	AAPL	59	c1/28/14	4,284.91	72.6255	110.3800	6,512.42	2,227.51	ST		110.92	1.70%	
		3	c11/18/14	346.59	115.5300	110.3800	331.14	(15.45)	ST		5.64	1.70%	
		62		4,631.50			6,843.56	2,212.06			116.56	1.70%	1.5%
APPLIED MATERIALS INC	AMAT	60	c6/10/13	928.80	15.4800	24.9200	1,495.20	566.40	LT		24.00	1.60%	0.3%
AVERY DENNISON CORP	AVY	5	c7/21/11	167.20	33.4400	51.8800	259.40	92.20	LT		7.00	2.69%	
		22	c8/26/11	602.33	27.3786	51.8800	1,141.36	539.03	LT		30.80	2.69%	
		27		769.53			1,400.76	631.23			37.80	2.69%	0.3%
BABCOCK & WILCOX COMPANY NEW	BWC	54	8/5/10	1,279.98	23.7033	30.3000	1,636.20	356.22	LT		21.60	1.32%	
		2	c3/16/12	53.72	26.8600	30.3000	60.60	6.88	LT		0.80	1.32%	
		56		1,333.70			1,696.80	363.10			22.40	1.32%	0.4%
BANCO BILBAO VIZCAYA ARGENTARIA S A SPONSORED ADR	BBVA	2	1/8/07	47.65	23.8250	9.3900	18.77	(28.87)	LT		1.08	5.78%	
		0.036	Reinvestments	0.28	7.7777	N/A	0.34	0.05			0.02	5.88%	
		2.036		47.93			19.11	(28.82)			1.10	5.78%	
BANK AMERICA CORP	BAC	94	c11/22/13	1,477.68	15.7200	17.8900	1,681.66	203.98	LT		18.80	1.11%	
		51	c1/22/14	869.55	17.0500	17.8900	912.39	42.84	ST		10.20	1.11%	
		71	c7/8/14	1,106.88	15.5898	17.8900	1,270.19	163.31	ST		14.20	1.11%	
		15	c11/18/14	257.70	17.1800	17.8900	268.35	10.65	ST		3.00	1.11%	
		231		3,711.81			4,132.59	420.78			46.20	1.11%	0.9%
BIOGEN IDEC INC	BIIB	10	c3/14/13	1,764.64	176.4640	339.4500	3,394.50	1,629.86	LT				

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

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		6	c2/3/14	1,802.82	300.4700	339.4500	2,036.70	233.88	ST				
		2	c4/14/14	551.26	275.6300	339.4500	678.90	127.64	ST				
		18		4,118.72			6,110.10	1,991.38					1.3%
BOSTON SCIENTIFIC CORP	BSX	4	c1/26/12	23.80	5.9500	13.2500	53.00	29.20	LT				
		97	c1/26/12	800.27	8.2502	13.2500	1,285.25	484.98	LT				
		14	c1/26/12	99.96	7.1400	13.2500	185.50	85.54	LT				
		115		924.03			1,523.75	599.72					0.3%
BROADRIDGE FINANCIAL SOLUTIONS INC	BR	16	6/24/09	253.76	15.8600	46.1800	738.88	485.12	LT		17.28	2.33%	
		22	9/23/09	458.03	20.8195	46.1800	1,015.96	557.93	LT		23.76	2.33%	
		12	c5/20/13	326.68	27.2233	46.1800	554.16	227.48	LT		12.96	2.33%	
		4	c11/18/14	182.16	45.5400	46.1800	184.72	2.56	ST		4.32	2.33%	
		54		1,220.63			2,493.72	1,273.09			58.32	2.33%	0.5%
C H ROBINSON WORLDWIDE INC NEW	CHRW	16	c6/12/14	989.63	61.8518	74.8900	1,198.24	208.61	ST		24.32	2.02%	
		5	c6/18/14	317.30	63.4600	74.8900	374.45	57.15	ST		7.60	2.02%	
		10	c8/19/14	686.10	68.6100	74.8900	748.90	62.80	ST		15.20	2.02%	
		3	c11/18/14	217.80	72.6000	74.8900	224.67	6.87	ST		4.56	2.02%	
		34		2,210.83			2,546.26	335.43			51.68	2.02%	0.5%
CALIFORNIA RESOURCES CORP	CRC	0.381	c5/20/13	3.10	8.1375	5.5100	2.10	(1.01)	LT				
		1.905	c6/12/14	16.75	8.7937	5.5100	10.49	(6.26)	ST				
		2.667	c8/19/14	23.65	8.8687	5.5100	14.70	(8.96)	ST				
		3.048	c9/30/14	25.46	8.3540	5.5100	16.79	(8.67)	ST				
		8		68.96			44.08	(24.90)					
CAMECO CORP	CCJ	89	c12/16/14	1,348.34	15.1498	16.4100	1,460.49	112.15	ST		31.21	2.13%	0.3%
CATAMARAN CORP	CTRX	35	c5/19/14	1,498.00	42.8000	51.7500	1,811.25	313.25	ST				
		9	c9/16/14	427.50	47.5000	51.7500	465.75	38.25	ST				
		3	c11/18/14	147.75	49.2500	51.7500	155.25	7.50	ST				
		47		2,073.25			2,432.25	359.00					0.5%
CATERPILLAR INC	CAT	3	c4/19/13	240.99	80.3300	91.5300	274.59	33.60	LT		8.40	3.05%	

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		6	c6/24/13	489.42	81.5700	91.5300	549.18	59.76	LT		16.80	3.05%	
		2	c2/5/14	184.00	92.0000	91.5300	183.06	(0.94)	ST		5.60	3.05%	
		9	c6/3/14	940.23	104.4700	91.5300	823.77	(116.46)	ST		25.20	3.05%	
		5	c9/16/14	525.15	105.0300	91.5300	457.65	(67.50)	ST		14.00	3.05%	
		25		**2,379.79**			**2,288.25**	**(91.64)**			**70.00**	**3.05%**	**0.5%**
CBS CORP NEW CL B	CBS	19	c11/17/14	1,007.55	53.0289	55.3400	1,051.46	43.91	ST		11.40	1.08%	
		14	c12/23/14	784.27	56.0192	55.3400	774.76	(9.51)	ST		8.40	1.08%	
		33		**1,791.82**			**1,826.22**	**34.40**			**19.80**	**1.08%**	**0.4%**
CELGENE CORP	CELG	12	c6/28/12	368.76	30.7300	111.8600	1,342.32	973.56	LT				
		12	c8/6/12	427.08	35.5900	111.8600	1,342.32	915.24	LT				
		34	c2/6/14	2,547.91	74.9385	111.8600	3,803.24	1,255.33	ST				
		8	c4/14/14	545.11	68.1387	111.8600	894.88	349.77	ST				
		4	c11/18/14	426.80	106.7000	111.8600	447.44	20.64	ST				
		70		**4,315.66**			**7,830.20**	**3,514.54**					**1.6%**
CHEVRON CORP	CVX	4	c10/26/11	425.53	106.3825	112.1800	448.72	23.19	LT		17.12	3.81%	
		13	c12/16/11	1,303.51	100.2700	112.1800	1,458.34	154.83	LT		55.64	3.81%	
		6	c6/20/12	621.24	103.5400	112.1800	673.08	51.84	LT		25.68	3.81%	
		2	c12/26/13	249.56	124.7800	112.1800	224.36	(25.20)	LT		8.56	3.81%	
		3	c9/30/14	356.91	118.9700	112.1800	336.54	(20.37)	ST		12.84	3.81%	
		28		**2,956.75**			**3,141.04**	**184.29**			**119.84**	**3.81%**	**0.7%**
CHUBB CORP	CB	5	6/24/09	197.00	39.4000	103.4700	517.35	320.35	LT		10.00	1.93%	
		10	7/29/09	453.20	45.3200	103.4700	1,034.70	581.50	LT		20.00	1.93%	
		1	c3/16/12	68.42	68.4200	103.4700	103.47	35.05	LT		2.00	1.93%	
		1	c11/18/14	102.00	102.0000	103.4700	103.47	1.47	ST		2.00	1.93%	
		17		**820.62**			**1,758.99**	**938.37**			**34.00**	**1.93%**	**0.4%**
CINEMARK HOLDINGS INC	CNK	30	c2/8/13	877.96	29.2653	35.5800	1,067.40	189.44	LT		30.00	2.81%	
		15	c8/28/13	450.76	30.0506	35.5800	533.70	82.94	LT		15.00	2.81%	
		7	c4/8/14	198.94	28.4200	35.5800	249.06	50.12	ST		7.00	2.81%	
		6	c11/18/14	216.36	36.0600	35.5800	213.48	(2.88)	ST		6.00	2.81%	
		58		**1,744.02**			**2,063.64**	**319.62**			**58.00**	**2.81%**	**0.4%**

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
CITIGROUP INC NEW	C	9	c10/26/11	278.47	30.9411	54.1100	486.99	208.52	LT		0.36	0.07%	
		6	c2/9/12	203.40	33.9000	54.1100	324.66	121.26	LT		0.24	0.07%	
		12	c3/20/12	457.80	38.1500	54.1100	649.32	191.52	LT		0.48	0.07%	
		16	c1/25/13	685.60	42.8500	54.1100	865.76	180.16	LT		0.64	0.07%	
		6	c3/31/14	285.83	47.6383	54.1100	324.66	38.83	ST		0.24	0.07%	
		8	c7/8/14	380.00	47.5000	54.1100	432.88	52.88	ST		0.32	0.07%	
		5	c11/18/14	269.90	53.9800	54.1100	270.55	0.65	ST		0.20	0.07%	
		7	c12/17/14	361.69	51.6985	54.1100	378.77	16.88	ST		0.28	0.07%	
		5	c12/23/14	273.59	54.7180	54.1100	270.55	(3.04)	ST		0.20	0.07%	
		74		**3,196.48**			**4,004.14**	**807.66**			**2.96**	**0.07%**	**0.9%**
CLOROX COMPANY	CLX	9	11/18/09	539.82	59.9800	104.2100	937.89	398.07	LT		26.64	2.84%	
		2	c3/16/12	136.26	68.1300	104.2100	208.42	72.16	LT		5.92	2.84%	
		11		**676.08**			**1,146.31**	**470.23**			**32.56**	**2.84%**	**0.2%**
COACH INC	COH	21	c12/16/14	740.67	35.2700	37.5600	788.76	48.09	ST		28.35	3.59%	0.2%
COMCAST CORP CL A NEW	CMCSA	49	c2/3/14	2,581.26	52.6787	58.0100	2,842.49	261.23	ST		44.10	1.55%	
		7	c4/14/14	339.49	48.4985	58.0100	406.07	66.58	ST		6.30	1.55%	
		53	c7/29/14	2,931.95	55.3196	58.0100	3,074.53	142.58	ST		47.70	1.55%	
		109		**5,852.70**			**6,323.09**	**470.39**			**98.10**	**1.55%**	**1.3%**
COSTCO WHOLESALE CORP	COST	45	6/24/09	2,029.94	45.1097	141.7500	6,378.75	4,348.81	LT		63.90	1.00%	
		2	c2/6/14	228.38	114.1900	141.7500	283.50	55.12	ST		2.84	1.00%	
		3	c6/24/14	349.35	116.4500	141.7500	425.25	75.90	ST		4.26	1.00%	
		2	c11/18/14	278.36	139.1800	141.7500	283.50	5.14	ST		2.84	1.00%	
		52		**2,886.03**			**7,371.00**	**4,484.97**			**73.84**	**1.00%**	**1.6%**
COVIDIEN PLC NEW	COV	6	c11/11/14	561.04	93.5066	102.2800	613.68	52.64	ST		8.64	1.40%	
		14	c11/24/14	1,385.29	98.9492	102.2800	1,431.92	46.63	ST		20.16	1.40%	
		20		**1,946.33**			**2,045.60**	**99.27**			**28.80**	**1.40%**	**0.4%**
CSX CORP	CSX	31	c7/31/14	932.64	30.0851	36.2300	1,123.13	190.49	ST		19.84	1.76%	0.2%
CVS HEALTH CORP	CVS	4	c5/10/12	183.36	45.8400	96.3100	385.24	201.88	LT		5.60	1.45%	
		83	c3/22/13	4,577.95	55.1560	96.3100	7,993.73	3,415.78	LT		116.20	1.45%	

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

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		5	c2/19/14	353.40	70.6800	96.3100	481.55	128.15	ST		7.00	1.45%	
		5	c9/30/14	398.75	79.7500	96.3100	481.55	82.80	ST		7.00	1.45%	
		4	c11/11/14	358.40	89.6000	96.3100	385.24	26.84	ST		5.60	1.45%	
		6	c11/18/14	540.90	90.1500	96.3100	577.86	36.96	ST		8.40	1.45%	
		107		**6,412.76**			**10,305.17**	**3,892.41**			**149.80**	**1.45%**	**2.2%**
DEVON ENERGY CORP NEW	DVN	21	c12/16/14	1,147.65	54.6500	61.2100	1,285.41	137.76	ST		20.16	1.56%	0.3%
DISCOVER FINANCIAL SVCS	DFS	7	c3/25/13	314.69	44.9557	65.4900	458.43	143.74	LT		6.72	1.46%	
		6	c4/19/13	259.44	43.2400	65.4900	392.94	133.50	LT		5.76	1.46%	
		12	c2/5/14	630.84	52.5700	65.4900	785.88	155.04	ST		11.52	1.46%	
		25		**1,204.97**			**1,637.25**	**432.28**			**24.00**	**1.46%**	**0.4%**
DUKE ENERGY CORP NEW	DUK	1	c3/16/12	61.22	61.2200	83.5400	83.54	22.32	LT		3.18	3.80%	0.0%
E M C CORP MASS	EMC	56	c6/27/11	1,470.70	26.2625	29.7400	1,665.44	194.74	LT		25.76	1.54%	
		22	c11/29/12	552.20	25.1000	29.7400	654.28	102.08	LT		10.12	1.54%	
		18	c3/1/13	418.32	23.2400	29.7400	535.32	117.00	LT		8.28	1.54%	
		18	c3/25/13	427.86	23.7700	29.7400	535.32	107.46	LT		8.28	1.54%	
		12	c7/16/13	303.36	25.2800	29.7400	356.88	53.52	LT		5.52	1.54%	
		13	c8/15/13	339.95	26.1500	29.7400	386.62	46.67	LT		5.98	1.54%	
		45	c11/7/13	1,076.40	23.9200	29.7400	1,338.30	261.90	LT		20.70	1.54%	
		17	c6/24/14	445.40	26.2000	29.7400	505.58	60.18	ST		7.82	1.54%	
		201		**5,034.19**			**5,977.74**	**943.55**			**92.46**	**1.54%**	**1.3%**
EBAY INC	EBAY	94	c11/7/13	4,939.69	52.5498	56.1200	5,275.28	335.59	LT				
		18	c6/24/14	881.64	48.9800	56.1200	1,010.16	128.52	ST				
		4	c11/18/14	222.44	55.6100	56.1200	224.48	2.04	ST				
		116		**6,043.77**			**6,509.92**	**466.15**					**1.4%**
ENDURANCE SPECIALTY HOLDINGS LTD	ENH	29	6/24/09	812.58	28.0200	59.8400	1,735.36	922.78	LT		39.44	2.27%	
		3	c11/18/14	173.55	57.8500	59.8400	179.52	5.97	ST		4.08	2.27%	
		32		**986.13**			**1,914.88**	**928.75**			**43.52**	**2.27%**	**0.4%**
ENTERGY CORP NEW	ETR	12	6/24/09	892.44	74.3700	87.4800	1,049.76	157.32	LT		39.84	3.79%	0.2%

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
EQUITY RESIDENTIAL	EQR	21	c9/25/14	1,277.85	60.8500	71.8400	1,508.64	230.79	ST		42.00	2.78%	
		10	c10/6/14	632.40	63.2400	71.8400	718.40	86.00	ST		20.00	2.78%	
		31		1,910.25			2,227.04	316.79			62.00	2.78%	0.5%
ERICSSON TELEPHONE NEW CL B ADR	ERIC	26	c6/3/14	320.58	12.3300	12.1000	314.60	(5.98)	ST		11.93	3.79%	
		26	c6/12/14	344.68	12.3100	12.1000	338.60	(5.88)	ST		12.85	3.79%	
		51	c6/18/14	621.68	12.1898	12.1000	617.10	(4.58)	ST		23.41	3.79%	
		16	c12/8/14	198.23	12.3893	12.1000	193.60	(4.63)	ST		7.34	3.79%	
		121		1,485.17			1,464.10	(21.07)			55.53	3.79%	0.3%
ESTEE LAUDER COMPANY INC	EL	65	c8/28/12	3,894.02	59.9080	76.2000	4,953.00	1,058.98	LT		62.40	1.25%	
		4	c3/5/13	264.32	66.0800	76.2000	304.80	40.48	LT		3.84	1.25%	
		2	c2/3/14	136.32	68.1600	76.2000	152.40	16.08	ST		1.92	1.25%	
		6	c2/6/14	401.40	66.9000	76.2000	457.20	55.80	ST		5.76	1.25%	
		5	c11/18/14	361.00	72.2000	76.2000	381.00	20.00	ST		4.80	1.25%	
		82		5,057.06			6,248.40	1,191.34			78.72	1.25%	1.3%
EXPEDITORS INTL WASH INC	EXPD	23	c1/27/14	959.78	41.7295	44.6100	1,026.03	66.25	ST		14.72	1.43%	0.2%
EXPRESS SCRIPTS HLDG COMPANY	ESRX	68	c3/5/13	3,932.15	57.8257	84.6700	5,757.56	1,825.41	LT				
		3	c1/28/14	222.96	74.3200	84.6700	254.01	31.05	ST				
		4	c4/14/14	287.48	71.8700	84.6700	338.68	51.20	ST				
		8	c6/24/14	550.56	68.8200	84.6700	677.36	126.80	ST				
		6	c9/9/14	447.78	74.6300	84.6700	508.02	60.24	ST				
		4	c11/18/14	317.40	79.3500	84.6700	338.68	21.28	ST				
		93		5,758.33			7,874.31	2,115.98					1.7%
EXXON MOBIL CORP	XOM	22	c6/27/14	2,219.14	100.8700	92.4500	2,033.90	(185.24)	ST		60.72	2.98%	
		5	c7/2/14	508.00	101.6000	92.4500	462.25	(45.75)	ST		13.80	2.98%	
		8	c8/19/14	796.86	99.6075	92.4500	739.60	(57.26)	ST		22.08	2.98%	
		20	c10/21/14	1,866.18	93.3090	92.4500	1,849.00	(17.18)	ST		55.20	2.98%	
		1	c11/18/14	95.17	95.1700	92.4500	92.45	(2.72)	ST		2.76	2.98%	
		56		5,485.35			5,177.20	(308.15)			154.56	2.98%	1.1%
FIRST AMERICAN FINANCIAL	FAF	62	12/13/10	930.40	15.0064	33.9000	2,101.80	1,171.40	LT		59.52	2.83%	

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
CORP		21	c7/22/13	471.85	22.4690	33.9000	711.90	240.05	LT		20.16	2.83%	
		7	c11/18/14	217.42	31.0600	33.9000	237.30	19.88	ST		6.72	2.83%	
		90		1,619.67			3,051.00	1,431.33			86.40	2.83%	0.6%
GENERAL ELECTRIC COMPANY	GE	48	c11/17/14	1,277.71	26.6189	25.2700	1,212.96	(64.75)	ST		44.16	3.64%	
		49	c12/23/14	1,273.51	25.9900	25.2700	1,238.23	(35.28)	ST		45.08	3.64%	
		97		2,551.22			2,451.19	(100.03)			89.24	3.64%	0.5%
GENUINE PARTS COMPANY	GPC	9	6/24/09	295.38	32.8200	106.5700	959.13	663.75	LT		20.70	2.15%	
		14	c7/21/11	789.60	56.4000	106.5700	1,491.98	702.38	LT		32.20	2.15%	
		1	c11/18/14	100.22	100.2200	106.5700	106.57	6.35	ST		2.30	2.15%	
		24		1,185.20			2,557.68	1,372.48			55.20	2.15%	0.5%
GILEAD SCIENCES INC	GILD	4	c3/14/13	183.15	45.7875	94.2600	377.04	193.89	LT				
		1	c3/22/13	45.51	45.5100	94.2600	94.26	48.75	LT				
		5	c10/21/13	334.30	66.8600	94.2600	471.30	137.00	LT				
		29	c2/6/14	2,232.42	76.9800	94.2600	2,733.54	501.12	ST				
		15	c4/14/14	988.62	65.9080	94.2600	1,413.90	425.28	ST				
		4	c11/18/14	414.96	103.7400	94.2600	377.04	(37.92)	ST				
		58		4,198.96			5,467.08	1,268.12					1.2%
GRACE W R & COMPANY DE NEW	GRA	17	c12/12/11	715.36	42.0800	95.3900	1,621.63	906.27	LT				0.3%
HAEMONETICS CORP MASS	HAE	18	c7/21/11	621.45	34.5250	37.4200	673.56	52.11	LT				
		4	c2/8/13	166.48	41.6200	37.4200	149.68	(16.80)	LT				
		4	c5/21/13	166.44	41.6100	37.4200	149.68	(16.76)	LT				
		19	c12/16/14	708.89	37.3100	37.4200	710.98	2.09	ST				
		45		1,663.26			1,683.90	20.64					0.4%
HALLIBURTON COMPANY	HAL	5	c10/21/14	276.80	55.3600	39.3300	196.65	(80.15)	ST		3.60	1.83%	
		5	c12/16/14	193.95	38.7900	39.3300	196.65	2.70	ST		3.60	1.83%	
		7	c12/16/14	388.72	55.5314	39.3300	275.31	(113.41)	ST		5.04	1.83%	
		17		859.47			668.61	(190.86)			12.24	1.83%	0.1%

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
HARTFORD FINANCIAL SERVICES GROUP INC	HIG	29	c1/31/14	968.89	33.4100	41.6900	1,209.01	240.12	ST		20.88	1.72%	
		13	c3/5/14	468.91	36.0700	41.6900	541.97	73.06	ST		9.36	1.72%	
		42		1,437.80			1,750.98	313.18			30.24	1.72%	0.4%
HASBRO INC	HAS	31	6/24/09	746.48	24.0800	54.9900	1,704.69	958.21	LT		53.32	3.12%	0.4%
HCA HOLDINGS INC	HCA	84	c12/16/14	6,098.40	72.6000	73.3900	6,164.76	66.36	ST				1.3%
HCP INC	HCP	38	6/24/09	703.19	18.5050	44.0300	1,673.14	969.95	LT		82.84	4.95%	0.4%
HEALTH CARE REIT INC	HCN	17	6/24/09	467.71	27.5123	75.6700	1,286.39	818.68	LT		54.06	4.20%	
		11	c2/29/12	570.29	51.8445	75.6700	832.37	262.08	LT		34.98	4.20%	
		1	c3/16/12	52.02	52.0200	75.6700	75.67	23.65	LT		3.18	4.20%	
		1	c11/18/14	72.52	72.5200	75.6700	75.67	3.15	ST		3.18	4.20%	
		30		1,162.54			2,270.10	1,107.56			95.40	4.20%	0.5%
HOME DEPOT INC	HD	20	c6/12/14	1,571.00	78.5500	104.9700	2,099.40	528.40	ST		37.60	1.79%	
		4	c7/8/14	323.36	80.8400	104.9700	419.88	96.52	ST		7.52	1.79%	
		24		1,894.36			2,519.28	624.92			45.12	1.79%	0.5%
HONEYWELL INTL INC	HON	4	c2/9/12	240.00	60.0000	99.9200	399.68	159.68	LT		8.28	2.07%	
		7	c5/31/12	388.78	55.5400	99.9200	699.44	310.66	LT		14.49	2.07%	
		2	c10/6/14	184.02	92.0100	99.9200	199.84	15.82	ST		4.14	2.07%	
		13		812.80			1,298.96	486.16			26.91	2.07%	0.3%
INTERNATIONAL PAPER COMPANY	IP	17	c9/16/14	837.74	49.2788	53.5800	910.86	73.12	ST		27.20	2.98%	
		9	c10/21/14	446.13	49.5700	53.5800	482.22	36.09	ST		14.40	2.98%	
		3	c11/18/14	165.39	55.1300	53.5800	160.74	(4.65)	ST		4.80	2.98%	
		29		1,449.26			1,553.82	104.56			46.40	2.98%	0.3%
INVESCO LTD	IVZ	13	c5/20/13	453.70	34.9000	39.5200	513.76	60.06	LT		13.00	2.53%	
		15	c6/24/13	470.25	31.3500	39.5200	592.80	122.55	LT		15.00	2.53%	
		12	c7/16/13	383.40	31.9500	39.5200	474.24	90.84	LT		12.00	2.53%	
		14	c8/1/13	463.26	33.0900	39.5200	553.28	90.02	LT		14.00	2.53%	
		9	c12/26/13	329.31	36.5900	39.5200	355.68	26.37	LT		9.00	2.53%	

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		10	c3/12/14	354.90	35.4900	39.5200	395.20	40.30	ST		10.00	2.53%	
		4	c11/18/14	159.92	39.9800	39.5200	158.08	(1.84)	ST		4.00	2.53%	
		77		**2,614.74**			**3,043.04**	**428.30**			**77.00**	**2.53%**	**0.7%**
ITC HOLDINGS CORP	ITC	27	c12/14/12	702.45	26.0166	40.4300	1,091.61	389.16	LT		17.55	1.60%	
		3	c2/22/13	81.73	27.2433	40.4300	121.29	39.56	LT		1.95	1.60%	
		30		**784.18**			**1,212.90**	**428.72**			**19.50**	**1.60%**	**0.3%**
JANUS CAP GROUP INC	JNS	100	c7/29/13	928.28	9.2828	16.1300	1,613.00	684.72	LT		32.00	1.98%	
		14	c11/21/14	216.86	15.4900	16.1300	225.82	8.96	ST		4.48	1.98%	
		114		**1,145.14**			**1,838.82**	**693.68**			**36.48**	**1.98%**	**0.4%**
JPMORGAN CHASE & COMPANY	JPM	7	11/8/05	261.87	37.4100	62.5800	438.06	176.19	LT		11.20	2.55%	
		35	6/24/09	1,171.45	33.4700	62.5800	2,190.30	1,018.85	LT		56.00	2.55%	
		5	c3/31/14	303.84	60.7680	62.5800	312.90	9.06	ST		8.00	2.55%	
		8	c9/30/14	482.80	60.3500	62.5800	500.64	17.84	ST		12.80	2.55%	
		4	c11/18/14	242.96	60.7400	62.5800	250.32	7.36	ST		6.40	2.55%	
		16	c12/17/14	949.24	59.3275	62.5800	1,001.28	52.04	ST		25.60	2.55%	
		75		**3,412.16**			**4,693.50**	**1,281.34**			**120.00**	**2.55%**	**1.0%**
KEYCORP NEW	KEY	117	c10/6/14	1,572.47	13.4399	13.9000	1,626.30	53.83	ST		30.42	1.87%	
		40	c12/17/14	532.40	13.3100	13.9000	556.00	23.60	ST		10.40	1.87%	
		157		**2,104.87**			**2,182.30**	**77.43**			**40.82**	**1.87%**	**0.5%**
KOHL'S CORP	KSS	18	c2/6/13	832.32	46.2400	61.0400	1,098.72	266.40	LT		28.08	2.55%	
		10	c2/14/13	470.40	47.0400	61.0400	610.40	140.00	LT		15.60	2.55%	
		8	c10/8/13	407.10	50.8875	61.0400	488.32	81.22	LT		12.48	2.55%	
		36		**1,709.82**			**2,197.44**	**487.62**			**56.16**	**2.55%**	**0.5%**
KRAFT FOODS GRP INC	KRFT	1	c11/29/12	45.79	45.7900	62.6600	62.66	16.87	LT		2.20	3.51%	0.0%
KROGER COMPANY	KR	26	c8/27/14	1,318.87	50.7257	64.2100	1,669.46	350.59	ST		19.24	1.15%	
		12	c9/25/14	622.80	51.9000	64.2100	770.52	147.72	ST		8.88	1.15%	
		9	c11/5/14	516.96	57.4400	64.2100	577.89	60.93	ST		6.66	1.15%	
		3	c11/18/14	175.71	58.5700	64.2100	192.63	16.92	ST		2.22	1.15%	

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		50		**2,634.34**			**3,210.50**	**576.16**			**37.00**	**1.15%**	**0.7%**
LEIDOS HOLDINGS INC	LDOS	16.523	c1/30/13	557.80	33.7582	43.5200	719.10	161.29	LT		21.15	2.94%	
		9.477	c2/14/13	312.11	32.9346	43.5200	412.42	100.31	LT		12.13	2.94%	
		26		**869.91**			**1,131.52**	**261.60**			**33.28**	**2.94%**	**0.2%**
LIBERTY BROADBAND RTS CORP SER C EXP 01/09/15	LBRKR	1	c12/11/14	Please Provide		9.5000	9.50		ST				
LIBERTY BROADBAND CORP SER C	LBRDK	7	c9/22/14	338.64	48.3771	49.8200	348.74	10.10	ST				0.1%
LIBERTY MEDIA CORP DEL SER C	LMCK	31	c9/22/14	1,095.66	35.3438	35.0300	1,085.93	(9.73)	ST				
		16	c12/4/14	576.00	36.0000	35.0300	560.48	(15.52)	ST				
		47		**1,671.66**			**1,646.41**	**(25.25)**					**0.4%**
LILLY ELI & COMPANY	LLY	38	c8/5/14	2,318.38	61.0100	68.9900	2,621.62	303.24	ST		76.00	2.89%	
		10	c8/19/14	622.28	62.2280	68.9900	689.90	67.62	ST		20.00	2.89%	
		5	c9/25/14	328.05	65.6100	68.9900	344.95	16.90	ST		10.00	2.89%	
		4	c11/18/14	271.44	67.8600	68.9900	275.96	4.52	ST		8.00	2.89%	
		57		**3,540.15**			**3,932.43**	**392.28**			**114.00**	**2.89%**	**0.8%**
M&T BANK CORP	MTB	4	c10/17/11	298.96	74.7400	125.6200	502.48	203.52	LT		11.20	2.22%	
		8	c10/20/11	589.06	73.6325	125.6200	1,004.96	415.90	LT		22.40	2.22%	
		1	c11/18/14	124.78	124.7800	125.6200	125.62	0.84	ST		2.80	2.22%	
		13		**1,012.80**			**1,633.06**	**620.26**			**36.40**	**2.22%**	**0.4%**
MATTEL INC	MAT	10	3/11/10	212.70	21.2700	30.9450	309.45	96.75	LT		15.20	4.91%	
		26	4/21/10	574.85	22.1096	30.9450	804.57	229.72	LT		39.52	4.91%	
		2	c3/16/12	63.20	31.6000	30.9450	61.89	(1.31)	LT		3.04	4.91%	
		38		**850.75**			**1,175.91**	**325.16**			**57.76**	**4.91%**	**0.3%**
MCKESSON CORP	MCK	14	c3/9/11	1,110.34	79.3100	207.5800	2,906.12	1,795.78	LT		13.44	0.46%	
		1	c3/16/12	87.54	87.5400	207.5800	207.58	120.04	LT		0.96	0.46%	
		2	c11/18/14	415.28	207.6400	207.5800	415.16	(0.12)	ST		1.92	0.46%	

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		17		**1,613.16**			**3,528.86**	**1,915.70**			**16.32**	**0.46%**	**0.3%**
MERCK & COMPANY INC NEW	MRK	17	c1/31/12	653.65	38.4500	56.7900	965.43	311.78	LT		30.60	3.16%	
		11	c3/20/12	416.90	37.9000	56.7900	624.69	207.79	LT		19.80	3.16%	
		11	c11/29/12	491.81	44.7100	56.7900	624.69	132.88	LT		19.80	3.16%	
		6	c9/12/13	287.34	47.8900	56.7900	340.74	53.40	LT		10.80	3.16%	
		10	c6/27/14	577.56	57.7560	56.7900	567.90	(9.66)	ST		18.00	3.16%	
		9	c8/19/14	530.17	58.9077	56.7900	511.11	(19.06)	ST		16.20	3.16%	
		12	c10/21/14	659.52	54.9600	56.7900	681.48	21.96	ST		21.60	3.16%	
		4	c11/18/14	239.64	59.9100	56.7900	227.16	(12.48)	ST		7.20	3.16%	
		80		**3,856.59**			**4,543.20**	**686.61**			**144.00**	**3.16%**	**1.0%**
MICHAEL KORS HOLDINGS LTD	KORS	13	c9/30/14	932.49	71.7300	75.1000	976.30	43.81	ST				
		74	c12/16/14	5,652.86	76.3900	75.1000	5,557.40	(95.46)	ST				
		87		**6,585.35**			**6,533.70**	**(51.65)**					**1.4%**
MICROSOFT CORP	MSFT	46	c7/23/14	2,060.34	44.7900	46.4500	2,136.70	76.36	ST		57.04	2.66%	
		7	c9/25/14	323.12	46.1600	46.4500	325.15	2.03	ST		8.68	2.66%	
		18	c9/30/14	829.98	46.1100	46.4500	836.10	6.12	ST		22.32	2.66%	
		12	c10/29/14	558.00	46.5000	46.4500	557.40	(0.60)	ST		14.88	2.66%	
		2	c11/18/14	97.54	48.7700	46.4500	92.90	(4.64)	ST		2.48	2.66%	
		14	c12/17/14	638.38	45.5985	46.4500	650.30	11.92	ST		17.36	2.66%	
		99		**4,507.36**			**4,598.55**	**91.19**			**122.76**	**2.66%**	**1.0%**
MONSANTO COMPANY NEW	MON	11	c10/9/14	1,188.72	108.0654	119.4700	1,314.17	125.45	ST		21.56	1.64%	
		1	c11/18/14	119.60	119.6000	119.4700	119.47	(0.13)	ST		1.96	1.64%	
		3	c12/23/14	368.61	122.8700	119.4700	358.41	(10.20)	ST		5.88	1.64%	
		15		**1,676.93**			**1,792.05**	**115.12**			**29.40**	**1.64%**	**0.4%**
NATIONAL FUEL GAS COMPANY	NFG	13	c12/17/12	692.25	53.2500	69.5300	903.89	211.64	LT		20.02	2.21%	
		10	c2/14/13	581.20	58.1200	69.5300	695.30	114.10	LT		15.40	2.21%	
		6	c4/29/13	374.17	62.3616	69.5300	417.18	43.01	LT		9.24	2.21%	
		3	c11/18/14	207.18	69.0600	69.5300	208.59	1.41	ST		4.62	2.21%	
		32		**1,854.80**			**2,224.96**	**370.16**			**49.28**	**2.21%**	**0.5%**
NESTLE S A SPNSD ADR	NSRGY	1	c10/6/14	72.24	72.2400	72.9500	72.95	0.71	ST		2.41	3.31%	0.0%

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
REPSTING REG SHS													
NEW YORK COMMUNITY BANCORP INC	NYCB	21	12/16/09	288.11	13.7195	16.0000	336.00	47.89	LT		21.00	6.25%	
		93	c10/26/11	1,193.19	12.8300	16.0000	1,488.00	294.81	LT		93.00	6.25%	
		4	c3/16/12	55.48	13.8700	16.0000	64.00	8.52	LT		4.00	6.25%	
		118		1,536.78			1,888.00	351.22			118.00	6.25%	0.4%
NEXTERA ENERGY INC	NEE	13	c4/17/12	817.42	62.8784	106.2900	1,381.77	564.35	LT		37.70	2.72%	
		5	c8/6/12	353.45	70.6900	106.2900	531.45	178.00	LT		14.50	2.72%	
		9	c7/16/14	887.49	98.6100	106.2900	956.61	69.12	ST		26.10	2.72%	
		6	c9/5/14	586.14	97.6900	106.2900	637.74	51.60	ST		17.40	2.72%	
		1	c11/18/14	103.40	103.4000	106.2900	106.29	2.89	ST		2.90	2.72%	
		34		2,747.90			3,613.86	865.96			98.60	2.72%	0.8%
NIKE INC CL B	NKE	50	6/24/09	1,340.50	26.8100	96.1500	4,807.50	3,467.00	LT		56.00	1.16%	
		10	c8/28/12	495.75	49.5750	96.1500	961.50	465.75	LT		11.20	1.16%	
		6	c2/6/14	429.24	71.5400	96.1500	576.90	147.66	ST		6.72	1.16%	
		4	c11/18/14	386.48	96.6200	96.1500	384.60	(1.88)	ST		4.48	1.16%	
		70		2,651.97			6,730.50	4,078.53			78.40	1.16%	1.4%
NISOURCE INC	NI	19	6/24/09	214.65	11.2973	42.4200	805.98	591.33	LT		19.76	2.45%	
		4	c3/16/12	94.36	23.5900	42.4200	169.68	75.32	LT		4.16	2.45%	
		5	c5/21/13	148.15	29.6300	42.4200	212.10	63.95	LT		5.20	2.45%	
		28		457.16			1,187.76	730.60			29.12	2.45%	0.3%
NORDSTROM INC	JWN	70	c8/12/11	3,100.43	44.2918	79.3900	5,557.30	2,456.87	LT		92.40	1.66%	
		5	c3/28/13	273.80	54.7600	79.3900	396.95	123.15	LT		6.60	1.66%	
		5	c1/28/14	292.35	58.4700	79.3900	396.95	104.60	ST		6.60	1.66%	
		5	c2/6/14	292.90	58.5800	79.3900	396.95	104.05	ST		6.60	1.66%	
		4	c11/18/14	293.96	73.4900	79.3900	317.56	23.60	ST		5.28	1.66%	
		89		4,253.44			7,065.71	2,812.27			117.48	1.66%	1.5%
OCCIDENTAL PETROLEUM CORP	OXY	1	c5/20/13	90.23	90.2300	80.6100	80.61	(9.62)	LT		2.88	3.57%	
		5	c6/12/14	487.26	97.4520	80.6100	403.05	(84.21)	ST		14.40	3.57%	
		7	c8/19/14	687.84	98.2628	80.6100	564.27	(123.57)	ST		20.16	3.57%	
		8	c9/30/14	740.39	92.5487	80.6100	644.88	(95.51)	ST		23.04	3.57%	

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		21		**2,005.72**			**1,692.81**	**(312.91)**			**60.48**	**3.57%**	**0.4%**
OLD REPUBLIC INTL CORP	ORI	47	6/24/09	450.26	9.5800	14.6300	687.61	237.35	LT		34.31	4.98%	
		38	c5/23/12	376.18	9.8994	14.6300	555.94	179.76	LT		27.74	4.98%	
		10	c12/5/12	106.20	10.6200	14.6300	146.30	40.10	LT		7.30	4.98%	
		24	c12/7/12	255.60	10.6500	14.6300	351.12	95.52	LT		17.52	4.98%	
		15	c5/6/13	205.50	13.7000	14.6300	219.45	13.95	LT		10.95	4.98%	
		134		**1,393.74**			**1,960.42**	**566.68**			**97.82**	**4.98%**	**0.4%**
OMNICOM GROUP INC	OMC	20	c8/26/11	787.71	39.3855	77.4700	1,549.40	761.69	LT		40.00	2.58%	
		2	c3/16/12	99.78	49.8900	77.4700	154.94	55.16	LT		4.00	2.58%	
		22		**887.49**			**1,704.34**	**816.85**			**44.00**	**2.58%**	**0.4%**
ORACLE CORP	ORCL	26	6/24/09	564.72	21.7200	44.9700	1,169.22	604.50	LT		12.48	1.06%	
		29	c5/11/11	1,010.94	34.8600	44.9700	1,304.13	293.19	LT		13.92	1.06%	
		3	c6/27/11	94.74	31.5800	44.9700	134.91	40.17	LT		1.44	1.06%	
		26	c8/11/11	718.38	27.6300	44.9700	1,169.22	450.84	LT		12.48	1.06%	
		10	c3/20/12	297.00	29.7000	44.9700	449.70	152.70	LT		4.80	1.06%	
		3	c3/22/13	95.25	31.7500	44.9700	134.91	39.66	LT		1.44	1.06%	
		5	c6/7/13	169.90	33.9800	44.9700	224.85	54.95	LT		2.40	1.06%	
		14	c1/28/14	517.16	36.9400	44.9700	629.58	112.42	ST		6.72	1.06%	
		11	c7/29/14	447.81	40.7100	44.9700	494.67	46.86	ST		5.28	1.06%	
		4	c9/30/14	152.88	38.2200	44.9700	179.88	27.00	ST		1.92	1.06%	
		41	c10/15/14	1,546.49	37.7192	44.9700	1,843.77	297.28	ST		19.68	1.06%	
		14	c11/17/14	576.34	41.1671	44.9700	629.58	53.24	ST		6.72	1.06%	
		17	c11/18/14	703.12	41.3600	44.9700	764.49	61.37	ST		8.16	1.06%	
		8	c12/8/14	331.67	41.4587	44.9700	359.76	28.09	ST		3.84	1.06%	
		20	c12/17/14	824.97	41.2485	44.9700	899.40	74.43	ST		9.60	1.06%	
		231		**8,051.37**			**10,388.07**	**2,336.70**			**110.88**	**1.06%**	**2.2%**
PEABODY ENERGY CORP	BTU	59	c12/16/14	458.42	7.7698	7.7400	456.66	(1.76)	ST		20.06	4.39%	0.1%
PG&E CORP	PCG	46	c7/16/14	2,179.37	47.3776	53.2400	2,449.04	269.67	ST		83.72	3.41%	0.5%
PHILLIPS 66	PSX	5	c9/17/12	230.45	46.0900	71.7000	358.50	128.05	LT		10.00	2.78%	
		5	c1/25/13	283.55	56.7100	71.7000	358.50	74.95	LT		10.00	2.78%	

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		5	c8/15/13	285.90	57.1800	71.7000	358.50	72.60	LT		10.00	2.78%	
		5	c10/15/14	338.55	67.7100	71.7000	358.50	19.95	ST		10.00	2.78%	
		20		1,138.45			1,434.00	295.55			40.00	2.78%	0.3%
PNC FINANCIAL SERVICES GROUP INC	PNC	11	c1/25/13	681.78	61.9800	91.2300	1,003.53	321.75	LT		21.12	2.10%	
		2	c1/22/14	168.20	84.1000	91.2300	182.46	14.26	ST		3.84	2.10%	
		8	c3/31/14	698.08	87.2600	91.2300	729.84	31.76	ST		15.36	2.10%	
		5	c10/29/14	422.13	84.4260	91.2300	456.15	34.02	ST		9.60	2.10%	
		26		1,970.19			2,371.98	401.79			49.92	2.10%	0.5%
PUBLIC STORAGE	PSA	2	c8/6/12	294.30	147.1500	184.8500	369.70	75.40	LT		11.20	3.02%	
		2	c10/21/13	340.96	170.4800	184.8500	369.70	28.74	LT		11.20	3.02%	
		4	c7/16/14	688.16	172.0400	184.8500	739.40	51.24	ST		22.40	3.02%	
		1	c7/23/14	174.02	174.0200	184.8500	184.85	10.83	ST		5.60	3.02%	
		2	c9/5/14	351.54	175.7700	184.8500	369.70	18.16	ST		11.20	3.02%	
		1	c11/18/14	186.67	186.6700	184.8500	184.85	(1.82)	ST		5.60	3.02%	
		12		2,035.65			2,218.20	182.55			67.20	3.02%	0.5%
QUALCOMM INC	QCOM	63	6/9/10	2,209.41	35.0700	74.3300	4,682.79	2,473.38	LT		105.84	2.26%	
		8	c6/7/13	495.60	61.9500	74.3300	594.64	99.04	LT		13.44	2.26%	
		12	c10/21/13	824.28	68.6900	74.3300	891.96	67.68	LT		20.16	2.26%	
		6	c1/28/14	432.00	72.0000	74.3300	445.98	13.98	ST		10.08	2.26%	
		5	c3/12/14	384.10	76.8200	74.3300	371.65	(12.45)	ST		8.40	2.26%	
		3	c6/24/14	237.84	79.2800	74.3300	222.99	(14.85)	ST		5.04	2.26%	
		6	c7/29/14	454.56	75.7600	74.3300	445.98	(8.58)	ST		10.08	2.26%	
		9	c11/11/14	628.01	69.7788	74.3300	668.97	40.96	ST		15.12	2.26%	
		3	c11/18/14	215.31	71.7700	74.3300	222.99	7.68	ST		5.04	2.26%	
		4	c12/8/14	289.68	72.4200	74.3300	297.32	7.64	ST		6.72	2.26%	
		12	c12/17/14	859.44	71.6200	74.3300	891.96	32.52	ST		20.16	2.26%	
		131		7,030.23			9,737.23	2,707.00			220.08	2.26%	2.1%
QUEST DIAGNOSTICS INC	DGX	15	c10/2/13	938.64	62.5760	67.0600	1,005.90	67.26	LT		19.80	1.96%	
		3	c1/27/14	161.25	53.7500	67.0600	201.18	39.93	ST		3.96	1.96%	
		9	c2/26/14	476.73	52.9700	67.0600	603.54	126.81	ST		11.88	1.96%	
		7	c4/3/14	423.57	60.5100	67.0600	469.42	45.85	ST		9.24	1.96%	
		3	c11/18/14	192.15	64.0500	67.0600	201.18	9.03	ST		3.96	1.96%	
		37		2,192.34			2,481.22	288.88			48.84	1.96%	0.5%

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
REED ELSEVIER N V SPONSORED ADR NEW	ENL	2	1/8/07	78.65	39.3250	47.6400	95.28	16.63	LT		2.83	2.97%	0.0%
REGAL ENTERTAINMENT GRP CL A	RGC	49	c12/19/12	678.65	13.8500	21.3600	1,046.64	367.99	LT		43.12	4.11%	
		18	c1/17/13	263.70	14.6500	21.3600	384.48	120.78	LT		15.84	4.11%	
		8	c2/22/13	124.72	15.5900	21.3600	170.88	46.16	LT		7.04	4.11%	
		31	c6/3/14	604.43	19.4977	21.3600	662.16	57.73	ST		27.28	4.11%	
		10	c11/18/14	227.70	22.7700	21.3600	213.60	(14.10)	ST		8.80	4.11%	
		116		**1,899.20**			**2,477.76**	**578.56**			**102.08**	**4.11%**	**0.5%**
REYNOLDS AMERICAN INC	RAI	5	c6/12/14	296.30	59.2600	64.2700	321.35	25.05	ST		13.40	4.16%	
		30	c9/5/14	1,764.30	58.8100	64.2700	1,928.10	163.80	ST		80.40	4.16%	
		1	c9/5/14	59.26	59.2600	64.2700	64.27	5.01	ST		2.68	4.16%	
		36		**2,119.86**			**2,313.72**	**193.86**			**96.48**	**4.16%**	**0.5%**
ROCHE HOLDING LIMITED SPONSORED ADR	RHHBY	28	c1/25/13	769.16	27.4700	33.9900	951.72	182.56	LT		31.05	3.26%	
		28	c4/19/13	857.36	30.6200	33.9900	951.72	94.36	LT		31.05	3.26%	
		10	c1/22/14	342.94	34.2940	33.9900	339.90	(3.04)	ST		11.09	3.26%	
		21	c10/21/14	763.35	36.3500	33.9900	713.79	(49.56)	ST		23.29	3.26%	
		7	c11/18/14	261.93	37.4185	33.9900	237.93	(24.00)	ST		7.76	3.26%	
		94		**2,994.74**			**3,195.06**	**200.32**			**104.24**	**3.26%**	**0.7%**
ROCKWELL COLLINS INC DE	COL	12	c6/22/11	737.52	61.4600	84.4800	1,013.76	276.24	LT		14.40	1.42%	
		9	c4/29/13	559.44	62.1600	84.4800	760.32	200.88	LT		10.80	1.42%	
		4	c8/19/13	288.68	72.1700	84.4800	337.92	49.24	LT		4.80	1.42%	
		1	c11/18/14	84.00	84.0000	84.4800	84.48	0.48	ST		1.20	1.42%	
		26		**1,669.64**			**2,196.48**	**526.84**			**31.20**	**1.42%**	**0.5%**
SAINT JUDE MEDICAL INC	STJ	41	c2/3/11	1,724.82	42.0687	65.0300	2,666.23	941.41	LT		44.28	1.66%	0.6%
SCIENCE APPLICATIONS INTERNATIONAL CORP NEW	SAIC	1	c2/14/13	26.29	26.2900	49.5300	49.53	23.24	LT		1.12	2.26%	0.0%
SEMPRA ENERGY	SRE	8	c11/11/11	431.36	53.9200	111.3600	890.88	459.52	LT		21.12	2.37%	
		10	c7/16/14	1,020.35	102.0350	111.3600	1,113.60	93.25	ST		26.40	2.37%	

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		2	c11/11/14	225.00	112.5000	111.3600	222.72	(2.28)	ST		5.28	2.37%	
		3	c11/18/14	332.34	110.7800	111.3600	334.08	1.74	ST		7.92	2.37%	
		23		**2,009.05**			**2,561.28**	**552.23**			**60.72**	**2.37%**	**0.6%**
SIMON PROPERTY GROUP INC NEW	SPG	1	c10/21/13	146.81	146.8100	182.1100	182.11	35.30	LT		5.20	2.85%	
		3	c3/31/14	463.30	154.4333	182.1100	546.33	83.03	ST		15.60	2.85%	
		6	c7/16/14	1,023.12	170.5200	182.1100	1,092.66	69.54	ST		31.20	2.85%	
		2	c7/23/14	339.20	169.6000	182.1100	364.22	25.02	ST		10.40	2.85%	
		12		**1,972.43**			**2,185.32**	**212.89**			**62.40**	**2.85%**	**0.5%**
SONOCO PRODUCTS CO	SON	10	c8/26/11	294.45	29.4450	43.7000	437.00	142.55	LT		12.80	2.92%	
		16	c10/21/11	480.00	30.0000	43.7000	699.20	219.20	LT		20.48	2.92%	
		26		**774.45**			**1,136.20**	**361.75**			**33.28**	**2.92%**	**0.2%**
STAPLES INC	SPLS	23	c5/7/13	320.16	13.9200	18.1200	416.76	96.60	LT		11.04	2.64%	
		23	c6/11/13	354.93	15.4317	18.1200	416.76	61.83	LT		11.04	2.64%	
		20	c8/15/13	332.80	16.6400	18.1200	362.40	29.60	LT		9.60	2.64%	
		24	c12/16/14	415.20	17.3000	18.1200	434.88	19.68	ST		11.52	2.64%	
		90		**1,423.09**			**1,630.80**	**207.71**			**43.20**	**2.64%**	**0.4%**
STRYKER CORP	SYK	11	c3/25/13	710.38	64.5800	94.3300	1,037.63	327.25	LT		15.18	1.46%	
		6	c4/19/13	385.38	64.2300	94.3300	565.98	180.60	LT		8.28	1.46%	
		17		**1,095.76**			**1,603.61**	**507.85**			**23.46**	**1.46%**	**0.3%**
SUN COMMUNITIES INC	SUI	13	c1/12/12	440.53	33.8869	60.4600	785.98	345.45	LT		33.80	4.30%	
		13	c2/2/12	497.56	38.2738	60.4600	785.98	288.42	LT		33.80	4.30%	
		10	c9/25/12	425.32	42.5320	60.4600	604.60	179.28	LT		26.00	4.30%	
		4	c1/27/14	177.72	44.4300	60.4600	241.84	64.12	ST		10.40	4.30%	
		3	c11/18/14	170.40	56.8000	60.4600	181.38	10.98	ST		7.80	4.30%	
		43		**1,711.53**			**2,599.78**	**888.25**			**111.80**	**4.30%**	**0.6%**
SUNTRUST BANKS INC	STI	9	c10/26/11	173.88	19.3200	41.9000	377.10	203.22	LT		7.20	1.90%	
		8	c2/8/13	234.08	29.2600	41.9000	335.20	101.12	LT		6.40	1.90%	
		26	c6/7/13	835.12	32.1200	41.9000	1,089.40	254.28	LT		20.80	1.90%	
		10	c6/24/13	304.60	30.4600	41.9000	419.00	114.40	LT		8.00	1.90%	
		10	c8/15/13	343.80	34.3800	41.9000	419.00	75.20	LT		8.00	1.90%	

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		5	c11/18/14	198.70	39.7400	41.9000	209.50	10.80	ST		4.00	1.90%	
		68		**2,090.18**			**2,849.20**	**759.02**			**54.40**	**1.90%**	**0.6%**
SYNCHRONY FINANCIAL	SYF	7	c8/26/14	175.00	25.0000	29.7500	208.25	33.25	ST				
		34	c8/27/14	850.00	25.0000	29.7500	1,011.50	161.50	ST				
		41		**1,025.00**			**1,219.75**	**194.75**					**0.3%**
SYNGENTA AG SPONSORED ADR	SYT	31	c12/16/14	1,948.97	62.8700	64.2400	1,991.44	42.47	ST		70.55	3.54%	0.4%
SYSCO CORP	SYY	19	c9/28/12	593.37	31.2300	39.6900	754.11	160.74	LT		22.80	3.02%	
		18	c11/5/12	553.50	30.7500	39.6900	714.42	160.92	LT		21.60	3.02%	
		11	c12/28/12	346.50	31.5000	39.6900	436.59	90.09	LT		13.20	3.02%	
		1	c11/18/14	39.20	39.2000	39.6900	39.69	0.49	ST		1.20	3.02%	
		49		**1,532.57**			**1,944.81**	**412.24**			**58.80**	**3.02%**	**0.4%**
TECO ENERGY INC	TE	24	c9/28/12	425.04	17.7100	20.4900	491.76	66.72	LT		21.12	4.29%	
		23	c11/8/12	396.29	17.2300	20.4900	471.27	74.98	LT		20.24	4.29%	
		21	c1/17/13	357.42	17.0200	20.4900	430.29	72.87	LT		18.48	4.29%	
		8	c11/20/14	156.00	19.5000	20.4900	163.92	7.92	ST		7.04	4.29%	
		76		**1,334.75**			**1,557.24**	**222.49**			**66.88**	**4.29%**	**0.3%**
TEVA PHARMACEUTICAL INDS LTD ADR	TEVA	16	c11/5/14	924.00	57.7500	57.5100	920.16	(3.84)	ST		21.67	2.35%	
		16	c12/17/14	886.72	55.4200	57.5100	920.16	33.44	ST		21.68	2.35%	
		32		**1,810.72**			**1,840.32**	**29.60**			**43.35**	**2.35%**	**0.4%**
THERMO FISHER SCIENTIFIC INC	TMO	9	6/24/09	362.70	40.3000	125.2900	1,127.61	764.91	LT		5.40	0.47%	
		5	c1/25/13	354.25	70.8500	125.2900	626.45	272.20	LT		3.00	0.47%	
		6	c5/9/14	698.46	116.4100	125.2900	751.74	53.28	ST		3.60	0.47%	
		20		**1,415.41**			**2,505.80**	**1,090.39**			**12.00**	**0.47%**	**0.5%**
TJX COMPANIES INC NEW	TJX	63	c11/7/13	3,816.52	60.5796	68.5800	4,320.54	504.02	LT		44.10	1.02%	
		4	c2/6/14	236.80	59.2000	68.5800	274.32	37.52	ST		2.80	1.02%	
		25	c5/15/14	1,448.98	57.9592	68.5800	1,714.50	265.52	ST		17.50	1.02%	
		6	c6/12/14	329.04	54.8400	68.5800	411.48	82.44	ST		4.20	1.02%	

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		13	c6/24/14	704.58	54.1984	68.5800	891.54	186.96	ST		9.10	1.02%	
		7	c9/9/14	426.40	60.9142	68.5800	480.06	53.66	LT		4.90	1.02%	
		7	c11/18/14	430.08	61.4400	68.5800	480.06	49.98	ST		4.90	1.02%	
		125		**7,392.40**			**8,572.50**	**1,180.10**			**87.50**	**1.02%**	**1.8%**
TRINITY INDUSTRIES INC	TRN	20	c10/24/14	704.50	35.2250	28.0100	560.20	(144.30)	ST		8.00	1.42%	0.1%
ULTRA PETROLEUM CORP	UPL	42	c5/20/13	976.71	23.2550	13.1600	552.72	(423.99)	LT				0.1%
UNITED TECHNOLOGIES CORP	UTX	3	1/8/07	189.39	63.1300	115.0000	345.00	155.61	LT		7.08	2.05%	
		6	c8/29/11	441.60	73.6000	115.0000	690.00	248.40	LT		14.16	2.05%	
		3	c3/25/13	278.73	92.9100	115.0000	345.00	66.27	LT		7.08	2.05%	
		12		**909.72**			**1,380.00**	**470.28**			**28.32**	**2.05%**	**0.3%**
VERIFONE SYSTEMS INC	PAY	24	c4/19/13	456.76	19.0316	37.2000	892.80	436.04	LT				
		3	c4/29/13	63.30	21.1000	37.2000	111.60	48.30	LT				
		20	c11/21/14	734.60	36.7300	37.2000	744.00	9.40	ST				
		47		**1,254.66**			**1,748.40**	**493.74**					**0.4%**
VERIZON COMMUNICATIONS INC	VZ	20	c3/25/13	983.80	49.1900	46.7800	935.60	(48.20)	LT		44.00	4.70%	
		29	c3/12/14	1,346.76	46.4400	46.7800	1,356.62	9.86	ST		63.80	4.70%	
		7	c7/16/14	356.16	50.8800	46.7800	327.46	(28.70)	ST		15.40	4.70%	
		8	c9/5/14	398.56	49.8200	46.7800	374.24	(24.32)	ST		17.60	4.70%	
		5	c11/18/14	256.75	51.3500	46.7800	233.90	(22.85)	ST		11.00	4.70%	
		15	c12/23/14	715.32	47.6880	46.7800	701.70	(13.62)	ST		33.00	4.70%	
		84		**4,057.35**			**3,929.52**	**(127.83)**			**184.80**	**4.70%**	**0.8%**
WALT DISNEY CO	DIS	76	c8/8/12	3,823.23	50.3056	94.1900	7,158.44	3,335.21	LT		87.40	1.22%	
		3	c6/3/14	251.54	83.8466	94.1900	282.57	31.03	ST		3.45	1.22%	
		4	c11/18/14	362.20	90.5500	94.1900	376.76	14.56	ST		4.60	1.22%	
		83		**4,436.97**			**7,817.77**	**3,380.80**			**95.45**	**1.22%**	**1.7%**
WELLS FARGO & CO NEW	WFC	36	c10/6/14	1,873.08	52.0300	54.8200	1,973.52	100.44	ST		50.40	2.55%	0.4%
WESTERN UNION COMPANY	WU	59	c8/7/14	992.38	16.8200	17.9100	1,056.69	64.31	ST		29.50	2.79%	

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		32	c9/3/14	559.68	17.4900	17.9100	573.12	13.44	ST		16.00	2.79%	
		35	c12/9/14	633.65	18.1042	17.9100	626.85	(6.80)	ST		17.50	2.79%	
		126		**2,185.71**			**2,256.66**	**70.95**			**63.00**	**2.79%**	**0.5%**
XCEL ENERGY INC	XEL	29	6/24/09	520.26	17.9400	35.9200	1,041.68	521.42	LT		34.80	3.34%	
		4	c11/18/14	135.28	33.8200	35.9200	143.68	8.40	ST		4.80	3.34%	
		33		**655.54**			**1,185.36**	**529.82**			**39.60**	**3.34%**	**0.3%**
XL GROUP PLC	XL	43	c7/8/14	1,422.01	33.0700	34.3700	1,477.91	55.90	ST		27.52	1.86%	
		17	c11/17/14	588.86	34.6388	34.3700	584.29	(4.57)	ST		10.88	1.86%	
		7	c11/18/14	244.37	34.9100	34.3700	240.59	(3.78)	ST		4.48	1.86%	
		67		**2,255.24**			**2,302.79**	**47.55**			**42.88**	**1.86%**	**0.5%**
ZIMMER HOLDINGS INC	ZMH	5	6/24/09	211.60	42.3200	113.4200	567.10	355.50	LT		4.40	0.77%	
		14	c3/4/11	876.68	62.6200	113.4200	1,587.88	711.20	LT		12.32	0.77%	
		2	c2/14/13	152.80	76.4000	113.4200	226.84	74.04	LT		1.76	0.77%	
		2	c5/21/13	161.94	80.9700	113.4200	226.84	64.90	LT		1.76	0.77%	
		1	c11/18/14	111.63	111.6300	113.4200	113.42	1.79	ST		0.88	0.77%	
		24		**1,514.65**			**2,722.08**	**1,207.43**			**21.12**	**0.77%**	**0.6%**
TOTAL EQUITIES - STOCKS & OPTIONS				**288,034.06**			**374,853.58**	**86,809.98**			**6,821.29**	**1.81%**	**79.2%**

EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
HARBOR	HAINX	500.423	6/24/09	21,227.94	42.4199	64.7800	32,417.40	11,189.46	LT		748.13	2.30%	
INTL		9.257	6/29/09	405.36	43.7895	64.7800	599.67	194.30	LT		13.84	2.30%	
INSTL CL		9.122	c1/4/12	491.58	53.8894	64.7800	590.92	99.34	LT		13.64	2.30%	
		29.627	c11/18/14	2,023.50	68.2991	64.7800	1,919.24	(104.27)	ST		44.29	2.30%	
		11.614	c12/23/14	763.50	65.7396	64.7800	752.35	(11.15)	ST		17.36	2.30%	
		560.043		**24,911.88**			**36,279.58**	**11,367.68**			**837.26**	**2.30%**	**7.7%**
ISHARES MSCI	EFA	264	6/24/09	12,025.09	45.5495	60.8400	16,061.76	4,036.67	LT		597.00	3.71%	

PORTFOLIO DETAILS

EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
EAFE ETF		4	6/29/09	185.44	46.3600	60.8400	243.36	57.92	LT		9.05	3.71%	
		4	2/9/10	208.56	52.1400	60.8400	243.36	34.80	LT		9.05	3.71%	
		6	7/1/10	280.26	46.7100	60.8400	365.04	84.78	LT		13.57	3.71%	
		4	c8/5/11	215.96	53.9900	60.8400	243.36	27.40	LT		9.04	3.71%	
		16	c11/18/14	1,022.56	63.9100	60.8400	973.44	(49.12)	ST		36.18	3.71%	
		298		13,937.87			18,130.32	4,192.45			673.89	3.71%	3.8%
ISHARES RUSSELL 2000 ETF	IWM	185	6/24/09	9,251.77	50.0095	119.6200	22,129.70	12,877.93	LT		279.53	1.26%	
		12	c11/18/14	1,397.16	116.4300	119.6200	1,435.44	38.28	ST		18.13	1.26%	
		197		10,648.93			23,565.14	12,916.21			297.66	1.26%	5.0%
TOTAL EQUITIES - MUTUAL FUNDS, ETFS, UITS				49,498.68			77,975.04	28,476.34			1,808.81	2.31%	16.5%
TOTAL ACCOUNT VALUE				337,532.74			472,498.77	115,286.32			8,630.10	1.82%	100.0%

CASH ACTIVITY DETAILS

SECURITIES BOUGHT

Trade Date	Settlement Date	Transaction Type	Quantity	Symbol	Description	Price	Amount	Acct Type
12/04	12/09	PURCHASE	16.000	LMCK	LIBERTY MEDIA CORP DEL SER C EXCHANGE: 06	36.00	(576.00)	CASH
12/08	12/11	PURCHASE	16.000	ERIC	ERICSSON TELEPHONE NEW CL B ADR EXCHANGE: 06	12.39	(198.23)	CASH
12/08	12/11	PURCHASE	8.000	ORCL	ORACLE CORP EXCHANGE: 06	41.46	(331.67)	CASH
12/08	12/11	PURCHASE	4.000	QCOM	QUALCOMM INC EXCHANGE: 06	72.42	(289.68)	CASH
12/09	12/12	PURCHASE	35.000	WU	WESTERN UNION COMPANY EXCHANGE: 06	18.10	(633.65)	CASH
12/16	12/19	PURCHASE	27.000	ALB	ALBEMARLE CORP EXCHANGE: 06	55.26	(1,492.02)	CASH
12/16	12/19	PURCHASE	68.000	AGNC	AMERICAN CAPITAL AGENCY CORP EXCHANGE: 06	21.79	(1,481.72)	CASH

SECURITIES BOUGHT - Continued

Trade Date	Settlement Date	Transaction Type	Quantity	Symbol	Description	Price	Amount	Acct Type
12/16	12/19	PURCHASE	89.000	CCJ	CAMECO CORP EXCHANGE: 06	15.15	(1,348.34)	CASH
12/16	12/19	PURCHASE	21.000	COH	COACH INC EXCHANGE: 06	35.27	(740.67)	CASH
12/16	12/19	PURCHASE	38.000	DVN	DEVON ENERGY CORP NEW EXCHANGE: 06	54.65	(2,076.70)	CASH
12/16	12/19	PURCHASE	84.000	HCA	HCA HOLDINGS INC EXCHANGE: 06	72.60	(6,098.40)	CASH
12/16	12/19	PURCHASE	19.000	HAE	HAEMONETICS CORP MASS EXCHANGE: 06	37.31	(708.89)	CASH
12/16	12/19	PURCHASE	12.000	HAL	HALLIBURTON COMPANY EXCHANGE: 06	38.79	(465.48)	CASH
12/16	12/19	PURCHASE	74.000	KORS	MICHAEL KORS HOLDINGS LTD EXCHANGE: 06	76.39	(5,652.86)	CASH
12/16	12/19	PURCHASE	59.000	BTU	PEABODY ENERGY CORP EXCHANGE: 06	7.77	(458.42)	CASH
12/16	12/19	PURCHASE	24.000	SPLS	STAPLES INC EXCHANGE: 06	17.30	(415.20)	CASH
12/16	12/19	PURCHASE	44.000	SYT	SYNGENTA AG SPONSORED ADR EXCHANGE: 06	62.87	(2,766.28)	CASH
12/17	12/22	PURCHASE	7.000	AFL	AFLAC INC EXCHANGE: 06	58.66	(410.62)	CASH
12/17	12/22	PURCHASE	7.000	C	CITIGROUP INC NEW EXCHANGE: 06	51.70	(361.89)	CASH
12/17	12/22	PURCHASE	16.000	JPM	JPMORGAN CHASE & COMPANY EXCHANGE: 06	59.33	(949.24)	CASH
12/17	12/22	PURCHASE	40.000	KEY	KEYCORP NEW EXCHANGE: 06	13.31	(532.40)	CASH
12/17	12/22	PURCHASE	14.000	MSFT	MICROSOFT CORP EXCHANGE: 06	45.60	(638.38)	CASH
12/17	12/22	PURCHASE	20.000	ORCL	ORACLE CORP EXCHANGE: 06	41.25	(824.97)	CASH
12/17	12/22	PURCHASE	12.000	QCOM	QUALCOMM INC EXCHANGE: 06	71.62	(859.44)	CASH
12/17	12/22	PURCHASE	16.000	TEVA	TEVA PHARMACEUTICAL INDS LTD ADR EXCHANGE: 06	55.42	(886.72)	CASH
12/23	12/29	PURCHASE	4.000	ACE	ACE LTD EXCHANGE: 06	116.42	(465.67)	CASH

SECURITIES BOUGHT - Continued

Trade Date	Settlement Date	Transaction Type	Quantity	Symbol	Description	Price	Amount	Acct Type
12/23	12/29	PURCHASE	5.000	AFL	AFLAC INC EXCHANGE: 06	62.02	(310.10)	CASH
12/23	12/29	PURCHASE	14.000	CBS	CBS CORP NEW CL B EXCHANGE: 06	56.02	(784.27)	CASH
12/23	12/29	PURCHASE	5.000	C	CITIGROUP INC NEW EXCHANGE: 06	54.72	(273.59)	CASH
12/23	12/29	PURCHASE	49.000	GE	GENERAL ELECTRIC COMPANY EXCHANGE: 06	25.99	(1,273.51)	CASH
12/23	12/24	PURCHASE	11.614	HAINX	HARBOR INTL INSTL CL EXCHANGE: 01	65.74	(763.50)	CASH
12/23	12/29	PURCHASE	3.000	MON	MONSANTO COMPANY NEW EXCHANGE: 06	122.87	(368.61)	CASH
12/23	12/29	PURCHASE	15.000	VZ	VERIZON COMMUNICATIONS INC EXCHANGE: 06	47.69	(715.32)	CASH
TOTAL SECURITIES BOUGHT							(36,152.44)	

SECURITIES SOLD

Trade Date	Settlement Date	Transaction Type	Quantity	Symbol	Description	Price	Amount	Acct Type
12/04	12/09	SALE	-3.000	APC	ANADARKO PETROLEUM CORP EXCHANGE: 06 *VSP 20111026	79.38	238.13	CASH
12/04	12/09	SALE	-5.000	LYB	LYONDELLBASELL INDUSTRIES N V ORD SHS CL A EXCHANGE: 06 *VSP 20121025	80.39	401.94	CASH
12/04	12/09	SALE	-3.000	LYB	LYONDELLBASELL INDUSTRIES N V ORD SHS CL A EXCHANGE: 06 *VSP 20120711	80.39	241.16	CASH
12/04	12/09	SALE	-3.000	LYB	LYONDELLBASELL INDUSTRIES N V ORD SHS CL A EXCHANGE: 06 *VSP 20130520	80.39	241.16	CASH

WILLIAM HARRIS ACCOUNT NUMBER: [redacted]

SECURITIES SOLD - Continued

Trade Date	Settlement Date	Transaction Type	Quantity	Symbol	Description	Price	Amount	Acct Type
12/08	12/11	SALE	-21.000	GLW	CORNING INC EXCHANGE: 06 *VSP 20131204	20.95	439.95	CASH
12/08	12/11	SALE	-21.000	GLW	CORNING INC EXCHANGE: 06 *VSP 20140122	20.95	439.95	CASH
12/08	12/11	SALE	-17.000	GLW	CORNING INC EXCHANGE: 06 *VSP 20140312	20.95	356.15	CASH
12/08	12/11	SALE	-17.000	GLW	CORNING INC EXCHANGE: 06 *VSP 20140618	20.95	356.15	CASH
12/08	12/11	SALE	-16.000	GLW	CORNING INC EXCHANGE: 06 *VSP 20140925	20.95	335.20	CASH
12/08	12/11	SALE	-5.000	GLW	CORNING INC EXCHANGE: 06 *VSP 20130815	20.95	104.74	CASH
12/11	12/16	SALE	-3.000	EMR	EMERSON ELECTRIC COMPANY EXCHANGE: 06 *VSP 20141118	61.48	184.43	CASH
12/16	12/19	SALE	-93.000	IWB	ISHARES RUSSELL 1000 ETF EXCHANGE: 06 *VSP 20141113	111.24	10,345.10	CASH
12/16	12/19	SALE	-82.000	IWS	ISHARES RUSSELL MID CAP VALUE ETF EXCHANGE: 06 *VSP 20141113	71.33	5,848.94	CASH
12/16	12/19	SALE	-65.000	IWD	ISHARES RUSSELL 1000 VALUE ETF EXCHANGE: 06 *VSP 20141113	101.12	6,572.66	CASH
12/17	12/22	SALE	-17.000	DVN	DEVON ENERGY CORP NEW EXCHANGE: 06 *VSP 20141216	57.22	972.71	CASH
12/17	12/22	SALE	-9.000	EMR	EMERSON ELECTRIC COMPANY EXCHANGE: 06 *VSP 20140312	59.30	533.68	CASH
12/17	12/22	SALE	-5.000	EMR	EMERSON ELECTRIC COMPANY EXCHANGE: 06 *VSP 20140331	59.30	296.49	CASH

SECURITIES SOLD - Continued

Trade Date	Settlement Date	Transaction Type	Quantity	Symbol	Description	Price	Amount	Acct Type
12/17	12/22	SALE	-5.000	EMR	EMERSON ELECTRIC COMPANY EXCHANGE: 06 *VSP 20140509	59.30	296.49	CASH
12/17	12/22	SALE	-5.000	EMR	EMERSON ELECTRIC COMPANY EXCHANGE: 06 *VSP 20140603	59.30	296.49	CASH
12/17	12/22	SALE	-3.000	EMR	EMERSON ELECTRIC COMPANY EXCHANGE: 06 *VSP 20140916	59.30	177.89	CASH
12/17	12/22	SALE	-7.000	HAL	HALLIBURTON COMPANY EXCHANGE: 06 *VSP 20141216	38.62	270.33	CASH
12/23	12/29	SALE	-7.000	CVS	CVS HEALTH CORP EXCHANGE: 06 *VSP 20111026	97.92	685.43	CASH
12/23	12/29	SALE	-9.000	NSRGY	NESTLE S A SPNSD ADR REPSTING REG SHS EXCHANGE: 06 *VSP 20070108	73.80	664.21	CASH
12/23	12/29	SALE	-5.000	NSRGY	NESTLE S A SPNSD ADR REPSTING REG SHS EXCHANGE: 06 *VSP 20130624	73.80	369.01	CASH
12/23	12/29	SALE	-2.000	NSRGY	NESTLE S A SPNSD ADR REPSTING REG SHS EXCHANGE: 06 *VSP 20141006	73.80	147.60	CASH
12/23	12/29	SALE	-20.000	ORCL	ORACLE CORP EXCHANGE: 06 *VSP 20141015	46.17	923.41	CASH
12/23	12/29	SALE	-2.000	PNC	PNC FINANCIAL SERVICES GROUP INC EXCHANGE: 06 *VSP 20130125	93.08	186.15	CASH
12/23	12/29	SALE	-13.000	SYT	SYNGENTA AG SPONSORED ADR EXCHANGE: 06 *VSP 20141216	65.46	850.96	CASH
12/24	12/30	SALE	-10.000	SRE	SEMPRA ENERGY EXCHANGE: 06 *VSP 20111111	111.97	1,119.69	CASH
12/24	12/30	SALE	-1.000	SRE	SEMPRA ENERGY EXCHANGE: 06 *VSP 20141118	111.97	111.96	CASH

SECURITIES SOLD - Continued

Trade Date	Settlement Date	Transaction Type	Quantity	Symbol	Description	Price	Amount	Acct Type
TOTAL SECURITIES SOLD							34,008.16	

INCOME AND EXPENSE

Income

Date	Transaction Type	Symbol	Description	Amount	Acct Type
12/01	DIVIDEND	AFL	AFLAC INC 120114 22	8.58	CASH
12/01	DIVIDEND	COP	CONOCOPHILLIPS 120114 34	24.82	CASH
12/01	DIVIDEND	ETR	ENTERGY CORP NEW 120114 12	9.96	CASH
12/01	DIVIDEND	KR	KROGER COMPANY 120114 47	8.70	CASH
12/01	DIVIDEND	PSX	PHILLIPS 66 120114 20	10.00	CASH
12/01	DIVIDEND	WFC	WELLS FARGO & CO NEW 120114 36	12.60	CASH
12/02	DIVIDEND	TEVA	TEVA PHARMACEUTICAL INDS LTD ADR 120214 16	5.07	CASH
12/04	DIVIDEND	TJX	TJX COMPANIES INC NEW 120414 118	20.65	CASH
12/05	DIVIDEND	IVZ	INVESCO LTD 120514 95	23.75	CASH
12/08	DIVIDEND	LYB	LYONDELLBASELL INDUSTRIES N V ORD SHS CL A 120814 11	7.70	CASH
12/08	DIVIDEND	COL	ROCKWELL COLLINS INC DE 120814 25	7.50	CASH
12/10	DIVIDEND	CVX	CHEVRON CORP 121014 28	29.96	CASH
12/10	DIVIDEND	EMR	EMERSON ELECTRIC COMPANY 121014 27	12.69	CASH
12/10	DIVIDEND	XOM	EXXON MOBIL CORP 121014 55	37.95	CASH
12/10	DIVIDEND	HON	HONEYWELL INTL INC 121014 13	6.73	CASH
12/10	DIVIDEND	LLY	LILLY ELI & COMPANY 121014 53	25.97	CASH

INCOME AND EXPENSE - Continued

Income

Date	Transaction Type	Symbol	Description	Amount	Acct Type
12/10	DIVIDEND	SON	SONOCO PRODUCTS CO 121014 26	8.32	CASH
12/10	DIVIDEND	UTX	UNITED TECHNOLOGIES CORP 121014 12	7.08	CASH
12/11	DIVIDEND	AMAT	APPLIED MATERIALS INC 121114 60	6.00	CASH
12/11	DIVIDEND	CNK	CINEMARK HOLDINGS INC 121114 58	14.50	CASH
12/11	DIVIDEND	MSFT	MICROSOFT CORP 121114 83	25.73	CASH
12/12	DIVIDEND	GLW	CORNING INC 121214 129	12.90	CASH
12/12	DIVIDEND	MAT	MATTEL INC 121214 38	14.44	CASH
12/15	DIVIDEND	CSX	CSX CORP 121514 31	4.96	CASH
12/15	DIVIDEND	EL	ESTEE LAUDER COMPANY INC 121514 82	19.68	CASH
12/15	DIVIDEND	EXPD	EXPEDITORS INTL WASH INC 121514 23	7.36	CASH
12/15	DIVIDEND	FAF	FIRST AMERICAN FINANCIAL CORP 121514 90	21.60	CASH
12/15	DIVIDEND	IP	INTERNATIONAL PAPER COMPANY 121514 40	16.00	CASH
12/15	DIVIDEND	KEY	KEYCORP NEW 121514 117	7.61	CASH
12/15	DIVIDEND	NEE	NEXTERA ENERGY INC 121514 34	24.65	CASH
12/15	DIVIDEND	JWN	NORDSTROM INC 121514 89	29.37	CASH
12/15	DIVIDEND	ORI	OLD REPUBLIC INTL CORP 121514 134	24.46	CASH
12/15	DIVIDEND	RGC	REGAL ENTERTAINMENT GRP CL A 121514 116	25.52	CASH
12/15	DIVIDEND	RGC	REGAL ENTERTAINMENT GRP CL A 121514 116	116.00	CASH
12/15	DIVIDEND	STI	SUNTRUST BANKS INC 121514 68	13.60	CASH

WILLIAM HARRIS

ACCOUNT NUMBER: [redacted]

INCOME AND EXPENSE - Continued

Income

Date	Transaction Type	Symbol	Description	Amount	Acct Type
12/16	DIVIDEND	ADI	ANALOG DEVICES INC 121614 28	10.36	CASH
12/16	DIVIDEND	BWC	BABCOCK & WILCOX COMPANY NEW 121614 56	5.60	CASH
12/16	DIVIDEND	DUK	DUKE ENERGY CORP NEW 121614 1	0.80	CASH
12/16	DIVIDEND	ITC	ITC HOLDINGS CORP 121514 30	4.88	CASH
12/17	DIVIDEND	AVY	AVERY DENNISON CORP 121714 27	9.45	CASH
12/18	DIVIDEND	HD	HOME DEPOT INC 121814 24	11.28	CASH
12/18	DIVIDEND	QCOM	QUALCOMM INC 121814 115	48.30	CASH
12/19	DIVIDEND	HAINX	HARBOR INTL INSTL CL 121814 548.42900	777.94	CASH
12/24	DIVIDEND	APC	ANADARKO PETROLEUM CORP 122414 17	4.59	CASH
12/24	DIVIDEND	EFA	ISHARES MSCI EAFE ETF 122414 298	174.38	CASH
12/24	DIVIDEND	KSS	KOHL'S CORP 122414 36	14.04	CASH
12/26	DIVIDEND	BAC	BANK AMERICA CORP 122614 231	11.55	CASH
12/26	DIVIDEND	HAL	HALLIBURTON COMPANY 122614 12	2.16	CASH
12/30	DIVIDEND	PSA	PUBLIC STORAGE 123014 12	16.80	CASH
12/31	DIVIDEND	CHRW	C H ROBINSON WORLDWIDE INC NEW 123114 34	12.92	CASH
12/31	DIVIDEND	ENH	ENDURANCE SPECIALTY HOLDINGS LTD 123114 32	10.88	CASH
12/31	DIVIDEND	IWM	ISHARES RUSSELL 2000 ETF 123114 197	87.67	CASH

INCOME AND EXPENSE - Continued

Income

Date	Transaction Type	Symbol	Description	Amount	Acct Type
12/31	DIVIDEND	MTB	M&T BANK CORP 123114 13	9.10	CASH
12/31	DIVIDEND	WU	WESTERN UNION COMPANY 123114 126	15.75	CASH
12/31	DIVIDEND	XL	XL GROUP PLC 123114 67	10.72	CASH
12/31	INTEREST		JANNEY ADVANTAGE INSURED SWEEP 123114 19,523	0.50	CASH
TOTAL INCOME				**1,892.08**	

Expense

Date	Transaction Type	Symbol	Description	Amount	Acct Type
12/02	WITHHOLDING	TEVA	FRGN-W/H @ SOURCE TEVA PHARMACEUTICAL ADR	(0.76)	CASH
TOTAL EXPENSE				**(0.76)**	
NET INCOME (EXPENSE)				**1,891.32**	

OTHER ACTIVITY

Date	Transaction Type	Quantity	Description	Amount	Acct Type
12/01	STOCK DIV	8.000	CALIFORNIA RESOURCES CORP SPIN FR OCCIDENTAL PETRO	0.00	CASH
12/05	CASH IN LIEU		CALIFORNIA RESOURCES CORP 113014 .40000	2.67	CASH
12/11	STOCK DIV	1.000	LIBERTY BROADBAND RTS CORP SER C EXP 01/09/15 SPIN FR LIBERTY BROADBAN	0.00	CASH
12/19	CASH IN LIEU		LIBERTY BROADBAND RTS CORP SER C EXP 01/09/15 121014 .40000	3.30	CASH
TOTAL OTHER ACTIVITY				**5.97**	

JANNEY ADVANTAGE INSURED SWEEP** ACTIVITY

Date	Transaction Type	Description	Amount
12/01/2014	PURCHASE	JANNEY ADVANTAGE	($48.31)
12/02/2014	PURCHASE	JANNEY ADVANTAGE	($74.66)
12/03/2014	PURCHASE	JANNEY ADVANTAGE	($987.31)
12/05/2014	PURCHASE	JANNEY ADVANTAGE	($20.65)
12/08/2014	PURCHASE	JANNEY ADVANTAGE	($26.42)
12/09/2014	PURCHASE	JANNEY ADVANTAGE	($561.59)
12/11/2014	PURCHASE	JANNEY ADVANTAGE	($707.61)
12/12/2014	PURCHASE	JANNEY ADVANTAGE	($46.23)
12/15/2014	PURCHASE	JANNEY ADVANTAGE	($27.34)
12/16/2014	PURCHASE	JANNEY ADVANTAGE	($495.24)
12/17/2014	PURCHASE	JANNEY ADVANTAGE	($21.64)
12/19/2014	SALE	JANNEY ADVANTAGE	$869.25
12/22/2014	SALE	JANNEY ADVANTAGE	$1,838.34
12/24/2014	SALE	JANNEY ADVANTAGE	$763.50
12/29/2014	SALE	JANNEY ADVANTAGE	$157.58
12/30/2014	PURCHASE	JANNEY ADVANTAGE	($1,231.65)
12/31/2014	INTEREST REINVEST	JANNEY ADVANTAGE	($0.50)
12/31/2014	PURCHASE	JANNEY ADVANTAGE	($16.80)
TOTAL JANNEY ADVANTAGE INSURED SWEEP			$19,523.11

**Janney Advantage Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

REALIZED GAIN (LOSS) INFORMATION

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
c05/31/12	01/22/14	12	AEP	AMERICAN ELECTRIC POWER	461.99	569.75	107.76	LONG
c08/29/11	01/22/14	3	AVB	AVALONBAY COMMNTYS INC *	398.58	366.29	(32.29)	LONG
c12/16/11	01/22/14	3	AVB	AVALONBAY COMMNTYS INC *	387.27	366.29	(20.98)	LONG
c11/29/12	01/22/14	2	AVB	AVALONBAY COMMNTYS INC *	267.06	244.19	(22.87)	LONG
c02/09/12	01/22/14	3	HON	HONEYWELL INTL INC	180.00	273.11	93.11	LONG
c08/15/13	01/22/14	8	NCR	NCR CORP NEW	297.84	297.19	(0.65)	SHORT
c03/25/13	01/22/14	7	SNY	SANOFI SPON ADR	350.56	360.70	10.14	SHORT
c09/14/11	01/22/14	6	TRV	TRAVELERS COMPANIES INC	294.96	508.85	213.89	LONG
c12/16/11	01/22/14	6	TRV	TRAVELERS COMPANIES INC	344.04	508.85	164.81	LONG
c02/09/12	01/22/14	5	TRV	TRAVELERS COMPANIES INC	299.85	424.04	124.19	LONG
11/13/09	01/22/14	2	UNP	UNION PACIFIC CORP	126.77	337.47	210.70	LONG

REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
06/24/09	01/24/14	45	COH	COACH INC	1,159.20	2,196.86	1,037.66	LONG
c12/13/13	01/27/14	3	DOX	AMDOCS LTD	121.17	126.89	5.72	SHORT
c07/21/11	01/27/14	6	AGNC	AMERICAN CAPITAL AGENCY	177.42	124.61	(52.81)	LONG
12/22/10	01/28/14	3	AMGN	AMGEN INC	170.13	359.90	189.77	LONG
c08/11/11	01/28/14	7	DIS	DISNEY WALT COMPANY	229.39	510.21	280.82	LONG
c12/16/11	01/28/14	1	DIS	DISNEY WALT COMPANY	35.41	72.89	37.48	LONG
c03/06/13	01/28/14	5	MDLZ	MONDELEZ INTERNATIONAL	142.56	168.69	26.13	SHORT
c08/12/11	01/28/14	77	WFM	WHOLE FOODS MARKET INC	2,231.87	4,011.64	1,779.77	LONG
c03/28/13	01/28/14	6	WFM	WHOLE FOODS MARKET INC	261.09	312.59	51.50	SHORT
12/22/10	01/30/14	9	AMGN	AMGEN INC	510.37	1,095.82	585.45	LONG
c08/11/11	01/30/14	11	AMGN	AMGEN INC	546.15	1,339.35	793.20	LONG
c03/05/13	01/30/14	3	AMGN	AMGEN INC	279.60	365.27	85.67	SHORT
06/24/09	01/30/14	6	DUK	DUKE ENERGY CORP NEW	255.90	417.54	161.64	LONG
03/12/10	01/30/14	29	XRX	XEROX CORP	287.09	316.44	29.35	LONG
02/09/10	01/30/14	29	XRX	XEROX CORP	243.31	316.44	73.13	LONG
03/05/10	01/30/14	37	XRX	XEROX CORP	362.97	403.74	40.77	LONG
03/05/10	01/30/14	29	XRX	XEROX CORP	284.48	316.44	31.96	LONG
03/12/10	01/30/14	27	XRX	XEROX CORP	267.30	294.62	27.32	LONG
c12/04/13	02/05/14	6	ADT	ADT CORP	243.48	178.25	(65.23)	SHORT
c11/22/13	02/05/14	6	ADT	ADT CORP	264.18	178.25	(85.93)	SHORT
c12/04/13	02/05/14	7	ADT	ADT CORP	284.06	207.97	(76.09)	SHORT
c08/11/11	02/05/14	6	AXP	AMERICAN EXPRESS COMPANY	271.02	503.09	232.07	LONG
c06/27/11	02/06/14	11	ADBE	ADOBE SYSTEMS INC	337.19	674.06	336.87	LONG
c08/11/11	02/06/14	3	CVS	CVS CAREMARK CORP	99.75	197.42	97.67	LONG
c01/28/14	02/06/14	5		CROWN CASTLE INTL CORP *	356.55	352.49	(4.06)	SHORT
c08/28/12	02/06/14	64		CROWN CASTLE INTL CORP *	3,990.61	4,511.92	521.31	LONG
c12/16/11	02/06/14	3	DIS	DISNEY WALT COMPANY	106.23	226.67	120.44	LONG
c06/27/11	02/06/14	8	EMC	E M C CORP MASS	210.11	193.75	(16.36)	LONG
c11/07/13	02/06/14	6	EBAY	EBAY INC	315.30	326.52	11.22	SHORT
c03/05/13	02/06/14	11	ESRX	EXPRESS SCRIPTS HLDG	636.09	815.41	179.32	SHORT
06/24/09	02/06/14	10	ORCL	ORACLE CORP	217.20	364.59	147.39	LONG
06/09/10	02/06/14	4	QCOM	QUALCOMM INC	140.28	292.99	152.71	LONG
06/24/09	02/19/14	12	DUK	DUKE ENERGY CORP NEW	511.78	865.21	353.43	LONG
06/24/09	02/19/14	0.28000	DUK	DUKE ENERGY CORP NEW	12.18	20.59	8.41	LONG
c03/16/12	02/19/14	0.71000	DUK	DUKE ENERGY CORP NEW	43.74	51.50	7.76	LONG
c12/04/13	02/19/14	8	NCR	NCR CORP NEW	260.24	267.11	6.87	SHORT

REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
c08/15/13	02/19/14	8	NCR	NCR CORP NEW	297.84	267.11	(30.73)	SHORT
c12/04/13	02/19/14	2	NCR	NCR CORP NEW	65.06	66.78	1.72	SHORT
c12/04/13	02/19/14	5	RAI	REYNOLDS AMERICAN INC	253.20	238.69	(14.51)	SHORT
c02/27/14	02/27/14	0.54000	VOD	VODAFONE GROUP PLC NEW	0.00	22.42	0.00	SHORT
c02/28/14	02/28/14	0.26000	VZ	VERIZON COMMUNICATIONS	0.00	12.46	12.46	SHORT
c01/26/12	03/05/14	2	BSX	BOSTON SCIENTIFIC CORP	16.51	26.70	10.19	LONG
c01/26/12	03/05/14	71	BSX	BOSTON SCIENTIFIC CORP	538.91	947.83	408.92	LONG
c05/10/12	03/12/14	17	T	AT&T INC	563.04	548.92	(14.12)	LONG
c03/25/13	03/12/14	6	T	AT&T INC	218.52	193.73	(24.79)	SHORT
c08/29/12	03/12/14	6	T	AT&T INC	221.88	193.73	(28.15)	LONG
c03/25/13	03/12/14	6	T	AT&T INC	218.52	193.74	(24.78)	SHORT
06/24/09	03/12/14	4	COH	COACH INC	103.04	196.11	93.07	LONG
06/24/09	03/12/14	3	COH	COACH INC	77.28	147.08	69.80	LONG
c08/08/12	03/12/14	1	COH	COACH INC	56.72	49.03	(7.69)	LONG
06/24/09	03/12/14	6	COH	COACH INC	154.56	294.17	139.61	LONG
c08/08/12	03/12/14	2	COH	COACH INC	113.44	98.05	(15.39)	LONG
c08/11/11	03/12/14	17	GE	GENERAL ELECTRIC COMPANY	266.73	437.06	170.33	LONG
03/02/10	03/12/14	17	GE	GENERAL ELECTRIC COMPANY	270.79	437.06	166.27	LONG
c08/11/11	03/12/14	2	GE	GENERAL ELECTRIC COMPANY	31.38	51.42	20.04	LONG
06/24/09	03/12/14	7	WFC	WELLS FARGO & CO NEW	162.12	335.71	173.59	LONG
03/11/10	03/21/14	1	MAT	MATTEL INC	21.27	38.63	17.36	LONG
12/14/05	03/21/14	1	MAT	MATTEL INC	16.90	38.63	21.73	LONG
03/11/10	03/21/14	12	MAT	MATTEL INC	255.24	463.67	208.43	LONG
c01/25/13	03/31/14	4	AFL	AFLAC INC	213.96	252.51	38.55	LONG
c11/29/12	03/31/14	17	AFL	AFLAC INC	894.88	1,073.18	178.30	LONG
c08/11/11	03/31/14	5	GE	GENERAL ELECTRIC COMPANY	78.45	129.59	51.14	LONG
c12/16/11	03/31/14	38	GE	GENERAL ELECTRIC COMPANY	644.10	984.94	340.84	LONG
c12/16/11	03/31/14	5	GE	GENERAL ELECTRIC COMPANY	84.75	129.59	44.84	LONG
c05/20/13	03/31/14	5	GE	GENERAL ELECTRIC COMPANY	117.55	129.60	12.05	SHORT
c05/20/13	03/31/14	5	GE	GENERAL ELECTRIC COMPANY	117.55	129.59	12.04	SHORT
c08/11/11	03/31/14	24	WFC	WELLS FARGO & CO NEW	588.00	1,193.30	605.30	LONG
06/24/09	03/31/14	42	WFC	WELLS FARGO & CO NEW	972.72	2,088.28	1,115.56	LONG
05/25/10	04/08/14	1	MCK	MCKESSON CORP	67.48	168.45	100.97	LONG
c08/11/11	04/14/14	3	CVS	CVS CAREMARK CORP	99.75	219.32	119.57	LONG
c10/26/11	04/14/14	3	CVS	CVS CAREMARK CORP	108.63	219.33	110.70	LONG
c12/16/11	04/14/14	3	DIS	DISNEY WALT COMPANY	106.23	231.65	125.42	LONG

REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
c06/27/11	04/14/14	19	EMC	E M C CORP MASS	499.00	505.95	6.95	LONG
c03/28/13	04/14/14	4	JNJ	JOHNSON & JOHNSON	325.32	386.91	61.59	LONG
c03/06/13	04/14/14	8	MDLZ	MONDELEZ INTERNATIONAL	228.10	274.97	46.87	LONG
c08/12/11	04/14/14	4	JWN	NORDSTROM INC	177.17	241.07	63.90	LONG
06/24/09	04/14/14	10	ORCL	ORACLE CORP	217.20	392.99	175.79	LONG
06/09/10	04/14/14	3	QCOM	QUALCOMM INC	105.21	236.42	131.21	LONG
06/24/09	04/17/14	4	ENH	ENDURANCE SPECIALTY	112.08	206.03	93.95	LONG
06/24/09	04/17/14	4	NI	NISOURCE INC	45.20	143.47	98.27	LONG
c12/26/13	04/30/14	32	XOM	EXXON MOBIL CORP	3,225.60	3,248.24	22.64	SHORT
09/11/09	04/30/14	3	GS	GOLDMAN SACHS GROUP INC	528.42	478.51	(49.91)	LONG
c08/29/12	04/30/14	3	GS	GOLDMAN SACHS GROUP INC	318.15	478.51	160.36	LONG
06/24/09	04/30/14	3	GS	GOLDMAN SACHS GROUP INC	430.02	478.51	48.49	LONG
c06/20/12	04/30/14	1	PSX	PHILLIPS 66	34.52	83.65	49.13	LONG
c09/17/12	04/30/14	2	PSX	PHILLIPS 66	92.18	167.32	75.14	LONG
c09/17/12	04/30/14	1	PSX	PHILLIPS 66	46.09	83.65	37.56	LONG
c04/17/12	05/09/14	9	GILD	GILEAD SCIENCES INC	210.10	715.48	505.38	LONG
c05/10/12	05/09/14	7	GILD	GILEAD SCIENCES INC	178.78	556.49	377.71	LONG
11/13/09	05/09/14	2	UNP	UNION PACIFIC CORP	126.77	378.65	251.88	LONG
c08/26/11	05/13/14	11	CVC	CABLEVISION SYS CORP	191.47	192.38	0.91	LONG
06/24/09	05/13/14	11	CVC	CABLEVISION SYS CORP	118.68	192.38	73.70	LONG
c08/26/11	05/13/14	25	CVC	CABLEVISION SYS CORP	435.16	437.25	2.09	LONG
c01/31/12	05/15/14	11	M	MACYS INC	366.74	626.10	259.36	LONG
c02/28/12	05/15/14	8	M	MACYS INC	301.20	455.34	154.14	LONG
c05/31/12	05/15/14	8	M	MACYS INC	303.84	455.34	151.50	LONG
06/24/09	05/29/14	54	BDN	BRANDYWINE REALTY *	360.84	818.76	457.92	LONG
c11/06/13	06/03/14	11	CAM	CAMERON INTL CORP	598.73	702.60	103.87	SHORT
c09/12/13	06/03/14	13	DAL	DELTA AIRLINES INC NEW	289.12	534.80	245.68	SHORT
c03/31/14	06/03/14	7	PRU	PRUDENTIAL FINANCIAL INC	593.39	600.09	6.70	SHORT
c01/22/14	06/03/14	15	UAL	UNITED CONTINENTAL HLDGS	733.35	709.20	(24.15)	SHORT
c03/12/14	06/03/14	7	UAL	UNITED CONTINENTAL HLDGS	323.82	330.96	7.14	SHORT
c08/08/12	06/04/14	13	COH	COACH INC	737.29	507.50	(229.79)	LONG
c03/01/13	06/04/14	3	COH	COACH INC	144.39	117.12	(27.27)	LONG
c11/15/13	06/04/14	6	COH	COACH INC	325.62	234.23	(91.39)	SHORT
c12/05/13	06/04/14	2	COH	COACH INC	111.27	78.08	(33.19)	SHORT
c05/20/13	06/04/14	6	COH	COACH INC	357.90	234.23	(123.67)	LONG
c11/15/13	06/04/14	9	COH	COACH INC	488.43	351.35	(137.08)	SHORT

REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
c03/01/13	06/04/14	5	COH	COACH INC	240.65	195.19	(45.46)	LONG
c03/25/13	06/04/14	10	COH	COACH INC	496.23	390.39	(105.84)	LONG
c03/31/14	06/04/14	0.13000	WPG	WASHINGTON PRIME GROUP	2.68	2.62	(0.06)	SHORT
c10/21/13	06/04/14	0.36000	WPG	WASHINGTON PRIME GROUP	6.80	6.99	0.19	SHORT
c09/12/13	06/12/14	5	CF	CF INDUSTRIES HOLDINGS	968.86	1,178.87	210.01	SHORT
c09/12/13	06/12/14	19	DAL	DELTA AIRLINES INC NEW	422.56	725.40	302.84	SHORT
c11/06/13	06/12/14	13	DAL	DELTA AIRLINES INC NEW	348.53	496.32	147.79	SHORT
c06/27/11	06/12/14	22	EMC	E M C CORP MASS	577.79	583.20	5.41	LONG
c06/27/11	06/12/14	18	EMC	E M C CORP MASS	472.74	477.16	4.42	LONG
c06/27/11	06/12/14	12	EMC	E M C CORP MASS	315.16	318.11	2.95	LONG
c06/27/11	06/12/14	13	EMC	E M C CORP MASS	341.42	344.62	3.20	LONG
c05/10/12	06/12/14	1	GILD	GILEAD SCIENCES INC	25.54	80.44	54.90	LONG
c03/14/13	06/12/14	4	GILD	GILEAD SCIENCES INC	183.16	321.80	138.64	LONG
c03/14/13	06/12/14	2	GILD	GILEAD SCIENCES INC	91.58	160.89	69.31	LONG
c03/01/11	06/18/14	12	COV	COVIDIEN PLC NEW	561.54	1,085.25	523.71	LONG
c10/25/12	06/18/14	1	FCX	FREEPORT MCMORAN COPPER	39.24	34.68	(4.56)	LONG
06/24/09	06/18/14	1	FCX	FREEPORT MCMORAN COPPER	24.81	34.68	9.87	LONG
09/22/09	06/18/14	11	FCX	FREEPORT MCMORAN COPPER	400.90	381.59	(19.31)	LONG
09/22/09	06/18/14	1	FCX	FREEPORT MCMORAN COPPER	36.44	34.68	(1.76)	LONG
c10/25/12	06/18/14	7	FCX	FREEPORT MCMORAN COPPER	274.68	242.83	(31.85)	LONG
c06/27/11	06/24/14	7	ADBE	ADOBE SYSTEMS INC	214.58	508.95	294.37	LONG
c10/21/13	06/24/14	2	AAPL	APPLE INC	149.07	182.33	33.26	SHORT
c10/21/13	06/24/14	7	AAPL	APPLE INC	521.73	638.17	116.44	SHORT
c06/27/11	06/24/14	5	CELG	CELGENE CORP	294.95	857.05	562.10	LONG
c06/28/12	06/24/14	1	CELG	CELGENE CORP	61.46	171.41	109.95	LONG
c08/28/12	06/24/14	3	EL	ESTEE LAUDER COMPANY INC	179.73	224.12	44.39	LONG
c03/14/13	06/24/14	11	GILD	GILEAD SCIENCES INC	503.69	893.18	389.49	LONG
c08/12/11	06/24/14	3	JWN	NORDSTROM INC	132.88	204.41	71.53	LONG
c01/22/14	06/27/14	10	AMT	AMERICAN TOWER CORP NEW*	839.30	887.78	48.48	SHORT
c03/31/14	06/27/14	2	AMT	AMERICAN TOWER CORP NEW*	163.84	177.55	13.71	SHORT
c04/30/14	06/27/14	23	LGF	LIONS GATE ENTERTAINMENT	603.75	655.25	51.50	SHORT
c06/20/12	06/27/14	10	LYB	LYONDELLBASELL	408.78	968.47	559.69	LONG
11/13/09	06/27/14	6	UNP	UNION PACIFIC CORP	190.16	596.44	406.28	LONG
c10/21/13	06/30/14	3.63000	WPG	WASHINGTON PRIME GROUP	67.95	67.80	(0.15)	SHORT
c03/31/14	06/30/14	0.36000	WPG	WASHINGTON PRIME GROUP	7.15	6.79	(0.36)	SHORT
c03/31/14	06/30/14	1	WPG	WASHINGTON PRIME GROUP	19.65	18.64	(1.01)	SHORT

REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
c01/25/13	07/02/14	16	MRO	MARATHON OIL CORP	539.20	632.86	93.66	LONG
c03/31/14	07/08/14	11	ALL	ALLSTATE CORP	622.60	644.36	21.76	SHORT
c01/22/14	07/08/14	11	ALL	ALLSTATE CORP	577.61	644.36	66.75	SHORT
c03/31/14	07/08/14	2	ALL	ALLSTATE CORP	113.20	117.16	3.96	SHORT
c03/25/13	07/08/14	12	DFS	DISCOVER FINANCIAL SVCS	539.48	747.34	207.86	LONG
11/08/05	07/08/14	13	JPM	JPMORGAN CHASE &	486.33	724.86	238.53	LONG
c03/25/13	07/08/14	21	MRO	MARATHON OIL CORP	707.11	829.69	122.58	LONG
c04/19/13	07/08/14	9	MRO	MARATHON OIL CORP	267.74	355.58	87.84	LONG
c05/20/13	07/08/14	8	MRO	MARATHON OIL CORP	292.28	316.07	23.79	LONG
c04/30/14	07/08/14	7	MRO	MARATHON OIL CORP	253.44	276.56	23.12	SHORT
c08/15/13	07/08/14	17	VLKAY	VOLKSWAGEN A G	814.30	853.55	39.25	SHORT
c06/27/14	07/08/14	6	VLKAY	VOLKSWAGEN A G	310.68	301.25	(9.43)	SHORT
c10/26/11	07/16/14	4	APC	ANADARKO PETROLEUM CORP	314.17	449.43	135.26	LONG
c03/25/13	07/16/14	7	DFS	DISCOVER FINANCIAL SVCS	314.70	447.36	132.66	LONG
c12/16/11	07/16/14	4	DIS	WALT DISNEY CO	141.64	340.91	199.27	LONG
c10/25/12	07/16/14	9	EIX	EDISON INTL	420.03	510.37	90.34	LONG
c03/25/13	07/16/14	8	EIX	EDISON INTL	405.28	453.66	48.38	LONG
c05/20/13	07/16/14	29	MS	MORGAN STANLEY	733.12	942.47	209.35	LONG
01/03/08	07/16/14	13	PFE	PFIZER INC	303.37	401.43	98.06	LONG
12/01/10	07/16/14	6	PFE	PFIZER INC	99.48	185.27	85.79	LONG
c06/07/13	07/16/14	6	SHPG	SHIRE PLC	587.85	1,490.60	902.75	LONG
c02/06/14	07/17/14	2	JNJ	JOHNSON & JOHNSON	176.72	201.95	25.23	SHORT
c03/28/13	07/17/14	54	JNJ	JOHNSON & JOHNSON	4,391.70	5,452.81	1,061.11	LONG
01/20/10	07/23/14	3	BA	BOEING COMPANY	180.00	379.79	199.79	LONG
c10/26/11	07/23/14	6	CVS	CVS CAREMARK CORP	217.26	467.98	250.72	LONG
c10/26/11	07/23/14	4	CVS	CVS CAREMARK CORP	144.84	311.99	167.15	LONG
c03/25/13	07/23/14	4	CAT	CATERPILLAR INC	346.44	434.03	87.59	LONG
c12/16/11	07/23/14	5	DIS	WALT DISNEY CO	177.05	430.75	253.70	LONG
c08/08/12	07/23/14	4	DIS	WALT DISNEY CO	201.23	344.60	143.37	LONG
c07/16/13	07/23/14	39	INTC	INTEL CORP	944.58	1,343.91	399.33	LONG
c12/04/13	07/23/14	13	INTC	INTEL CORP	306.93	447.97	141.04	SHORT
c11/06/13	07/23/14	3	SHPG	SHIRE PLC	410.10	765.49	355.39	SHORT
05/05/10	07/28/14	3	SRE	SEMPRA ENERGY	144.59	306.56	161.97	LONG
05/05/10	07/28/14	10	SRE	SEMPRA ENERGY	481.98	1,021.87	539.89	LONG
c10/21/13	07/29/14	4	AAPL	APPLE INC	298.13	394.75	96.62	SHORT
c03/14/13	07/29/14	1	BIIB	BIOGEN IDEC INC	176.47	342.52	166.05	LONG

REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
c06/27/11	07/29/14	16	EMC	E M C CORP MASS	420.20	473.14	52.94	LONG
c11/07/13	07/29/14	4	EBAY	EBAY INC	210.20	213.03	2.83	SHORT
c03/14/13	07/29/14	7	GILD	GILEAD SCIENCES INC	320.53	651.19	330.66	LONG
c06/04/14	07/29/14	3	KORS	MICHAEL KORS HOLDINGS	251.33	251.33	0.00	SHORT
c10/21/13	07/31/14	19	AAPL	APPLE INC	1,416.08	1,830.98	414.90	SHORT
c12/03/12	07/31/14	8	ETN	EATON CORP PLC	408.24	546.46	138.22	LONG
c03/31/14	07/31/14	2	ETN	EATON CORP PLC	150.51	136.61	(13.90)	SHORT
c12/03/12	07/31/14	2	ETN	EATON CORP PLC	102.06	136.61	34.55	LONG
c03/31/14	07/31/14	3	ETN	EATON CORP PLC	225.77	204.93	(20.84)	SHORT
11/13/09	07/31/14	6	UNP	UNION PACIFIC CORP	190.15	592.30	402.15	LONG
c06/24/13	07/31/14	4	UNP	UNION PACIFIC CORP	299.84	394.87	95.03	LONG
c05/09/13	08/05/14	30	DBD	DIEBOLD INC	926.68	1,138.47	211.79	LONG
c11/22/13	08/05/14	4	MHK	MOHAWK INDUSTRIES INC	566.90	515.98	(50.92)	SHORT
c06/03/14	08/05/14	1	MHK	MOHAWK INDUSTRIES INC	135.80	128.99	(6.81)	SHORT
c06/03/14	08/05/14	2	MHK	MOHAWK INDUSTRIES INC	271.60	257.99	(13.61)	SHORT
c12/04/13	08/05/14	1	MHK	MOHAWK INDUSTRIES INC	135.48	128.99	(6.49)	SHORT
c02/05/14	08/05/14	2	MHK	MOHAWK INDUSTRIES INC	283.42	258.00	(25.42)	SHORT
c05/20/13	08/05/14	21	MS	MORGAN STANLEY	530.88	659.59	128.71	LONG
06/24/09	08/08/14	9	CB	CHUBB CORP	354.60	793.78	439.18	LONG
06/24/09	08/08/14	14	NI	NISOURCE INC	158.18	519.54	361.36	LONG
c01/30/13	08/13/14	0.26000	SAIC	SCIENCE APPLICATIONS	7.20	11.13	3.93	LONG
c01/30/13	08/13/14	8.73000	SAIC	SCIENCE APPLICATIONS	235.38	363.78	128.40	LONG
c02/14/13	08/13/14	0.15000	SAIC	SCIENCE APPLICATIONS	4.03	6.38	2.35	LONG
c01/30/13	08/13/14	0.53000	SAIC	SCIENCE APPLICATIONS	14.35	22.19	7.84	LONG
c02/14/13	08/13/14	4.31000	SAIC	SCIENCE APPLICATIONS	113.44	179.71	66.27	LONG
06/24/09	08/18/14	20	BR	BROADRIDGE FINANCIAL	317.20	818.38	501.18	LONG
c06/20/12	08/19/14	1	COP	CONOCOPHILLIPS	54.42	79.97	25.55	LONG
06/04/10	08/19/14	1	COP	CONOCOPHILLIPS	38.38	79.97	41.59	LONG
c06/20/12	08/19/14	11	COP	CONOCOPHILLIPS	598.62	879.77	281.15	LONG
12/01/10	08/19/14	44	PFE	PFIZER INC	729.52	1,275.97	546.45	LONG
c01/14/11	08/22/14	4	IVZ	INVESCO LTD	99.87	159.79	59.92	LONG
c03/14/11	08/22/14	12	IVZ	INVESCO LTD	304.19	479.39	175.20	LONG
c03/14/11	08/22/14	1	IVZ	INVESCO LTD	25.35	39.94	14.59	LONG
c08/08/12	08/27/14	7	DIS	WALT DISNEY CO	352.15	631.66	279.51	LONG
c03/01/11	08/27/14	1	SRE	SEMPRA ENERGY	53.22	103.51	50.29	LONG
05/05/10	08/27/14	1	SRE	SEMPRA ENERGY	48.19	103.51	55.32	LONG

REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
c03/01/11	08/27/14	3	SRE	SEMPRA ENERGY	159.66	310.56	150.90	LONG
c08/26/11	08/27/14	9	SON	SONOCO PRODUCTS CO	265.01	367.84	102.83	LONG
c10/21/13	09/05/14	3	AAPL	APPLE INC	223.59	295.91	72.32	SHORT
c01/28/14	09/05/14	11	AAPL	APPLE INC	798.89	1,085.02	286.13	SHORT
c11/22/13	09/05/14	59	BAC	BANK AMERICA CORP	927.48	942.20	14.72	SHORT
c07/11/12	09/05/14	1	LYB	LYONDELLBASELL	38.48	114.42	75.94	LONG
c06/20/12	09/05/14	1	LYB	LYONDELLBASELL	40.87	114.42	73.55	LONG
c07/11/12	09/05/14	2	LYB	LYONDELLBASELL	76.97	228.86	151.89	LONG
c09/17/12	09/05/14	3	PSX	PHILLIPS 66	138.27	261.83	123.56	LONG
c08/08/12	09/09/14	3	DIS	WALT DISNEY CO	150.92	269.30	118.38	LONG
c03/06/13	09/09/14	149	MDLZ	MONDELEZ INTERNATIONAL	4,248.21	5,307.26	1,059.05	LONG
c02/06/14	09/09/14	6	MDLZ	MONDELEZ INTERNATIONAL	195.72	213.71	17.99	SHORT
01/20/10	09/16/14	5	BA	BOEING COMPANY	300.00	637.67	337.67	LONG
c12/16/11	09/16/14	5	BA	BOEING COMPANY	355.40	637.67	282.27	LONG
c03/31/14	09/16/14	1	BA	BOEING COMPANY	125.84	127.53	1.69	SHORT
c11/06/13	09/16/14	1	BA	BOEING COMPANY	133.01	127.53	(5.48)	SHORT
c02/19/14	09/16/14	2	BA	BOEING COMPANY	258.06	255.07	(2.99)	SHORT
c02/19/14	09/16/14	1	BA	BOEING COMPANY	129.03	127.53	(1.50)	SHORT
c03/31/14	09/16/14	2	BA	BOEING COMPANY	251.68	255.07	3.39	SHORT
c02/21/12	09/16/14	49	RF	REGIONS FINANCIAL	294.00	500.78	206.78	LONG
c03/20/12	09/16/14	13	RF	REGIONS FINANCIAL	83.46	132.86	49.40	LONG
c01/22/14	09/25/14	2	MS	MORGAN STANLEY	64.70	68.20	3.50	SHORT
c05/20/13	09/25/14	2	MS	MORGAN STANLEY	50.56	68.20	17.64	LONG
c06/24/13	09/25/14	27	MS	MORGAN STANLEY	661.77	920.77	259.00	LONG
c06/24/13	09/25/14	2	MS	MORGAN STANLEY	49.02	68.20	19.18	LONG
c01/22/14	09/25/14	6	MS	MORGAN STANLEY	194.10	204.62	10.52	SHORT
12/01/10	09/25/14	16	PFE	PFIZER INC	265.28	480.78	215.50	LONG
c06/24/13	09/25/14	12	PFE	PFIZER INC	333.72	360.59	26.87	LONG
c11/06/13	09/25/14	10	PFE	PFIZER INC	309.00	300.49	(8.51)	SHORT
c06/24/13	09/30/14	10	DG	DOLLAR GENERAL CORP NEW	501.00	609.28	108.28	LONG
c03/31/14	09/30/14	2	DG	DOLLAR GENERAL CORP NEW	111.02	121.85	10.83	SHORT
c03/14/13	09/30/14	10	GILD	GILEAD SCIENCES INC	457.89	1,062.47	604.58	LONG
c03/14/11	09/30/14	6	IVZ	INVESCO LTD	152.10	237.53	85.43	LONG
06/24/09	09/30/14	8	NKE	NIKE INC	214.48	717.90	503.42	LONG
c12/26/13	09/30/14	6	RAI	REYNOLDS AMERICAN INC	296.82	352.91	56.09	SHORT
c12/04/13	09/30/14	6	RAI	REYNOLDS AMERICAN INC	303.84	352.91	49.07	SHORT

REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
c12/26/13	09/30/14	8	RAI	REYNOLDS AMERICAN INC	395.76	470.55	74.79	SHORT
c06/12/14	09/30/14	1	RAI	REYNOLDS AMERICAN INC	58.81	58.81	0.00	SHORT
c11/07/13	09/30/14	6	TJX	TJX COMPANIES INC NEW	363.48	355.84	(7.64)	SHORT
c11/07/13	09/30/14	7	TJX	TJX COMPANIES INC NEW	415.14	415.14	0.00	SHORT
10/28/09	10/03/14	3	CLX	CLOROX COMPANY	174.15	289.49	115.34	LONG
11/18/09	10/03/14	1	CLX	CLOROX COMPANY	59.98	96.49	36.51	LONG
c08/29/11	10/06/14	1	AXP	AMERICAN EXPRESS COMPANY	48.70	87.01	38.31	LONG
c08/11/11	10/06/14	1	AXP	AMERICAN EXPRESS COMPANY	45.17	87.01	41.84	LONG
c08/29/11	10/06/14	11	AXP	AMERICAN EXPRESS COMPANY	535.70	957.20	421.50	LONG
c10/21/13	10/06/14	4	SPG	SIMON PROPERTY GROUP *	587.27	664.50	77.23	SHORT
c10/26/11	10/06/14	10	STI	SUNTRUST BANKS INC	193.20	376.79	183.59	LONG
c10/20/11	10/06/14	4	STI	SUNTRUST BANKS INC	76.26	150.71	74.45	LONG
c10/26/11	10/06/14	13	STI	SUNTRUST BANKS INC	251.16	489.83	238.67	LONG
c10/26/11	10/06/14	10	STI	SUNTRUST BANKS INC	193.20	376.79	183.59	LONG
01/08/07	10/06/14	2	UTX	UNITED TECHNOLOGIES CORP	126.26	208.63	82.37	LONG
c10/26/11	10/09/14	3	CVS	CVS HEALTH CORP	108.63	246.11	137.48	LONG
c05/10/12	10/09/14	2	CVS	CVS HEALTH CORP	91.68	164.08	72.40	LONG
c10/26/11	10/09/14	5	C	CITIGROUP INC NEW	154.71	256.20	101.49	LONG
c02/09/12	10/09/14	1	C	CITIGROUP INC NEW	50.97	51.23	0.26	LONG
c03/01/11	10/09/14	3	COV	COVIDIEN PLC NEW	140.38	278.75	138.37	LONG
c05/09/14	10/09/14	6	COV	COVIDIEN PLC NEW	430.06	557.51	127.45	SHORT
c05/09/14	10/09/14	3	COV	COVIDIEN PLC NEW	215.02	278.75	63.73	SHORT
c10/25/12	10/09/14	5	RF	REGIONS FINANCIAL	33.00	48.89	15.89	LONG
c03/20/12	10/09/14	27	RF	REGIONS FINANCIAL	173.34	264.05	90.71	LONG
c03/01/11	10/09/14	3	SRE	SEMPRA ENERGY	159.66	318.59	158.93	LONG
c07/16/14	10/15/14	14	MO	ALTRIA GROUP INC	584.22	628.46	44.24	SHORT
c06/20/12	10/15/14	5	COP	CONOCOPHILLIPS	272.10	325.19	53.09	LONG
c03/14/13	10/15/14	5	GILD	GILEAD SCIENCES INC	228.95	482.24	253.29	LONG
c07/16/13	10/15/14	22	MET	METLIFE INC	1,059.96	1,030.26	(29.70)	LONG
c08/15/13	10/15/14	15	MET	METLIFE INC	720.81	702.45	(18.36)	LONG
c03/31/14	10/15/14	7	MET	METLIFE INC	370.16	327.81	(42.35)	SHORT
c10/21/13	10/15/14	3	SPG	SIMON PROPERTY GROUP *	440.45	500.38	59.93	SHORT
c03/09/11	10/16/14	3	MCK	MCKESSON CORP	237.93	556.48	318.55	LONG
c07/31/14	10/21/14	13	CSX	CSX CORP	391.11	441.86	50.75	SHORT
c10/26/11	10/21/14	8	C	CITIGROUP INC NEW	247.53	410.95	163.42	LONG
c04/30/14	10/21/14	8	COP	CONOCOPHILLIPS	595.14	563.74	(31.40)	SHORT

REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
c04/30/14	10/21/14	4	COP	CONOCOPHILLIPS	297.57	281.87	(15.70)	SHORT
c06/20/12	10/21/14	8	COP	CONOCOPHILLIPS	435.36	563.74	128.38	LONG
c05/20/13	10/21/14	4	COP	CONOCOPHILLIPS	256.95	281.87	24.92	LONG
c04/30/14	10/21/14	5	COP	CONOCOPHILLIPS	371.97	352.35	(19.62)	SHORT
c03/31/14	10/21/14	47	ERIC	ERICSSON TELEPHONE NEW	626.51	545.18	(81.33)	SHORT
c02/19/14	10/21/14	47	ERIC	ERICSSON TELEPHONE NEW	594.55	545.18	(49.37)	SHORT
c03/31/14	10/21/14	1	ERIC	ERICSSON TELEPHONE NEW	13.33	11.60	(1.73)	SHORT
c06/03/14	10/21/14	4	ERIC	ERICSSON TELEPHONE NEW	49.32	46.39	(2.93)	SHORT
c03/14/13	10/21/14	1	GILD	GILEAD SCIENCES INC	45.79	105.38	59.59	LONG
c03/14/13	10/21/14	5	GILD	GILEAD SCIENCES INC	228.94	526.93	297.99	LONG
c07/11/12	10/21/14	3	PSA	PUBLIC STORAGE *	429.66	524.32	94.66	LONG
c08/06/12	10/21/14	1	PSA	PUBLIC STORAGE *	147.15	174.77	27.62	LONG
c10/25/12	10/21/14	38	RF	REGIONS FINANCIAL	250.80	352.25	101.45	LONG
c03/31/14	10/21/14	37	RF	REGIONS FINANCIAL	411.07	342.98	(68.09)	SHORT
c07/08/14	10/21/14	35	RF	REGIONS FINANCIAL	371.70	324.44	(47.26)	SHORT
c03/14/11	10/29/14	8	IVZ	INVESCO LTD	202.80	309.99	107.19	LONG
c03/14/11	10/29/14	9	IVZ	INVESCO LTD	228.14	348.74	120.60	LONG
c10/17/11	10/29/14	6	MTB	M&T BANK CORP	448.44	712.18	263.74	LONG
c03/25/13	10/29/14	21	SNY	SANOFI SPON ADR	1,051.67	944.13	(107.54)	LONG
c04/19/13	10/29/14	6	SNY	SANOFI SPON ADR	317.10	269.75	(47.35)	LONG
10/15/09	10/29/14	4	TDS	TELEPHONE & DATA SYS INC	123.00	100.39	(22.61)	LONG
09/02/09	10/29/14	4	TDS	TELEPHONE & DATA SYS INC	103.68	100.40	(3.28)	LONG
10/15/09	10/29/14	29	TDS	TELEPHONE & DATA SYS INC	891.75	727.92	(163.83)	LONG
c10/21/14	11/05/14	15	RCL	ROYAL CARIBBEAN	955.20	1,006.63	51.43	SHORT
c11/07/13	11/05/14	11	TJX	TJX COMPANIES INC NEW	666.38	701.12	34.74	SHORT
c10/26/11	11/11/14	7	C	CITIGROUP INC NEW	216.59	376.60	160.01	LONG
c09/22/14	11/11/14	0.75000	LBRDK	LIBERTY BROADBAND CORP	36.28	33.90	(2.38)	SHORT
c04/17/14	11/13/14	9	ALB	ALBEMARLE CORP	600.62	555.82	(44.80)	SHORT
c03/05/14	11/13/14	14	ALB	ALBEMARLE CORP	925.11	864.62	(60.49)	SHORT
c05/14/12	11/13/14	3	AGNC	AMERICAN CAPITAL AGENCY	96.60	67.97	(28.63)	LONG
c07/21/11	11/13/14	33	AGNC	AMERICAN CAPITAL AGENCY	975.81	747.76	(228.05)	LONG
c03/16/12	11/13/14	3	AGNC	AMERICAN CAPITAL AGENCY	88.14	67.97	(20.17)	LONG
c05/14/12	11/13/14	8	AGNC	AMERICAN CAPITAL AGENCY	257.60	181.28	(76.32)	LONG
c07/22/14	11/13/14	76	CCJ	CAMECO CORP	1,619.32	1,387.73	(231.59)	SHORT
c10/26/11	11/13/14	2	CVX	CHEVRON CORP	212.77	231.49	18.72	LONG
c12/05/13	11/13/14	14	COH	COACH INC	778.86	485.52	(293.34)	SHORT

REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
c01/22/14	11/13/14	2	COH	COACH INC	97.46	69.36	(28.10)	SHORT
c01/25/13	11/13/14	10	CMCSA	COMCAST CORP CL A NEW	396.90	541.40	144.50	LONG
c01/25/13	11/13/14	5	CMCSA	COMCAST CORP CL A NEW	198.45	270.70	72.25	LONG
c01/18/12	11/13/14	5	CMCSA	COMCAST CORP CL A NEW	128.50	270.70	142.20	LONG
c08/29/12	11/13/14	10	CMCSA	COMCAST CORP CL A NEW	340.88	541.41	200.53	LONG
c01/25/13	11/13/14	1	CMCSA	COMCAST CORP CL A NEW	39.69	54.15	14.46	LONG
c06/03/14	11/13/14	8	DVN	DEVON ENERGY CORP NEW	598.24	499.26	(98.98)	SHORT
c04/30/14	11/13/14	25	DVN	DEVON ENERGY CORP NEW	1,750.90	1,560.21	(190.69)	SHORT
c09/09/14	11/13/14	87	HCA	HCA HOLDINGS INC	6,204.83	5,614.85	(589.98)	SHORT
c07/21/11	11/13/14	4	HAE	HAEMONETICS CORP MASS	138.10	147.95	9.85	LONG
c03/09/11	11/13/14	2	HAE	HAEMONETICS CORP MASS	63.83	73.97	10.14	LONG
c07/21/11	11/13/14	2	HAE	HAEMONETICS CORP MASS	69.05	73.98	4.93	LONG
03/11/10	11/13/14	2	MAT	MATTEL INC	42.54	62.10	19.56	LONG
c07/17/14	11/13/14	2	KORS	MICHAEL KORS HOLDINGS	165.27	141.39	(23.88)	SHORT
c07/17/14	11/13/14	30	KORS	MICHAEL KORS HOLDINGS	2,479.19	2,120.95	(358.24)	SHORT
c06/04/14	11/13/14	27	KORS	MICHAEL KORS HOLDINGS	2,538.53	1,908.85	(629.68)	SHORT
c06/24/14	11/13/14	2	KORS	MICHAEL KORS HOLDINGS	177.38	141.40	(35.98)	SHORT
c07/17/14	11/13/14	6	KORS	MICHAEL KORS HOLDINGS	495.84	424.20	(71.64)	SHORT
c07/17/14	11/13/14	3	KORS	MICHAEL KORS HOLDINGS	278.64	212.09	(66.55)	SHORT
c05/20/13	11/13/14	5	OXY	OCCIDENTAL PETROLEUM	467.40	426.09	(41.31)	LONG
06/24/09	11/13/14	8	OXY	OCCIDENTAL PETROLEUM	510.40	681.74	171.34	LONG
c08/06/12	11/13/14	5	OXY	OCCIDENTAL PETROLEUM	444.75	426.08	(18.67)	LONG
c05/20/13	11/13/14	2	OXY	OCCIDENTAL PETROLEUM	186.96	170.44	(16.52)	LONG
06/24/09	11/13/14	6	OXY	OCCIDENTAL PETROLEUM	382.80	511.30	128.50	LONG
c08/06/12	11/13/14	2	OXY	OCCIDENTAL PETROLEUM	177.90	170.44	(7.46)	LONG
c09/10/13	11/13/14	49	BTU	PEABODY ENERGY CORP	912.05	535.06	(376.99)	LONG
c05/07/13	11/13/14	20	SPLS	STAPLES INC	278.40	267.59	(10.81)	LONG
c05/07/13	11/13/14	23	SPLS	STAPLES INC	320.16	307.73	(12.43)	LONG
c09/05/14	11/13/14	9	SYT	SYNGENTA AG	630.81	585.43	(45.38)	SHORT
c07/08/14	11/13/14	21	SYT	SYNGENTA AG	1,559.67	1,366.01	(193.66)	SHORT
c06/12/14	11/17/14	10	CHRW	C H ROBINSON WORLDWIDE	618.53	725.61	107.08	SHORT
c08/15/13	11/17/14	32	GLW	CORNING INC	477.12	650.54	173.42	LONG
c05/20/13	11/17/14	3	IVZ	INVESCO LTD	104.70	119.45	14.75	LONG
c03/16/12	11/17/14	1	IVZ	INVESCO LTD	26.22	39.82	13.60	LONG
c05/06/13	11/17/14	8	IVZ	INVESCO LTD	260.80	318.56	57.76	LONG
c03/14/11	11/17/14	9	IVZ	INVESCO LTD	228.13	358.38	130.25	LONG

REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
c03/16/12	11/17/14	1	IVZ	INVESCO LTD	26.22	39.82	13.60	LONG
c09/16/14	11/24/14	7	IP	INTERNATIONAL PAPER	344.96	377.01	32.05	SHORT
c11/11/14	11/28/14	6	COV	COVIDIEN PLC NEW	561.06	606.34	45.28	SHORT
c09/16/14	11/28/14	7	IP	INTERNATIONAL PAPER	344.96	376.66	31.70	SHORT
c10/26/11	12/04/14	3	APC	ANADARKO PETROLEUM CORP	235.62	238.13	2.51	LONG
c10/25/12	12/04/14	3	LYB	LYONDELLBASELL	159.92	241.16	81.24	LONG
c07/11/12	12/04/14	3	LYB	LYONDELLBASELL	115.47	241.16	125.69	LONG
c10/25/12	12/04/14	2	LYB	LYONDELLBASELL	106.61	160.78	54.17	LONG
c05/20/13	12/04/14	3	LYB	LYONDELLBASELL	202.20	241.16	38.96	LONG
c09/30/14	12/05/14	0.15000	CRC	CALIFORNIA RESOURCES	1.27	1.01	(0.26)	SHORT
c05/20/13	12/05/14	0.01000	CRC	CALIFORNIA RESOURCES	0.15	0.13	(0.02)	LONG
c06/12/14	12/05/14	0.09000	CRC	CALIFORNIA RESOURCES	0.84	0.64	(0.20)	SHORT
c08/19/14	12/05/14	0.13000	CRC	CALIFORNIA RESOURCES	1.18	0.89	(0.29)	SHORT
c09/25/14	12/08/14	5	GLW	CORNING INC	99.15	104.74	5.59	SHORT
c08/15/13	12/08/14	5	GLW	CORNING INC	74.55	104.75	30.20	LONG
c12/04/13	12/08/14	16	GLW	CORNING INC	267.68	335.20	67.52	LONG
c12/04/13	12/08/14	5	GLW	CORNING INC	83.65	104.75	21.10	LONG
c01/22/14	12/08/14	16	GLW	CORNING INC	304.80	335.20	30.40	SHORT
c01/22/14	12/08/14	5	GLW	CORNING INC	95.25	104.75	9.50	SHORT
c03/12/14	12/08/14	12	GLW	CORNING INC	231.72	251.40	19.68	SHORT
c03/12/14	12/08/14	5	GLW	CORNING INC	96.55	104.75	8.20	SHORT
c06/18/14	12/08/14	12	GLW	CORNING INC	256.68	251.40	(5.28)	SHORT
c06/18/14	12/08/14	5	GLW	CORNING INC	106.95	104.75	(2.20)	SHORT
c09/25/14	12/08/14	11	GLW	CORNING INC	218.13	230.45	12.32	SHORT
c03/12/14	12/11/14	3	EMR	EMERSON ELECTRIC COMPANY	184.43	184.43	0.00	SHORT
c11/13/14	12/16/14	93	IWB	ISHARES RUSSELL 1000	10,557.35	10,345.10	(212.25)	SHORT
c11/13/14	12/16/14	82	IWS	ISHARES RUSSELL MID CAP	5,959.75	5,848.94	(110.81)	SHORT
c11/13/14	12/16/14	65	IWD	ISHARES RUSSELL 1000	6,701.49	6,572.66	(128.83)	SHORT
c12/16/14	12/17/14	17	DVN	DEVON ENERGY CORP NEW	929.05	972.71	43.66	SHORT
c03/12/14	12/17/14	6	EMR	EMERSON ELECTRIC COMPANY	383.52	355.78	(27.74)	SHORT
c11/18/14	12/17/14	3	EMR	EMERSON ELECTRIC COMPANY	200.08	177.90	(22.18)	SHORT
c05/09/14	12/17/14	5	EMR	EMERSON ELECTRIC COMPANY	335.10	296.49	(38.61)	SHORT
c03/31/14	12/17/14	5	EMR	EMERSON ELECTRIC COMPANY	334.05	296.49	(37.56)	SHORT
c09/16/14	12/17/14	3	EMR	EMERSON ELECTRIC COMPANY	192.99	177.89	(15.10)	SHORT
c06/03/14	12/17/14	5	EMR	EMERSON ELECTRIC COMPANY	334.80	296.49	(38.31)	SHORT
c10/21/14	12/17/14	7	HAL	HALLIBURTON COMPANY	270.33	270.33	0.00	SHORT

REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
c12/19/14	12/19/14	0.40000	LBRKR	LIBERTY BROADBAND RTS	0.00	3.30	0.00	SHORT
c05/10/12	12/23/14	7	CVS	CVS HEALTH CORP	320.88	685.43	364.55	LONG
01/08/07	12/23/14	9	NSRGY	NESTLE S A SPNSD ADR	311.94	664.21	352.27	LONG
01/08/07	12/23/14	1	NSRGY	NESTLE S A SPNSD ADR	34.66	73.80	39.14	LONG
c06/24/13	12/23/14	4	NSRGY	NESTLE S A SPNSD ADR	256.12	295.21	39.09	LONG
c06/24/13	12/23/14	1	NSRGY	NESTLE S A SPNSD ADR	64.03	73.80	9.77	LONG
c10/06/14	12/23/14	1	NSRGY	NESTLE S A SPNSD ADR	72.24	73.80	1.56	SHORT
06/24/09	12/23/14	20	ORCL	ORACLE CORP	434.40	923.41	489.01	LONG
c01/25/13	12/23/14	2	PNC	PNC FINANCIAL SERVICES	123.96	186.15	62.19	LONG
c12/16/14	12/23/14	13	SYT	SYNGENTA AG	817.31	850.96	33.65	SHORT
c03/01/11	12/24/14	9	SRE	SEMPRA ENERGY	478.98	1,007.72	528.74	LONG
c11/11/11	12/24/14	1	SRE	SEMPRA ENERGY	53.92	111.97	58.05	LONG
c11/11/11	12/24/14	1	SRE	SEMPRA ENERGY	53.92	111.96	58.04	LONG
TOTAL REALIZED GAIN (LOSS)					174,806.36	214,413.86	39,581.78	
LONG TERM GAIN (LOSS)					86,344.93	126,272.02	39,927.09	
SHORT TERM GAIN (LOSS)					88,461.43	88,141.84	(345.31)	

JANNEY ADVANTAGE INSURED SWEEP** - Deposits by Bank

Description			Current Value
Goldman Sachs Bank USA	Salt Lake Cit, UT	84018	$19,523.11
TOTAL JANNEY ADVANTAGE INSURED SWEEP			$19,523.11

**Janney Advantage Insured Sweep Deposit Balances are insured up to the FDIC limits per bank for the combined total of all your deposits held in the same insurable capacity at one bank, including those outside the Program. Your balances are not covered by SIPC. Please refer to the Janney Advantage Insured Sweep Information Statement for more detail concerning insurance coverage. Account numbers at each bank are the same number as your Janney Montgomery Scott LLC account number. For any questions concerning the bank balances please call (877) 859-1684 or ask for the Janney Advantage Insured Sweep customer service representative.

Janney Montgomery Scott LLC

Member NYSE • FINRA • SIPC

Client Account Summary

December 1 - December 31, 2014

Account number: [redacted]
Investment Objective: Growth & Income/Aggressive

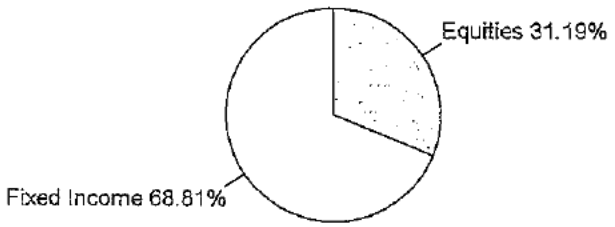
Your Financial Advisor
JOHN RUGGIERO & DONALD DEAGAZIO

YNNNNN TT33
W HARRIS LIV TR
J SIMON-TTEE
[redacted]

PORTFOLIO SUMMARY

	As of 11/30/14	As of 12/31/14
Credit/(Debit) Balance	(77,579.54)	(72,922.55)
Equities - Mutual Funds, ETFs, UITs	47,835.80	46,908.73
Fixed Income - Bonds & Preferred	73,602.80	73,280.10
Fixed Income - Mutual Funds, ETFs, UITs	105,220.22	102,940.47
TOTAL ACCOUNT VALUE	**149,079.28**	**150,206.75**
Accrued Interest	1,086.11	1,127.79

ACCOUNT ALLOCATION



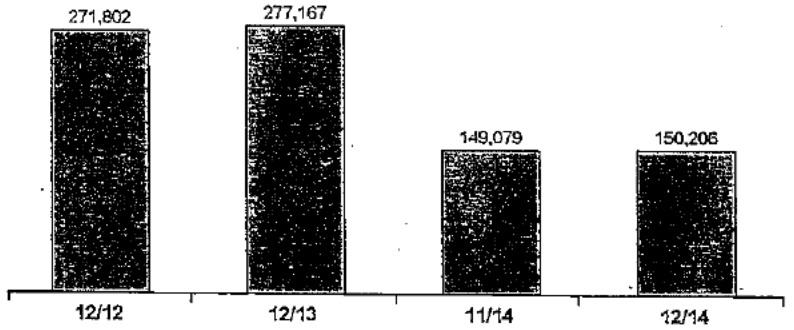
INCOME AND EXPENSE SUMMARY

	Current Period	Year-to-Date
Taxable Dividends	470.89	7,504.79
Tax-Exempt Dividends	0.00	2,948.78
Taxable Interest	0.00	0.00
Tax-Exempt Interest	250.00	3,500.00
Janney Advantage Insured Sweep Interest**	0.00	6.57
Capital Gain Distributions	1,481.06	1,587.93
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
TOTAL INCOME	2,201.95	15,548.07
Tax Withheld	0.00	0.00
Margin Interest Expense	(564.68)	(2,672.95)
TOTAL EXPENSES	(564.68)	(2,672.95)

CASH ACTIVITY SUMMARY

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	(77,579.54)	832.16
Cash Deposits	6,039.95	102,901.44
Cash Withdrawals	(3,020.23)	(138,083.23)
Securities Bought	0.00	(15,000.00)
Securities Sold	0.00	15,722.05
Net Income (Expense)	1,637.27	9,686.27
Other Activity	0.00	(96,861.49)
Money Market Summary	0.00	0.00
Janney Advantage Insured Sweep Activity**	0.00	47,880.25
CLOSING CREDIT/(DEBIT) BALANCE	(72,922.55)	(72,922.55)

ACCOUNT VALUE COMPARISON



PORTFOLIO DETAILS

CREDIT/(DEBIT) BALANCE

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
CASH							(72,922.55)						
TOTAL CREDIT/(DEBIT) BALANCE							**(72,922.55)**						

EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
GABELLI UTILITIES CL I	GAUIX	2,354.982	8/13/09	8,572.48	3.6401	5.4000	12,716.88	4,144.42	LT		1,978.16	15.55%	
		1,745.201	02/11/13	8,941.15	5.1232	5.4000	9,424.10	482.93	LT		1,465.99	15.55%	
		4,100.183		**17,513.63**			**22,140.98**	**4,627.35**			**3,444.15**	**15.55%**	**14.7%**
HENDERSON GLOBAL EQUITY INCOME CL I	HFQIX	1,302.083	02/11/13	10,000.00	7.6800	7.7900	10,143.22	143.22	LT		662.76	6.53%	
		1,877.347	11/13/14	15,000.00	7.9899	7.7900	14,624.53	(375.47)	ST		955.56	6.53%	
		3,179.430		**26,000.00**			**24,767.75**	**(232.25)**			**1,618.32**	**6.53%**	**16.5%**
TOTAL EQUITIES - MUTUAL FUNDS, ETFS, UITS				**42,513.63**			**46,908.73**	**4,395.10**			**5,062.47**	**10.79%**	**31.2%**

FIXED INCOME - BONDS & PREFERREDS

MUNICIPAL BONDS - TAX EXEMPT

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
HARPETH VY UTILS DIST TN DAVIDSON&WILLIAMSON RFDG UTILS SER A REV NPFG B/E CPN 5.000% DUE 09/01/15 DTD 06/22/05 FC 09/01/05 MOODY'S: AA3 /S&P: NR	413581JA8	10,000	11/18/05	10,064.97	100.6497	102.7480	10,274.80	209.83	LT	166.67	500.00	4.86%	6.8%
READING PA SCH DIST AGM B/E CPN 5.000% DUE 01/15/16 DTD 12/15/05 FC 07/15/06 MOODY'S: A2 /S&P: AA	755638RY1	10,000	11/23/05	10,095.52	100.9552	104.0870	10,408.70	313.18	LT	230.56	500.00	4.80%	6.9%

PORTFOLIO DETAILS

FIXED INCOME - BONDS & PREFERREDS

MUNICIPAL BONDS - TAX EXEMPT

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
EDMOND OK PUBLIC WORKS AUTH UTILITY REV RFDG AMBAC B/E CPN 5.000% DUE 07/01/16 DTD 10/01/05 FC 01/01/06 CALL 07/01/15 @ 100.000 AMBAC INSURED S&P: AA-	281124KP1	10,000	11/28/05	10,047.50	100.4750	102.1670	10,216.70	169.20	LT	250.00	500.00	4.89%	6.8%
NEW YORK ST REV URBAN DEVELOPMENT ST PERSONAL INCOME TAX A CORP B/E CPN 5.000% DUE 12/15/19 DTD 03/15/07 FC 06/15/07 PRE 12/15/16 @ 100.000 MOODY'S: AA1 /S&P: AAA	650035CC0	10,000	10/11/07	10,176.65	101.7665	108.7860	10,878.60	701.95	LT	22.22	500.00	4.59%	7.2%
PEWAUKEE WI SCH DIST RFDG AGM B/E CPN 5.000% DUE 03/01/17 DTD 07/01/05 FC 09/01/05 MOODY'S: AA2 /S&P: NR	717021GX1	10,000	11/10/05	10,151.30	101.5130	108.6980	10,869.80	718.50	LT	166.67	500.00	4.59%	7.2%
OKLAHOMA DEPT TRANSN GRANT ANTIC NT REV AMBAC B/E CPN 5.000% DUE 09/01/17 DTD 08/03/05 FC 03/01/06 CALL 09/01/15 @ 100.000 AMBAC INSURED MOODY'S: A2 /S&P: NR	67884NBR9	10,000	11/16/05	10,052.56	100.5256	102.9150	10,291.50	238.94	LT	166.67	500.00	4.85%	6.9%
SEMINOLE CNTY FL WATER & SEWER REV RFDG B/E CPN 5.000% DUE 10/01/18 DTD 03/23/05 FC 10/01/05 CALL 10/01/15 @ 100.000 MOODY'S: AA2 /S&P: AA-	816705FV4	10,000	12/13/05	10,059.05	100.5905	103.4000	10,340.00	280.95	LT	125.00	500.00	4.83%	4.2%

PORTFOLIO DETAILS

FIXED INCOME - BONDS & PREFERREDS

MUNICIPAL BONDS - TAX EXEMPT

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
TOTAL MUNICIPAL BONDS - TAX EXEMPT		70,000		70,647.55			73,280.10	2,632.55		1,127.79	3,500.00	4.77%	0.3%
TOTAL BONDS		70,000		70,647.55			73,280.10	2,632.55		1,127.79	3,500.00	4.77%	0.3%
TOTAL FIXED INCOME - BONDS & PREFERREDS				70,647.55			73,280.10	2,632.55		1,127.79	3,500.00	4.77%	0.3%

FIXED INCOME - MUTUAL FUNDS, ETFs & UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
FIDELITY ADVISOR	FHNIX	2,092.460	6/25/09	15,000.00	7.1685	7.8500	16,425.81	1,425.81	LT		929.05	5.65%	
HIGH INCOME		1,182.791	8/13/09	9,000.00	7.6091	7.8500	9,284.90	284.90	LT		525.15	5.65%	
INSTL CL		1.839	Reinvestments			N/A	14.44				0.82	5.67%	
		3,277.090		24,000.00			25,725.15	1,710.71			1,455.02	5.65%	17.1%
						Fund Investment Gain/(Loss)		1,725.15					
LORD ABBETT	LBDFX	1,750.657	6/25/09	11,293.88	6.4512	7.9100	13,847.68	2,553.81	LT		717.75	5.18%	
BOND DEBENTURE		1,002.699	3/19/10	7,500.00	7.4798	7.9100	7,931.35	431.34	LT		411.11	5.18%	
CL F		1,219.512	c2/11/13	10,000.00	8.2000	7.9100	9,646.35	(353.67)	LT		500.01	5.18%	
		3,972.868		28,793.88			31,425.38	2,631.48			1,628.87	5.18%	20.9%
OPPENHEIMER ROCHESTER	ORNYX	4,308.717	8/28/09	28,000.00	6.4984	7.1500	30,807.28	2,807.32	LT		2,162.93	7.02%	
HIGH YIELD MUN		2,095.471	3/19/10	15,000.00	7.1582	7.1500	14,982.66	(17.39)	LT		1,051.97	7.02%	
CL Y													
		6,404.188		43,000.00			45,789.94	2,789.93			3,214.90	7.02%	30.5%
TOTAL FIXED INCOME - MUTUAL FUNDS, ETFS & UITS				95,793.88			102,940.47	7,132.12			6,298.79	6.11%	68.5%
TOTAL ACCOUNT VALUE				208,955.06			150,206.75	14,159.77		1,127.79	14,861.26	9.89%	100.0%

CASH ACTIVITY DETAILS

CASH DEPOSITS

Other Deposits

Date	Transaction Type	Description	Amount	Acct Type
12/09	JOURNAL	T28652608 F89624168	3,019.72	CASH
12/16	JOURNAL	T28652608 F89624168	3,020.23	CASH
	Total Other Deposits		**6,039.95**	
TOTAL CASH DEPOSITS			**6,039.95**	

CASH WITHDRAWALS

Other Withdrawals

Date	Transaction Type	Description	Amount	Acct Type
12/17	JOURNAL	T28652608 F89624168	(3,020.23)	CASH
	Total Other Withdrawals		**(3,020.23)**	
TOTAL CASH WITHDRAWALS			**(3,020.23)**	

INCOME AND EXPENSE

Income

Date	Transaction Type	Symbol	Description	Amount	Acct Type
12/01	DIVIDEND	FHNIX	FIDELITY ADVISOR HIGH INCOME INSTL CL 112814 3,277.09000	122.18	MARGIN
12/01	DIVIDEND	LBDFX	LORD ABBETT BOND DEBENTURE CL F 112814 3,972.86800	117.35	MARGIN
12/08	ST CAPITAL GAIN	FHNIX	FIDELITY ADVISOR HIGH INCOME INSTL CL 120814 3,277.09000	95.04	MARGIN
12/08	LT CAPITAL GAIN	FHNIX	FIDELITY ADVISOR HIGH INCOME INSTL CL 120814 3,277.09000	573.49	MARGIN

INCOME AND EXPENSE - Continued

Income

Date	Transaction Type	Symbol	Description	Amount	Acct Type
12/15	INTEREST		NEW YORK ST REV URBAN DEVELOPMENT ST PERSONAL INCOME TAX A CORP B/E CPN 5.000% DUE 12/15/19 DTD 03/15/07 FC 06/15/07 121514 10,000	250.00	MARGIN
12/22	ST CAPITAL GAIN	LBDFX	LORD ABBETT BOND DEBENTURE CL F 121914 3,972.86800	32.97	MARGIN
12/22	LT CAPITAL GAIN	LBDFX	LORD ABBETT BOND DEBENTURE CL F 121914 3,972.86800	620.56	MARGIN
12/30	LT CAPITAL GAIN	GAUIX	GABELLI UTILITIES CL I 122914 4,100.18300	287.01	MARGIN
12/31	DIVIDEND	HFQIX	HENDERSON GLOBAL EQUITY INCOME CL I 123014 3,179.43000	103.35	CASH
TOTAL INCOME				**2,201.95**	

Expense

Date	Transaction Type	Symbol	Description	Amount	Acct Type
12/30	MARGIN INT		INTEREST 11/26THRU 12/29	(564.68)	MARGIN
TOTAL EXPENSE				**(564.68)**	
NET INCOME (EXPENSE)				**1,637.27**	

OTHER ACTIVITY

Date	Transaction Type	Quantity	Description	Amount	Acct Type
	NET JOURNALS		TRF FRM CASH ACCT	(3,167.07)	CASH
	NET JOURNALS		TRF TO MARGIN ACCT	3,167.07	MARGIN
TOTAL OTHER ACTIVITY				**0.00**	

MARGIN INTEREST SUMMARY

Date	Margin Acct Balance	Cash Acct Balance	Market Value of Short Positions	Net Debit Balance	Number of Days	Interest Rate	Calculated Int Charge
11/26/14	(77,726.89)	0.00	0.00	(77,726.89)	2	8.000%	(34.55)
11/28/14	(77,726.89)	147.35	0.00	(77,579.54)	3	8.000%	(51.72)
12/1/14	(77,340.01)	0.00	0.00	(77,340.01)	7	8.000%	(120.32)
12/8/14	(76,671.48)	0.00	0.00	(76,671.48)	1	8.000%	(17.04)
12/9/14	(76,671.48)	3,019.72	0.00	(73,651.76)	1	8.000%	(16.37)
12/10/14	(73,651.76)	0.00	0.00	(73,651.76)	5	8.000%	(81.84)
12/15/14	(73,401.76)	0.00	0.00	(73,401.76)	1	8.000%	(16.31)
12/16/14	(73,401.76)	3,020.23	0.00	(70,381.53)	1	8.000%	(15.64)
12/17/14	(70,381.53)	(3,020.23)	0.00	(73,401.76)	1	8.000%	(16.31)
12/18/14	(73,401.76)	0.00	0.00	(73,401.76)	4	8.000%	(65.24)
12/22/14	(72,748.23)	0.00	0.00	(72,748.23)	8	8.000%	(129.34)
					TOTAL INTEREST CHARGED		(564.68)

REALIZED GAIN (LOSS) INFORMATION

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
04/17/07	11/13/14	3	MRNA	MARINA BIOTECH INC NEW	1,545.60	2.16	(1,543.44)	LONG
10/02/12	11/13/14	1,605.70000	PAUPX	PIMCO ALL ASSET A	18,000.00	15,719.89	(2,280.11)	LONG
TOTAL REALIZED GAIN (LOSS)					19,545.60	15,722.05	(3,823.55)	
LONG TERM GAIN (LOSS)					19,545.60	15,722.05	(3,823.55)	

Janney Montgomery Scott LLC

Member: NYSE • FINRA • SIPC

Client Household Summary

December 1 - December 31, 2014

YNNNNN TT33
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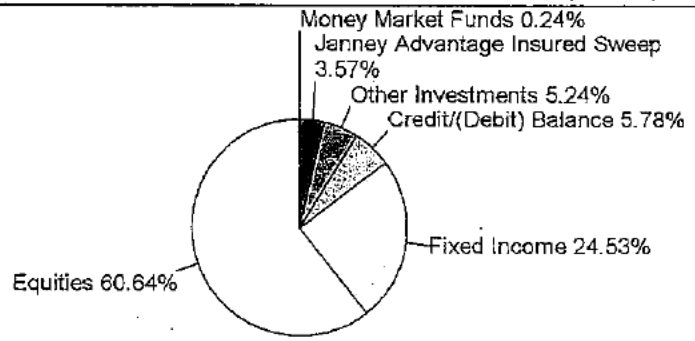
WILLIAM L HARRIS TTEE
WILLIAM L HARRIS LIV TR
[redacted]

Your Financial Advisor

JOHN RUGGIERO & DONALD DEAGAZIO
3 MANHATTANVILLE ROAD, SUITE 204
PURCHASE NY 10577
800-888-1260
www.janney.com

HOUSEHOLD ALLOCATION



FOR YOUR INFORMATION

As we enter a new year, all of us at Janney thank you for your continued business, and wish you a happy and successful 2015. With the close of 2014 comes the time to begin planning for the preparation of your taxes. We have published a list of dates we expect various 2014 tax forms, including 1099s, to be mailed to you. This tax-related information is available in the enclosed *Janney Update* newsletter, on our website at janney.com/taxes, or by contacting your Financial Advisor.

MARKET INDICES

	12/11	12/12	12/13	12/14
DJIA	12,217.56	13,104.14	16,576.66	17,823.07
S&P 500	1,257.60	1,426.19	1,848.36	2,058.90
NASDAQ	2,605.15	3,019.51	4,176.59	4,736.06
10 YR. T Bonds	1.88%	1.76%	3.03%	2.17%
Russell 1000	693.36	789.90	1,030.36	1,144.37
Russell 2000	740.92	849.35	1,163.64	1,204.70

HOUSEHOLD SUMMARY

Account Number	Account Name	Account Type	Account Value 11/30/14	Account Value 12/31/14	Income Current Period	Income Year-to-Date
[redacted]	WILLIAM L HARRIS TTEE	Personal Trust	$126,738.21	$126,699.60	$762.16	$5,914.94
[redacted]	NY 529 ADVISOR-GUIDED COLLEGE	Ext'l IRA/Keogh	$32,817.49	$32,462.15	$0.00	$0.00
[redacted]	NY 529 ADVISOR-GUIDED COLLEGE	Ext'l IRA/Keogh	$33,635.42	$33,238.25	$0.00	$0.00
[redacted]	WILLIAM HARRIS &	Joint	$471,756.90	$472,498.77	$1,892.08	$8,263.01
[redacted]	W HARRIS LIV TR	Joint	$149,079.28	$150,206.75	$2,201.95	$15,548.07
[redacted]	JO ANNE SIMON	Individual	$45,987.28	$45,636.44	$2,882.63	$3,085.01
[redacted]	JO ANNE SIMON (SEP-IRA)	SEP-IRA	$175,558.63	$174,064.93	$21,277.57	$22,374.69
[redacted]	WILLIAM L HARRIS (IRA)	IRA	$78,400.63	$74,730.68	$878.44	$2,184.11
Total Household Value			**$1,113,973.84**	**$1,109,537.57**	**$29,894.83**	**$57,369.83**

Janney Montgomery Scott LLC
Member NYSE • FINRA • SIPC

Client Account Summary

December 1 - December 31, 2014

Account number: [redacted]
Investment Objective: Growth & Income/Aggressive

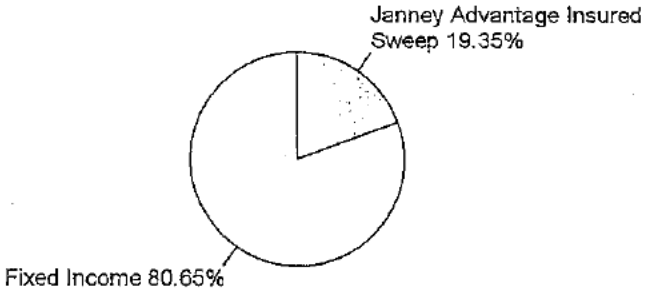
Your Financial Advisor
JOHN RUGGIERO & DONALD DEAGAZIO

YNNNNN TT33
WILLIAM L HARRIS TTEE
WILLIAM L HARRIS LIV TR
[redacted]

PORTFOLIO SUMMARY

	As of 11/30/14	As of 12/31/14
Janney Advantage Insured Sweep**	23,631.66	24,520.04
Credit/(Debit) Balance	126.22	0.00
Fixed Income - Mutual Funds, ETFs, UITs	102,980.33	102,179.56
TOTAL ACCOUNT VALUE	126,738.21	126,699.60

ACCOUNT ALLOCATION



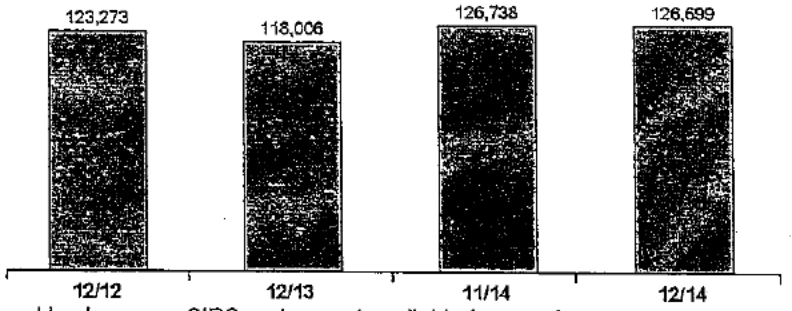
INCOME AND EXPENSE SUMMARY

	Current Period	Year-to-Date
Taxable Dividends	296.58	3,382.76
Tax-Exempt Dividends	0.00	2,061.08
Taxable Interest	0.00	0.00
Tax-Exempt Interest	0.00	0.00
Janney Advantage Insured Sweep Interest**	0.61	6.13
Capital Gain Distributions	464.97	464.97
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
TOTAL INCOME	762.16	5,914.94
Tax Withheld	0.00	0.00
Margin Interest Expense	0.00	0.00
TOTAL EXPENSES	0.00	0.00

CASH ACTIVITY SUMMARY

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	126.22	187.63
Cash Deposits	0.00	0.00
Cash Withdrawals	0.00	0.00
Securities Bought	0.00	0.00
Securities Sold	0.00	0.00
Net Income (Expense)	762.16	4,380.71
Other Activity	0.00	0.00
Money Market Summary	0.00	0.00
Janney Advantage Insured Sweep Activity**	(888.38)	(4,568.34)
CLOSING CREDIT/(DEBIT) BALANCE	0.00	0.00

ACCOUNT VALUE COMPARISON



**Janney Advantage Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

PORTFOLIO DETAILS

JANNEY ADVANTAGE INSURED SWEEP**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
JANNEY ADVANTAGE INSURED SWEEP						N/A	24,520.04					0.03%	19.4%
TOTAL JANNEY ADVANTAGE INSURED SWEEP							**24,520.04**						**19.4%**

**Janney Advantage Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

FIXED INCOME - MUTUAL FUNDS, ETFs & UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
EATON VANCE BUILD AMERICA BD CL I	EIBAX	2,257.115	2/2/10	23,000.00	10.1899	11.9600	26,995.09	3,995.09	LT		1,259.47	4.66%	21.3%
OPPENHEIMER ROCHESTER HIGH YIELD MUN CL Y	ORNYX	4,476.590	2/2/10	32,000.00	7.1482	7.1500	32,007.61	7.61	LT		2,247.24	7.02%	25.3%
PRINCIPAL PREFERRED SECURITIES CL P	PPSPX	2,591.793	2/2/10	24,000.00	9.2599	10.2100	26,462.20	2,462.20	LT		1,396.97	5.27%	20.9%
VOYA GLOBAL BOND CL W	IGBWX	1,633.887	2/2/10	17,984.83	11.0073	10.2300	16,714.66	(1,270.17)	LT		731.98	4.37%	13.2%
TOTAL FIXED INCOME - MUTUAL FUNDS, ETFS & UITS				**96,984.83**			**102,179.56**	**5,194.73**			**5,635.66**	**5.51%**	**80.7%**
TOTAL ACCOUNT VALUE				**96,984.83**			**126,699.60**	**5,194.73**			**5,635.66**	**4.44%**	**100.0%**

CASH ACTIVITY DETAILS

INCOME AND EXPENSE

Income

Date	Transaction Type	Symbol	Description	Amount	Acct Type
12/01	DIVIDEND	EIBAX	EATON VANCE BUILD AMERICA BD CL I 112814 2,257.11500	98.95	CASH
12/02	DIVIDEND	IGBWX	VOYA GLOBAL BOND CL W 120214 1,633.88700	60.78	CASH
12/18	ST CAPITAL GAIN	PPSPX	PRINCIPAL PREFERRED SECURITIES CL P 121714 2,591.79300	7.78	CASH
12/18	LT CAPITAL GAIN	PPSPX	PRINCIPAL PREFERRED SECURITIES CL P 121714 2,591.79300	464.97	CASH
12/30	DIVIDEND	PPSPX	PRINCIPAL PREFERRED SECURITIES CL P 122914 2,591.79300	129.07	CASH
12/31	INTEREST		JANNEY ADVANTAGE INSURED SWEEP 123114 24,520	0.61	CASH
TOTAL INCOME				**762.16**	
NET INCOME (EXPENSE)				**762.16**	

JANNEY ADVANTAGE INSURED SWEEP** ACTIVITY

Date	Transaction Type	Description	Amount
12/01/2014	PURCHASE	JANNEY ADVANTAGE	($126.22)
12/02/2014	PURCHASE	JANNEY ADVANTAGE	($98.95)
12/03/2014	PURCHASE	JANNEY ADVANTAGE	($60.78)
12/19/2014	PURCHASE	JANNEY ADVANTAGE	($472.75)
12/31/2014	INTEREST REINVEST	JANNEY ADVANTAGE	($0.61)
12/31/2014	PURCHASE	JANNEY ADVANTAGE	($129.07)
TOTAL JANNEY ADVANTAGE INSURED SWEEP			**$24,520.04**

**Janney Advantage Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

WILLIAM L HARRIS TTEE ACCOUNT NUMBER [redacted]

JANNEY ADVANTAGE INSURED SWEEP - Deposits by Bank**

Description			Current Value
Goldman Sachs Bank USA	Salt Lake Cit, UT	84018	$24,520.04
TOTAL JANNEY ADVANTAGE INSURED SWEEP			**$24,520.04**

**Janney Advantage Insured Sweep Deposit Balances are insured up to the FDIC limits per bank for the combined total of all your deposits held in the same insurable capacity at one bank, including those outside the Program. Your balances are not covered by SIPC. Please refer to the Janney Advantage Insured Sweep Information Statement for more detail concerning insurance coverage. Account numbers at each bank are the same number as your Janney Montgomery Scott LLC account number. For any questions concerning the bank balances please call (877) 859-1684 or ask for the Janney Advantage Insured Sweep customer service representative.

Janney Montgomery Scott LLC

Client Household Summary

October 1 - December 31, 2014

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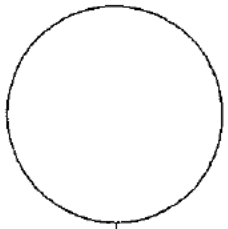
WILLIAM HARRIS AND
JO ANNE SIMONE JT-TEN
[redacted]

Your Financial Advisor

JOHN RUGGIERO & DONALD DEAGAZIO
3 MANHATTANVILLE ROAD, SUITE 204
PURCHASE NY 10577
800-888-1260
www.janney.com

HOUSEHOLD ALLOCATION



Annuities 100.00%

FOR YOUR INFORMATION

As we enter a new year, all of us at Janney thank you for your continued business, and wish you a happy and successful 2015. With the close of 2014 comes the time to begin planning for the preparation of your taxes. We have published a list of dates we expect various 2014 tax forms, including 1099s, to be mailed to you. This tax-related information is available in the enclosed *Janney Update* newsletter, on our website at janney.com/taxes, or by contacting your Financial Advisor.

MARKET INDICES

	12/11	12/12	12/13	12/14
DJIA	12,217.56	13,104.14	16,576.66	17,823.07
S&P 500	1,257.60	1,426.19	1,848.36	2,058.90
NASDAQ	2,605.15	3,019.51	4,176.59	4,736.06
10 YR. T Bonds	1.88%	1.76%	3.03%	2.17%
Russell 1000	693.36	789.90	1,030.36	1,144.37
Russell 2000	740.92	849.35	1,163.64	1,204.70

HOUSEHOLD SUMMARY

Account Number	Account Name	Account Type	Account Value 9/30/14	Account Value 12/31/14	Income Current Period	Income Year-to-Date
[redacted]	WILLIAM HARRIS AND	Joint	$399,941.42	$406,942.62	$0.00	$0.00

Janney Montgomery Scott LLC
Member NYSE • FINRA • SIPC

Client Account Summary

October 1 - December 31, 2014

Account number [redacted]
Investment Objective: Growth & Income/Aggressive

Your Financial Advisor
JOHN RUGGIERO & DONALD DEAGAZIO

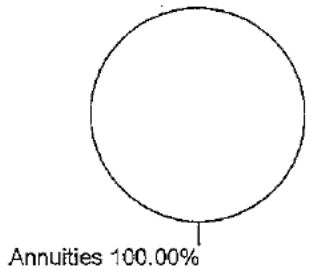
YNNNNN TT33
WILLIAM HARRIS AND
JO ANNE SIMONE JT-TEN
[redacted]

PORTFOLIO SUMMARY

	As of 9/30/14	As of 12/31/14
Annuities	399,941.42	406,942.62
TOTAL ACCOUNT VALUE*	399,941.42	406,942.62

*Please refer to the Portfolio Details section.

ACCOUNT ALLOCATION



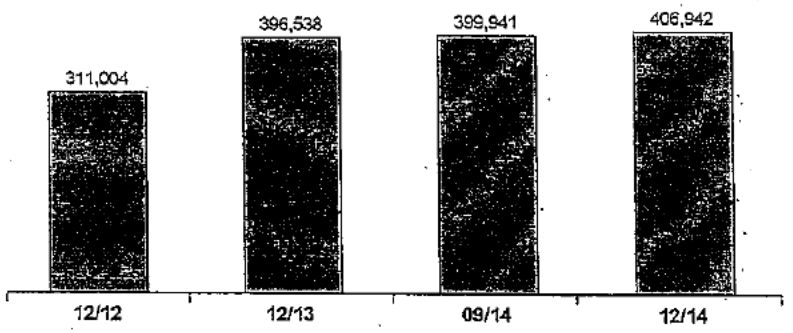
INCOME AND EXPENSE SUMMARY

	Current Period	Year-to-Date
Taxable Dividends	0.00	0.00
Tax-Exempt Dividends	0.00	0.00
Taxable Interest	0.00	0.00
Tax-Exempt Interest	0.00	0.00
Capital Gain Distributions	0.00	0.00
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
TOTAL INCOME	0.00	0.00
Tax Withheld	0.00	0.00
Margin Interest Expense	0.00	0.00
TOTAL EXPENSES	0.00	0.00

CASH ACTIVITY SUMMARY

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	0.00	0.00
Cash Deposits	0.00	0.00
Cash Withdrawals	0.00	0.00
Securities Bought	0.00	0.00
Securities Sold	0.00	0.00
Net Income (Expense)	0.00	0.00
Other Activity	0.00	0.00
Money Market Summary	0.00	0.00
CLOSING CREDIT/(DEBIT) BALANCE	0.00	0.00

ACCOUNT VALUE COMPARISON



PORTFOLIO DETAILS

ANNUITIES

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
NATIONWIDE LIFE INS* COMPANY INS PRODS DESTINATION L VAR CONTR# 017549402 VALUE AS OF 12/30/14	63866W167	325.000	3/7/12	325,000.00	1.0000	N/A	406,942.62	81,942.62	LT				100.0%
TOTAL ANNUITIES				**325,000.00**			**406,942.62**	**81,942.62**					**100.0%**
TOTAL ACCOUNT VALUE				**325,000.00**			**406,942.62**	**81,942.62**					**100.0%**

*These assets are held at custodians other than Janney. These assets are not covered by Janney's SIPC coverage or excess SIPC protection. Where available, values are provided but may not be current because they are derived from external sources for which Janney is not responsible and are shown as a service only. The custodian of these assets is responsible for providing year-end tax reporting and separate periodic statements (some exceptions may apply to certain IRA accounts).

Janney Montgomery Scott LLC
Member: NYSE • FINRA • SIPC

Client Account Summary

December 1 - December 31, 2014

Account number [redacted]
Investment Objective: Growth & Income/Aggressive

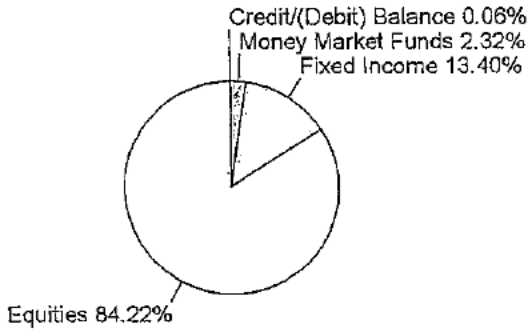
Your Financial Advisor
JOHN RUGGIERO & DONALD DEAGAZIO

YNNNNN TT33
WILLIAM L HARRIS (IRA)
JMS LLC CUST FBO
[redacted]

PORTFOLIO SUMMARY

	As of 11/30/14	As of 12/31/14
Money Market Funds	2,956.73	1,702.61
Credit/(Debit) Balance	19.62	49.01
Equities - Stocks and Options	1,333.14	1,317.33
Equities - Mutual Funds, ETFs, UITs	63,738.73	61,646.69
Fixed Income - Mutual Funds, ETFs, UITs	10,352.41	10,015.14
TOTAL ACCOUNT VALUE	**78,400.63**	**74,730.68**

ACCOUNT ALLOCATION



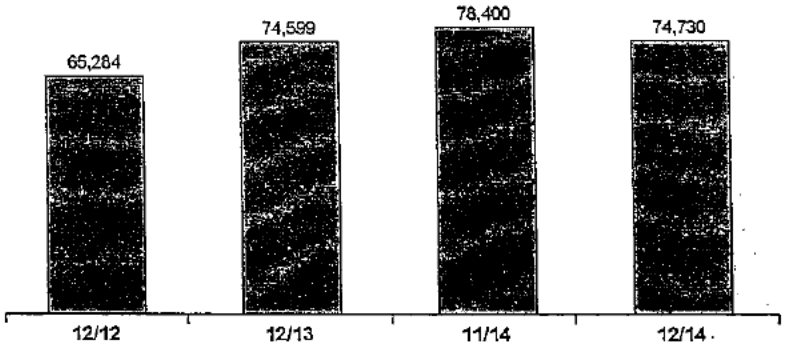
INCOME AND EXPENSE SUMMARY

	Current Period	Year-to-Date
Taxable Dividends	530.79	1,836.46
Tax-Exempt Dividends	0.00	0.00
Taxable Interest	0.00	0.00
Tax-Exempt Interest	0.00	0.00
Capital Gain Distributions	347.65	347.65
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
TOTAL INCOME	878.44	2,184.11
Tax Withheld	0.00	0.00
Margin Interest Expense	0.00	0.00
TOTAL EXPENSES	0.00	0.00

CASH ACTIVITY SUMMARY

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	19.62	104.80
Cash Deposits	0.00	0.00
Cash Withdrawals	(6,039.95)	(6,039.95)
Securities Bought	0.00	(26,605.51)
Securities Sold	916.45	28,915.51
Net Income (Expense)	878.44	1,042.73
Other Activity	3,020.23	3,020.23
Money Market Summary	1,254.22	(388.80)
CLOSING CREDIT/(DEBIT) BALANCE	49.01	49.01

ACCOUNT VALUE COMPARISON



PORTFOLIO DETAILS

MONEY MARKET FUNDS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
DREYFUS CASH MGNT 288 INSTL SHS							1,702.51				0.51	0.03%	2.3%
TOTAL MONEY MARKET FUNDS							**1,702.51**				**0.51**	**0.02%**	**2.3%**

CREDIT/(DEBIT) BALANCE

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
CASH							49.01						0.1%
TOTAL CREDIT/(DEBIT) BALANCE							**49.01**						**0.1%**

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
ETFS PALLADIUM TRUST SHS BEN INT	PALL	17	6/20/13	1,106.36	65.0800	77.4900	1,317.33	210.97	LT				1.8%
TOTAL EQUITIES - STOCKS & OPTIONS				**1,106.36**			**1,317.33**	**210.97**					**1.8%**

EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
FIRST TRUST FTSE EPRA	FFR	6	5/21/10	169.20	28.2000	43.7300	262.38	93.18	LT		8.59	3.27%	
NAREIT DEVELOPED MARKETS		1	c11/17/11	31.94	31.9400	43.7300	43.73	11.79	LT		1.43	3.27%	
REAL ESTATE INDEX ETF		25	c6/22/12	871.69	34.8676	43.7300	1,093.25	221.56	LT		35.77	3.27%	
		32		**1,072.83**			**1,399.36**	**326.53**			**45.79**	**3.27%**	**1.9%**
GLOBAL X CHINA FINANCIALS ETF	CHIX	171	c8/15/14	2,371.77	13.8700	15.6800	2,681.28	309.51	ST		27.08	1.01%	3.6%

PORTFOLIO DETAILS

EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
ISHARES CORE S&P MID CAP ETF	IJH	4	7/1/09	233.87	58.4675	144.8000	579.20	345.33	LT		7.77	1.34%	
		2	c11/17/11	174.75	87.3750	144.8000	289.60	114.85	LT		3.88	1.34%	
		12	c1/23/13	1,296.00	108.0000	144.8000	1,737.60	441.60	LT		23.30	1.34%	
		8	c2/20/13	892.26	111.5325	144.8000	1,158.40	266.14	LT		15.54	1.34%	
		26		**2,596.88**			**3,764.80**	**1,167.92**			**50.49**	**1.34%**	**5.1%**
ISHARES MSCI EUROPE FINANCIALS ETF	EUFN	49	c5/23/13	1,050.54	21.4395	22.0200	1,078.98	28.44	LT		36.13	3.34%	
		25	c1/16/14	645.29	25.8116	22.0200	550.50	(94.79)	ST		18.43	3.34%	
		28	c10/6/14	650.44	23.2300	22.0200	616.56	(33.88)	ST		20.64	3.34%	
		102		**2,346.27**			**2,246.04**	**(100.23)**			**75.20**	**3.34%**	**3.0%**
ISHARES MSCI MEXICO CAPPED ETF	EWW	18	c1/16/14	1,179.11	65.5061	59.3900	1,069.02	(110.09)	ST		13.17	1.23%	1.4%
ISHARES RUSSELL 2000 ETF	IWM	16	c1/23/13	1,425.61	89.1006	119.6200	1,913.92	488.31	LT		24.17	1.26%	
		11	c2/20/13	1,012.28	92.0254	119.6200	1,315.82	303.54	LT		16.62	1.26%	
		27		**2,437.89**			**3,229.74**	**791.85**			**40.79**	**1.26%**	**4.3%**
MARKET VECTORS VIETNAM ETF	VNM	52	c5/23/13	1,057.66	20.3396	19.2200	999.44	(58.22)	LT		26.52	2.65%	
		33	c1/16/14	692.03	20.9706	19.2200	634.26	(57.77)	ST		16.83	2.65%	
		85		**1,749.69**			**1,633.70**	**(115.99)**			**43.35**	**2.65%**	**2.2%**
SECTOR ENERGY SELECT SECTOR SPDR ETF	XLE	27	c5/29/14	2,561.71	94.8781	79.1600	2,137.32	(424.39)	ST		47.97	2.24%	2.9%
SECTOR FINANCIAL SELECT SECTOR SPDR ETF	XLF	104	c8/15/14	2,341.03	22.5099	24.7300	2,571.92	230.89	ST		38.68	1.50%	
		28	c10/6/14	650.72	23.2400	24.7300	692.44	41.72	ST		10.42	1.50%	
		132		**2,991.75**			**3,264.36**	**272.61**			**49.10**	**1.50%**	**4.4%**
SECTOR TECHNOLOGY SELECT SECTOR SPDR	XLK	71	c5/23/13	2,249.26	31.6797	41.3500	2,935.85	686.59	LT		51.37	1.74%	
		16	c10/6/14	632.96	39.5600	41.3500	661.60	28.64	ST		11.58	1.74%	
		87		**2,882.22**			**3,597.45**	**715.23**			**62.95**	**1.74%**	**4.8%**
SPDR S&P 500 ETF	SPY	111	c1/23/13	16,530.50	148.9234	205.5400	22,814.94	6,284.44	LT		425.72	1.86%	

PORTFOLIO DETAILS

EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		3	c10/6/14	587.82	195.9400	205.5400	616.62	28.80	ST		11.51	1.86%	
		114		**17,118.32**			**23,431.56**	**6,313.24**			**437.23**	**1.86%**	**31.4%**
VANGUARD HEALTH CARE ETF	VHT	22	c8/15/14	2,486.44	113.0200	125.5900	2,762.98	276.54	ST		28.27	1.02%	
		6	c10/6/14	703.08	117.1800	125.5900	753.54	50.46	ST		7.71	1.02%	
		28		**3,189.52**			**3,516.52**	**327.00**			**35.98**	**1.02%**	**4.7%**
WISDOMTREE JAPAN HEDGED EQUITY FD ETF	DXJ	30	c1/4/13	1,138.17	37.9390	49.2300	1,476.90	338.73	LT		28.22	1.91%	
		27	c6/20/13	1,198.25	44.3796	49.2300	1,329.21	130.96	LT		25.39	1.91%	
		13	c1/16/14	649.22	49.9400	49.2300	639.99	(9.23)	ST		12.23	1.91%	
		70		**2,985.64**			**3,446.10**	**460.46**			**65.84**	**1.91%**	**4.6%**
WISDOMTREE TRUST EUROPE HEDGED EQUITY FUND ETF	HEDJ	112	c11/25/14	6,625.76	59.1585	55.6200	6,229.44	(396.32)	ST		136.95	2.19%	8.3%
TOTAL EQUITIES - MUTUAL FUNDS, ETFS, UITS				**52,109.36**			**61,646.69**	**9,537.33**			**1,131.89**	**1.83%**	**82.5%**

FIXED INCOME - MUTUAL FUNDS, ETFs & UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
GLOBAL X SUPERINCOME PREFERRED ETF	SPFF	30	c8/1/13	437.69	14.5896	14.5400	436.20	(1.49)	LT		29.59	6.78%	
		6	c12/12/13	87.36	14.5600	14.5400	87.24	(0.12)	LT		5.92	6.78%	
		36		**525.05**			**523.44**	**(1.61)**			**35.51**	**6.78%**	**0.7%**
ISHARES 1-3 YR CREDIT BOND ETF	CSJ	12	c10/31/12	1,270.37	105.8641	105.1800	1,262.16	(8.21)	LT		11.82	0.93%	
		3	c12/12/13	316.17	105.3900	105.1800	315.54	(0.63)	LT		2.95	0.93%	
		15		**1,586.54**			**1,577.70**	**(8.84)**			**14.77**	**0.93%**	**2.1%**
ISHARES INTL INFLATION LINKED BOND ETF	ITIP	26	c1/18/13	1,338.48	51.4800	44.2600	1,150.76	(187.72)	LT		28.48	2.47%	
		5	c12/12/13	230.94	46.1880	44.2600	221.30	(9.64)	LT		5.48	2.47%	
		31		**1,569.42**			**1,372.06**	**(197.36)**			**33.96**	**2.47%**	**1.8%**

PORTFOLIO DETAILS

FIXED INCOME - MUTUAL FUNDS, ETFs & UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
MARKET VECTORS INTL HIGH YIELD BOND ETF	IHY	30	c11/8/13	804.00	26.8000	24.5300	735.90	(68.10)	LT		42.27	5.74%	
		6	c12/12/13	163.03	27.1716	24.5300	147.18	(15.85)	LT		8.45	5.74%	
		36		**967.03**			**883.08**	**(83.95)**			**50.72**	**5.74%**	**1.2%**
PIMCO TOTAL RETURN ACTIVE ETF	BOND	6	c6/22/12	634.29	105.7150	107.2100	643.26	8.97	LT		25.95	4.03%	
		19	c10/31/12	2,078.89	109.4152	107.2100	2,036.99	(41.90)	LT		82.17	4.03%	
		4	c12/12/13	420.08	105.0200	107.2100	428.84	8.76	LT		17.30	4.03%	
		29		**3,133.26**			**3,109.09**	**(24.17)**			**125.42**	**4.03%**	**4.2%**
POWERSHARES SENIOR LOAN PORT ETF	BKLN	54	c1/17/13	1,358.07	25.1494	24.0300	1,297.62	(60.45)	LT		66.63	5.13%	
		10	c12/12/13	248.69	24.8690	24.0300	240.30	(8.39)	LT		12.34	5.13%	
		64		**1,606.76**			**1,537.92**	**(68.84)**			**78.97**	**5.13%**	**2.1%**
SPDR BARCLAYS CAP SHORT TERM HIGH YIELD BOND ETF	SJNK	30	c1/17/13	923.96	30.7986	28.9100	867.30	(56.66)	LT		47.38	5.46%	
		5	c12/12/13	154.20	30.8400	28.9100	144.55	(9.65)	LT		7.90	5.46%	
		35		**1,078.16**			**1,011.85**	**(66.31)**			**55.28**	**5.46%**	**1.4%**
TOTAL FIXED INCOME - MUTUAL FUNDS, ETFS & UITS				**10,466.22**			**10,015.14**	**(451.08)**			**394.63**	**3.94%**	**13.4%**
TOTAL ACCOUNT VALUE				**63,681.94**			**74,730.68**	**9,297.22**			**1,527.03**	**2.04%**	**100.0%**

CASH ACTIVITY DETAILS

CASH WITHDRAWALS

Other Withdrawals

Date	Transaction Type	Description	Amount	Acct Type
12/09	WITHDRAWAL	RETIREMENT DISTRIBUTION T28652608 F89624168	(3,019.72)	CASH
12/16	WITHDRAWAL	RETIREMENT DISTRIBUTION T28652608 F89624168	(3,020.23)	CASH
	Total Other Withdrawals		**(6,039.95)**	
TOTAL CASH WITHDRAWALS			**(6,039.95)**	

WILLIAM L HARRIS (IRA) ACCOUNT NUMBER: [redacted]

SECURITIES SOLD

Trade Date	Settlement Date	Transaction Type	Quantity	Symbol	Description	Price	Amount	Acct Type
12/10	12/15	SALE	-1.000	FFR	FIRST TRUST FTSE EPRA NAREIT DEVELOPED MARKETS REAL ESTATE INDEX ETF EXCHANGE: 06	43.87	43.86	CASH
12/10	12/15	SALE	-2.000	CHIX	GLOBAL X CHINA FINANCIALS ETF EXCHANGE: 06	14.83	29.65	CASH
12/10	12/15	SALE	-1.000	EUFN	ISHARES MSCI EUROPE FINANCIALS ETF EXCHANGE: 06	22.99	22.98	CASH
12/10	12/15	SALE	-1.000	EWW	ISHARES MSCI MEXICO CAPPED ETF EXCHANGE: 06	58.68	58.67	CASH
12/10	12/15	SALE	-1.000	VNM	MARKET VECTORS VIETNAM ETF EXCHANGE: 06	19.76	19.75	CASH
12/10	12/15	SALE	-1.000	BOND	PIMCO TOTAL RETURN ACTIVE ETF EXCHANGE: 06	108.79	108.78	CASH
12/10	12/15	SALE	-1.000	BKLN	POWERSHARES SENIOR LOAN PORT ETF EXCHANGE: 06	23.98	23.97	CASH
12/10	12/15	SALE	-2.000	SPY	SPDR S&P 500 ETF EXCHANGE: 06	204.66	409.31	CASH
12/10	12/15	SALE	-1.000	XLK	SECTOR TECHNOLOGY SELECT SECTOR SPDR EXCHANGE: 06	41.39	41.38	CASH
12/10	12/15	SALE	-1.000	XLF	SECTOR FINANCIAL SELECT SECTOR SPDR ETF EXCHANGE: 06	24.75	24.74	CASH
12/10	12/15	SALE	-1.000	XLE	SECTOR ENERGY SELECT SECTOR SPDR ETF EXCHANGE: 06	75.13	75.12	CASH
12/10	12/15	SALE	-1.000	HEDJ	WISDOMTREE TRUST EUROPE HEDGED EQUITY FUND ETF EXCHANGE: 06	58.25	58.24	CASH
TOTAL SECURITIES SOLD							916.45	

WILLIAM L HARRIS (IRA) ACCOUNT NUMBER: [redacted]

INCOME AND EXPENSE

Income

Date	Transaction Type	Symbol	Description	Amount	Acct Type
12/04	DIVIDEND	BOND	PIMCO TOTAL RETURN ACTIVE ETF 120414 30	33.60	CASH
12/05	DIVIDEND	IHY	MARKET VECTORS INTL HIGH YIELD BOND ETF 120514 36	3.96	CASH
12/05	DIVIDEND	ITIP	ISHARES INTL INFLATION LINKED BOND ETF 120514 31	0.32	CASH
12/05	DIVIDEND	CSJ	ISHARES 1-3 YR CREDIT BOND ETF 120514 15	1.30	CASH
12/09	DIVIDEND	SJNK	SPDR BARCLAYS CAP SHORT TERM HIGH YIELD BOND ETF 120914 35	4.17	CASH
12/09	DIVIDEND		DREYFUS CASH MGNT 288 INSTL SHS	0.02	CASH
12/10	DIVIDEND	SPFF	GLOBAL X SUPERINCOME PREFERRED ETF 121014 36	2.97	CASH
12/11	ADJ DIVIDEND	SJNK	SPDR BARCLAYS CAP SHORT TERM HIGH YIELD BOND ETF 120914 35	(4.17)	CASH
12/11	DIVIDEND	SJNK	SPDR BARCLAYS CAP SHORT TERM HIGH YIELD BOND ETF 120914 35	4.17	CASH
12/24	DIVIDEND	EUFN	ISHARES MSCI EUROPE FINANCIALS ETF 122414 102	16.89	CASH
12/24	DIVIDEND	EWW	ISHARES MSCI MEXICO CAPPED ETF 122414 18	9.21	CASH
12/24	DIVIDEND	VHT	VANGUARD HEALTH CARE ETF 122414 28	35.98	CASH
12/26	ST CAPITAL GAIN	HEDJ	WISDOMTREE TRUST EUROPE HEDGED EQUITY FUND ETF 122614 112	85.71	CASH
12/26	DIVIDEND	HEDJ	WISDOMTREE TRUST EUROPE HEDGED EQUITY FUND ETF 122614 112	40.99	CASH

WILLIAM L HARRIS (IRA) ACCOUNT NUMBER: [redacted]

INCOME AND EXPENSE - Continued

Income

Date	Transaction Type	Symbol	Description	Amount	Acct Type
12/26	LT CAPITAL GAIN	HEDJ	WISDOMTREE TRUST EUROPE HEDGED EQUITY FUND ETF 122614 112	140.30	CASH
12/26	LT CAPITAL GAIN	DXJ	WISDOMTREE JAPAN HEDGED EQUITY FD ETF 122614 70	207.35	CASH
12/26	ST CAPITAL GAIN	DXJ	WISDOMTREE JAPAN HEDGED EQUITY FD ETF 122614 70	127.08	CASH
12/26	DIVIDEND	DXJ	WISDOMTREE JAPAN HEDGED EQUITY FD ETF 122614 70	27.63	CASH
12/29	DIVIDEND	VNM	MARKET VECTORS VIETNAM ETF 122914 85	43.35	CASH
12/30	DIVIDEND	XLK	SECTOR TECHNOLOGY SELECT SECTOR SPDR 123014 87	18.83	CASH
12/30	DIVIDEND	XLF	SECTOR FINANCIAL SELECT SECTOR SPDR ETF 123014 132	16.65	CASH
12/30	DIVIDEND	XLE	SECTOR ENERGY SELECT SECTOR SPDR ETF 123014 27	13.11	CASH
12/31	DIVIDEND	FFR	FIRST TRUST FTSE EPRA NAREIT DEVELOPED MARKETS REAL ESTATE INDEX ETF 123114 32	23.49	CASH
12/31	DIVIDEND	ITIP	ISHARES INTL INFLATION LINKED BOND ETF 123114 31	7.31	CASH
12/31	DIVIDEND	CSJ	ISHARES 1-3 YR CREDIT BOND ETF 123114 15	1.33	CASH
12/31	DIVIDEND	IWM	ISHARES RUSSELL 2000 ETF 123114 27	12.02	CASH
12/31	DIVIDEND	BKLN	POWERSHARES SENIOR LOAN PORT ETF 123114 64	4.86	CASH

WILLIAM L HARRIS (IRA) ACCOUNT NUMBER: [redacted]

INCOME AND EXPENSE - Continued

Income

Date	Transaction Type	Symbol	Description	Amount	Acct Type
12/31	DIVIDEND		DREYFUS CASH MGNT 288 INSTL SHS 123114 1,702	0.01	CASH
TOTAL INCOME				878.44	
NET INCOME (EXPENSE)				878.44	

OTHER ACTIVITY

Date	Transaction Type	Quantity	Description	Amount	Acct Type
12/17	WITHDRAWAL		RETIREMENT DISTRIBUTION T28652608 F89624168	3,020.23	CASH
TOTAL OTHER ACTIVITY				3,020.23	

MONEY MARKET SUMMARY

Date	Transaction Type	Description	Amount
12/01/14	PURCHASE	DREYFUS CASH MGNT 288	(19.62)
12/05/14	PURCHASE	DREYFUS CASH MGNT 288	(33.60)
12/08/14	PURCHASE	DREYFUS CASH MGNT 288	(5.58)
12/09/14	SALE	DREYFUS CASH MGNT 288	3,015.53
12/11/14	PURCHASE	DREYFUS CASH MGNT 288	(2.97)
12/15/14	PURCHASE	DREYFUS CASH MGNT 288	(916.45)
12/16/14	SALE	DREYFUS CASH MGNT 288	919.42
12/18/14	PURCHASE	DREYFUS CASH MGNT 288	(919.42)
12/26/14	PURCHASE	DREYFUS CASH MGNT 288	(62.08)
12/29/14	PURCHASE	DREYFUS CASH MGNT 288	(629.06)
12/30/14	PURCHASE	DREYFUS CASH MGNT 288	(43.35)
12/31/14	REINVEST DIV	DREYFUS CASH MGNT 288	(0.01)
12/31/14	PURCHASE	DREYFUS CASH MGNT 288	(48.59)
TOTAL MONEY MARKET SUMMARY			1,254.22

REALIZED GAIN (LOSS) INFORMATION

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
c01/23/13	01/16/14	39	IEZ	ISHARES U S	2,186.73	2,461.71	274.98	SHORT
c06/22/12	01/16/14	30		ISHARES MSCI UNITED	479.91	624.31	144.40	LONG
c01/23/13	01/16/14	14	SPY	SPDR S&P 500 ETF	2,084.93	2,579.37	494.44	SHORT
c05/23/13	01/16/14	4	XLK	SECTOR TECHNOLOGY	126.72	143.70	16.98	SHORT
c01/23/13	01/16/14	8	KRE	SPDR S&P REGIONAL	237.93	319.03	81.10	SHORT
c11/08/13	01/16/14	2	VHT	VANGUARD HEALTH CARE ETF	193.72	209.83	16.11	SHORT
c11/08/13	05/29/14	25	VHT	VANGUARD HEALTH CARE ETF	2,421.49	2,700.45	278.96	SHORT
c01/16/14	08/15/14	26	IYJ	ISHARES	2,628.98	2,629.84	0.86	SHORT
c01/23/13	08/15/14	64	KRE	SPDR S&P REGIONAL	1,903.43	2,403.19	499.76	LONG
c11/08/13	08/15/14	34	DFE	WISDOMTREE EUROPE	1,812.96	1,893.07	80.11	SHORT
c01/16/14	08/15/14	11	DFE	WISDOMTREE EUROPE	656.94	612.47	(44.47)	SHORT
07/01/09	10/06/14	14	IJH	ISHARES CORE S&P MID	818.58	1,897.79	1,079.21	LONG
07/01/09	10/06/14	11	IWM	ISHARES RUSSELL 2000	571.23	1,197.16	625.93	LONG
c11/08/13	11/13/14	1	IHY	MARKET VECTORS	26.81	25.97	(0.84)	LONG
c08/15/14	11/13/14	5	CHIX	GLOBAL X CHINA	69.35	67.89	(1.46)	SHORT
c08/01/13	11/13/14	1	SPFF	GLOBAL X SUPERINCOME	14.59	14.71	0.12	LONG
c05/23/13	11/13/14	3	EUFN	ISHARES MSCI EUROPE	64.32	67.31	2.99	LONG
c01/18/13	11/13/14	1	ITIP	ISHARES INTL INFLATION	51.48	45.55	(5.93)	LONG
c01/13/11	11/13/14	1	EWG	ISHARES MSCI GERMANY	24.46	26.81	2.35	LONG
c01/04/13	11/13/14	3	EWI	ISHARES MSCI ITALY	40.92	42.14	1.22	LONG
c10/31/12	11/13/14	1	CSJ	ISHARES	105.87	105.23	(0.64)	LONG
07/01/09	11/13/14	1	IJH	ISHARES CORE S&P MID	58.47	143.02	84.55	LONG
12/10/09	11/13/14	1	IWM	ISHARES RUSSELL 2000	60.10	117.13	57.03	LONG
c06/22/12	11/13/14	3	EWU	ISHARES TR	48.00	56.81	8.81	LONG
c05/23/13	11/13/14	2	VNM	MARKET VECTORS	40.68	41.99	1.31	LONG
c01/17/13	11/13/14	1	BKLN	POWERSHARES SENIOR LOAN	25.15	24.41	(0.74)	LONG
c01/23/13	11/13/14	2	SPY	SPDR S&P 500 ETF	297.85	407.47	109.62	LONG
c05/23/13	11/13/14	2	XLK	SECTOR TECHNOLOGY	63.36	82.59	19.23	LONG
c08/15/14	11/13/14	4	XLF	SECTOR FINANCIAL SELECT	90.04	96.31	6.27	SHORT
c01/17/13	11/13/14	1	SJNK	SPDR BARCLAYS CAP SHORT	30.80	29.75	(1.05)	LONG
c08/15/14	11/13/14	1	VHT	VANGUARD HEALTH CARE ETF	113.02	124.24	11.22	SHORT
c01/04/13	11/13/14	2	DXJ	WISDOMTREE JAPAN HEDGED	75.88	108.47	32.59	LONG
c01/13/11	11/25/14	21	EWG	ISHARES MSCI GERMANY	513.51	601.12	87.61	LONG
c11/17/11	11/25/14	20	EWG	ISHARES MSCI GERMANY	398.09	572.49	174.40	LONG
c07/31/12	11/25/14	38	EWG	ISHARES MSCI GERMANY	770.96	1,087.75	316.79	LONG
c01/04/13	11/25/14	89	EWI	ISHARES MSCI ITALY	1,213.95	1,334.90	120.95	LONG

REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
c06/20/13	11/25/14	57	EWI	ISHARES MSCI ITALY	709.02	854.95	145.93	LONG
c06/22/12	11/25/14	31	EWU	ISHARES TR	495.89	595.65	99.76	LONG
c07/31/12	11/25/14	47	EWU	ISHARES TR	774.56	903.09	128.53	LONG
c06/20/13	11/25/14	39	EWU	ISHARES TR	705.06	749.39	44.33	LONG
05/21/10	12/10/14	1	FFR	FIRST TRUST FTSE EPRA	28.20	43.86	15.66	LONG
c08/15/14	12/10/14	2	CHIX	GLOBAL X CHINA	27.74	29.65	1.91	SHORT
c05/23/13	12/10/14	1	EUFN	ISHARES MSCI EUROPE	21.44	22.98	1.54	LONG
c01/16/14	12/10/14	1	EWW	ISHARES MSCI MEXICO	65.51	58.67	(6.84)	SHORT
c05/23/13	12/10/14	1	VNM	MARKET VECTORS	20.34	19.75	(0.59)	LONG
c06/22/12	12/10/14	1	BOND	PIMCO TOTAL RETURN	105.72	108.78	3.06	LONG
c01/17/13	12/10/14	1	BKLN	POWERSHARES SENIOR LOAN	25.15	23.97	(1.18)	LONG
c01/23/13	12/10/14	2	SPY	SPDR S&P 500 ETF	297.85	409.31	111.46	LONG
c05/23/13	12/10/14	1	XLK	SECTOR TECHNOLOGY	31.68	41.38	9.70	LONG
c08/15/14	12/10/14	1	XLF	SECTOR FINANCIAL SELECT	22.51	24.74	2.23	SHORT
c05/29/14	12/10/14	1	XLE	SECTOR ENERGY SELECT	94.88	75.12	(19.76)	SHORT
c11/25/14	12/10/14	1	HEDJ	WISDOMTREE TRUST	59.16	58.24	(0.92)	SHORT
TOTAL REALIZED GAIN (LOSS)					23,805.92	28,915.51	5,109.59	
LONG TERM GAIN (LOSS)					10,913.31	14,831.18	3,917.87	
SHORT TERM GAIN (LOSS)					12,892.61	14,084.33	1,191.72	

IRA REPORTING SUMMARY

Tax Year	Deductible Contributions	Non Deductible Contributions	Rollovers	Distributions	Withholdings
2014 for 2014	0.00	0.00	0.00	(3,019.72)	0.00

This is your annual custodian report. Securities with no known regular market have been valued using matrices, secondary market bids or participant's provided appraisal which we do not warrant the accuracy of the valuation. Contributions and values are being reported to the IRS by June 1, 2015.

Year End Statement
December 31, 2014

Contract Number: [redacted]

Nationwide Destination B NY (2.0)

Nationwide
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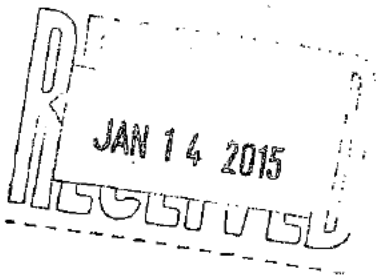
00000438
JO ANNE SIMON PC 401K SAVINGS
[redacted]



Your Investment Professional:

JOHN V RUGGIERO
JANNEY MONTGOMERY SCOTT LLC
3 MANHATTANVILLE RD 204
PURCHASE NY 10577

Contract Issue Date: 12/09/2013
Plan Type: 401(K)
Annuitant: JO ANNE SIMON

How to Contact Us:

www.nationwide.com/login

Nationwide Life Insurance Company
P O Box 182021
Columbus OH 43218-2021

Customer Service:
1-800-848-6331

Hearing Impaired:
1-800-238-3035

24 hr. Automated Information Line:
1-800-848-6331

Year End Statement
December 31, 2014

Contract Number: [redacted]

Nationwide Destination B NY (2.0)

Nationwide
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Visit nationwide.com/paperless and register for eDelivery, and we'll plant a tree on your behalf through our partnership with American Forests.

Account Summary

Beginning Date	Quarter-To-Date 10/01/2014	Year-To-Date 01/01/2014	Inception-To-Date 12/09/2013
Beginning Contract Value	$98,210.71	$51,737.95	$.00
Purchase Payments	$.00	$45,000.00	$96,091.00
Withdrawals/Charges	$.00	$.00	$.00
Net Investment Results*	$467.86	$1,940.62	$2,587.57
Ending Contract Value as of 12/31/2014	**$98,678.57**	**$98,678.57**	**$98,678.57**

*This is the net amount of investment gains or losses during each period. These results include the deduction of charges as outlined in your prospectus.

Please review the information in this statement carefully. Inaccuracies or discrepancies should be promptly reported to Nationwide in writing. Please be advised that any oral communication should be re-confirmed in writing. You may contact our Customer Service Center at the telephone number or mailing address listed at the top of this statement.

6

Fee and Expense Summary

The following information is being provided to assist your retirement plan sponsor in complying with the Department of Labor's Regulation 404(a)(5). Please review this information thoroughly, as fees and expenses impact the value of your annuity contract and your long-term investment and retirement savings.

Type of Fee	Charges Applied This Quarter
Lifetime Income Option Charge	$1,153.09

- Lifetime Income Option Charge is a fee for the Lifetime Income Option benefit

The Nationwide Lifetime Income Rider (Nationwide L.inc) Summary

You have selected the Nationwide Lifetime Income Rider (Nationwide L.inc).

IMPORTANT L.INC VALUES:		AS OF:
Income Benefit Base*	$100,375.04	12/31/2014
Minimum Guaranteed Benefit Base**	$160,912.37	12/09/2023
Minimum Annual Lifetime Income Amount***	$4,015.00	12/31/2014

*The income benefit base is set as of the most recent Nationwide L.inc rider anniversary, adjusted for any deposits or withdrawals since the last rider anniversary.

**Your minimum guaranteed benefit base will be the above value at the end of the roll up period, and is based on a 7% simple interest calculation, provided withdrawals do not begin until after the roll up period.

Year End Statement
December 31, 2014

Contract Number: [redacted]

Nationwide Destination B NY (2.0)

Nationwide® On Your Side

The Nationwide Lifetime Income Rider (Nationwide L.inc) Summary

***This amount is based on current age of the determining life (or the younger of the two determining lives, if Joint Option/Spousal Continuation is elected). You may benefit by beginning withdrawals at a later date. For more information, please see your prospectus or contact your advisor.

Benefit Election Summary

The Nationwide Lifetime Income Rider (Nationwide L.inc)
Death Benefit: Greater of Contract Value or Purchase Payments

	As of 12/31/2014
Death Benefit Value	$98,678.57
Surrender Value	$91,879.60

The Surrender Value of your contract as of 12/31/2013 was $48,124.63.

You should know that the value of your death benefit can change. Contracts issued in the state of New York will not incur a Market Value Adjustment at death. For more information about your specific product, please see the Death Benefit Payment section in your contract or prospectus as well as the endorsement to your contract if you have the Capital Preservation Plus Lifetime Income Option.

Variable Account Summary

Investment Option	Beginning-of-Quarter Value	Quarter-To-Date Payments	Quarter-To-Date Withdrawals	End-of-Quarter[4] Unit Value	End-of-Quarter[4] Units Owned	End-of-Quarter Value
NW AMFDS NVIT ASSTALLOC II	$32,308.29		($382.42)	14.68154	2232.96538	$32,783.38
NW AMFDS NVIT BD II	$32,606.23		($365.24)	11.78205	2665.53615	$31,406.60
NW AMFDS NVIT GR INC II	$33,296.19		($405.43)	13.46223	2558.15783	$34,489.69
Total	$98,210.71	$.00	($1,153.09)			$98,678.57

Plan Contribution Summary

	Beginning-of-Quarter Value	Quarter-To-Date Payments	Quarter-To-Date Withdrawals	End-of-Quarter Value
Source 06: Transfer	$98,210.71		$1,153.09	$98,678.57
Total	$98,210.71	$.00	$1,153.09	$98,678.57

Purchase Payments/Credits from 01/01/2014 through 12/31/2014

Date	Transaction Type	Investment Option (source)	Dollar Amount	Unit[4] Value	Fund[4] Units	or Credited[5] Rate
09/18/14	PAYMENT	NW AMFDS NVIT ASSTALLOC II (06)	$14,850.00	14.66539	1012.58772	
		NW AMFDS NVIT BD II (06)	$14,850.00	11.63117	1276.74128	

Year End Statement
December 31, 2014

Nationwide®
On Your Side

Contract Number: [redacted]

Nationwide Destination B NY (2.0)

Purchase Payments/Credits from 01/01/2014 through 12/31/2014

Date	Transaction Type	Investment Option (source)	Dollar Amount	Unit Value [4]	Fund Units [4]	or Credited Rate [5]
		NW AMFDS NVIT GR INC II (06)	$15,300.00	13.47532	1135.40869	
	TOTAL PAYMENT		$45,000.00			

Source 01: Employer Matching
Source 02: Employee Deferral
Source 05: Discretionary Contribution
Source 06: Transfer

Withdrawals/Charges from 01/01/2014 through 12/31/2014

Date	Transaction Type	Investment Option (source)	Dollar Amount	Unit Value [4]	Fund Units [4]
12/09/14	LB ANNUAL CHRG	NW AMFDS NVIT ASSTALLOC II (06)	($382.42)	14.69902	(26.01668)
		NW AMFDS NVIT BD II (06)	($366.24)	11.76067	(31.05604)
		NW AMFDS NVIT GR INC II (06)	($405.43)	13.60260	(29.80532)
	TOTAL LINC CHARGE		($1,153.09)		

Source 01: Employer Matching
Source 02: Employee Deferral
Source 05: Discretionary Contribution
Source 06: Transfer

* Living Benefit Annual Charge is a fee assessed on the rider anniversary date or at the time of a full surrender. It is based on the current benefit base. For more information please review the underlying prospectus.

Transfers from 01/01/2014 through 12/31/2014

Date	Transaction Type	Investment Option (source)	Dollar Amount	Unit Value [4]	Fund Units [4]	or Credited Rate [5]
01/10/14	Transfer-From	NW AMFDS NVIT ASSTALLOC II (06)	($11.15)	14.13325	(.78891)	
		NW AMFDS NVIT GR INC II (06)	($152.90)	12.34472	(12.38585)	
01/10/14	Transfer-To	NW AMFDS NVIT BD II (06)	$164.05	11.45824	14.31720	
04/11/14	Transfer-From	NW AMFDS NVIT BD II (06)	($397.48)	11.64309	(34.13866)	
04/11/14	Transfer-To	NW AMFDS NVIT ASSTALLOC II (06)	$132.92	13.92236	9.54723	
		NW AMFDS NVIT GR INC II (06)	$264.56	12.07099	21.91700	
07/11/14	Transfer-From	NW AMFDS NVIT GR INC II (06)	($753.48)	13.19085	(57.12136)	
07/11/14	Transfer-To	NW AMFDS NVIT ASSTALLOC II (06)	$32.29	14.58704	2.21360	
		NW AMFDS NVIT BD II (06)	$721.19	11.72596	61.50370	
10/10/14	Transfer-From	NW AMFDS NVIT BD II (06)	($1,154.24)	11.76549	(98.10381)	
10/10/14	Transfer-To	NW AMFDS NVIT ASSTALLOC II (06)	$367.54	14.04470	26.16929	
		NW AMFDS NVIT GR INC II (06)	$786.70	12.53084	62.28403	

Source 01: Employer Matching
Source 02: Employee Deferral
Source 05: Discretionary Contribution
Source 06: Transfer

Investment Options Available to You

The election of The Nationwide Lifetime Income (L.inc) Rider will limit the investment options available to you in your annuity contract.

AMFDSIS MGDRSKASSTALLOC P2
FIDVIP FREE 2010 SVC 2
NW AMFDS NVIT ASSTALLOC II
NW AMFDS NVIT BD II
NW AMFDS NVIT GR INC II
NW NVIT CARDINAL BAL II
NW NVIT CARDINAL CNSRV II
NW NVIT CARDINAL MGD GR II
NW NVIT INV DEST BAL II
NW NVIT INV DEST CNSRV II
NW NVIT INVDEST MGD GR II
NW NVIT INVDESTMODCNSRV II
NW NVITCARDINALMGDGRINC II
NW NVITCARDINALMODCNSRV II
NW NVITINVDESTMGDGR INC II
NWNVITMGDAMFDSASSTALLOC II

For Your Information

The non-forfeiture value of your contract is the minimum value that must be paid when a withdrawal or transfer of money occurs from the fixed option. A non-forfeiture rate is used to calculate the non-forfeiture value. Currently the non-forfeiture rate for your contract is 1.00%. The next date that the rate can be re-determined is 12/09/2018.

Withdrawals in excess of the guaranteed withdrawal amount, called "excess withdrawals", may result in a permanent reduction in future guaranteed withdrawal amounts. If you would like to make an excess withdrawal and are uncertain how an excess withdrawal will reduce your future guaranteed withdrawal amounts, then you may contact us prior to requesting the withdrawal to obtain a personalized, transaction-specific calculation showing the effect of the excess withdrawal.

To obtain a current Prospectus, please contact our Annuity Service Center at 1-800-848-6331.

To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly. If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk.

In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk.

It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals.

For additional information regarding individual investing and diversification, you may visit the Department of Labor website at www.dol.gov/ebsa/investing.html.

For Your Information continued

To follow your contract balances, our Internet site, nationwide.com/login and our automated Annuity Information System, 1-800-848-6331, are available 24 hours a day, 7 days a week for your convenience.

Our Annuity Service Center Representatives are available Monday through Friday 8:00 a.m. to 8:00 p.m. Eastern Time. Please review the information in this statement carefully. Any questions or corrections must be reported to Nationwide immediately to assure proper crediting to your contract.

Annuities:
*are NOT insured by the FDIC or any federal government agency
*are NOT deposits or obligations of, guaranteed by, or insured by, your depository institution or any of its affiliates
*involve investment risk, including possible loss of value.

Disclosure Statement For Optional Benefit Riders that Offer Underlying Mutual Funds with a Volatility Management Strategy

Nationwide Life Insurance Company and Nationwide Life and Annuity Insurance Company
Individual Annuities, P.O. Box 182021, Columbus, Ohio 43218-2021
1-800-848-6331, Fax to: 1-888-634-4472, nationwide.com

As an owner ('you,' 'your,' or 'Owner') of an Individual Flexible Premium Payment Fixed and Variable Deferred Annuity Contract (the 'Contract') issued by Nationwide Life Insurance Company ('Nationwide' or the 'Company') who can elect a Nationwide Lifetime Income Rider, Nationwide Lifetime Income Capture or Nationwide Lifetime Income Track optional benefit (each, an 'Optional Benefit') under the Contract, we wanted to inform you of an investment strategy that is utilized by certain underlying mutual funds (each a 'Fund') that are available for investment under the Contract. Since this investment strategy may not be aligned with your goals and expectations under the Optional Benefit rider, you should carefully evaluate with your financial advisor whether to invest in funds with this strategy, taking into consideration the potential impact, discussed below, that this strategy may have on your Optional Benefit.

One or more Funds uses a volatility management strategy ('VM Strategy'). In general, the use of a VM Strategy is intended to help limit the Fund's overall volatility and reduce the effects of significant market downturns during periods of high equity market volatility.

Investing in a Fund that employs a VM Strategy may, but is not guaranteed to, reduce the risk of investment loss that would lower Contract value. The use of a VM Strategy may also, under certain market conditions, result in lower investment performance of the Fund compared to other similar funds that do not employ a VM Strategy which could limit the growth of Contract value. This in turn, could limit the growth of the income benefit base under the Optional Benefit since the Contract value is used in the calculation of the income benefit base. Therefore, such a strategy could in some circumstances conflict with the investment objectives of those Contract owners who elect an Optional Benefit and would prefer to maximize the potential growth of Contract value and the benefit base under the Optional Benefit.

You pay an additional fee for the Optional Benefit which in part pays for protecting the income benefit base from investment losses. Since the income benefit base under the Optional Benefit does not decrease as a result of investment losses, a VM Strategy may, under certain market conditions, provide little or no additional benefit to you under the Optional Benefit.

Funds that use a VM Strategy are noted in your Contract prospectus. Additional information about each Fund is available in the Fund prospectus.

4 Unit Values and Units are displayed to five (5) decimal places. However, all accounting is actually calculated to eight (8) places.

5 The stated Credited Interest Rate is determined on the basis of various factors, including a Base Rate that will not change for the period indicated by the Base Rate Expiration Date and/or Maturity Date. Although the Base Rate will not change for the duration indicated, the actual Credited Interest rate may be greater or less than the Base Rate depending on 1) the election or termination of an optional rider; and/or 2) any other applicable feature that is described in the Contract. Refer to your contract, data page and any Rider Transaction Summary section that may be included on this statement for further details.

6 Please consult your annuity contract or prospectus for additional fee information. Refer to the underlying fund prospectuses for additional underlying mutual fund charge information including 12b-1 fees, sub-transfer agent fees, fees pursuant to an underlying mutual fund's administrative service plan and advisory fees, if any, deducted from underlying mutual fund assets. For copies of this information, please contact Nationwide's Annuity Service Center.

LEGISLATIVE ETHICS COMMISSION
STATE OF NEW YORK

MAIL: LEGISLATIVE OFFICE BUILDING • BOX 75 • ALBANY, NEW YORK 12247
LOCATION: ALFRED E. SMITH OFFICE BUILDING • SUITE 1431 • ALBANY, NY 12247
PHONE: (518) 432-7837/7838 • FAX: (518) 426-6850

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JUN 18 2015
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LEG. ETHICS COMM.

QUESTION 5(b) AMENDMENT
for Calendar Year 2014

Please complete the form. If a column is not applicable specify NONE.

(b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of such agency.

Position	Name & Address of Organization	Description	State or Local Agency
	N/A		

Name: Jo Anne Simon

Title of Position: Member of Assembly

Address of Present Office: 341 Smith St., Brooklyn, NY 11231

Jo Anne Simon
(Signature of reporting individual)

6/18/15
Date (month/day/year)

PRINTED ON RECYCLED PAPER