



# LEGISLATIVE ETHICS COMMISSION STATE OF NEW YORK

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**RECEIVED**

## ANNUAL STATEMENT OF FINANCIAL DISCLOSURE

For Calendar Year 2012

MAY 13 2013

BY [Signature]  
LEG. ETHICS COMM.

1. Name JANE L. CORWIN
2. (a) Title of Position MEMBER  
 (b) Department, Agency or other Governmental Entity ASSEMBLY  
 (c) Address of Present Office 8180 MAIN ST WILLIAMSVILLE 14221  
 (d) Office Telephone Number (716) 839-4690
3. (a) Marital Status MARRIED. If married, please give spouse's full name including maiden name where applicable.  
PHILIP M. CORWIN  
 (b) List the names of all unemancipated children.  
[REDACTED]  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Answer each of the following questions completely, with respect to calendar year 2012, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories in Table I or Table II of this subdivision as called for in the question: A reporting individual shall indicate the Category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending the December 31st preceding the date of filing of the annual statement.

4. (a) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
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NONE

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- (b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
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PRESIDENT	CORWIN HOLDINGS LLC	
VICE CHAIRMAN	ERIE COUNTY INDUSTRIAL DEVELOPMENT AGENCY	

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5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
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NONE

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- (b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
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NONE

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6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is

filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

Self, Spouse or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract (In Table II)
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NONE

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7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

NONE

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8. (a) If the reporting individual practices law, is licensed by the department of state as a real estate broker or agent or practices a profession licensed by the department of education, or works as a member or employee of a firm required to register pursuant to section one-e of the legislative law as a lobbyist, give a general description of the principal subject areas of matters undertaken by such individual. Additionally, if such an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation.

NONE

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(b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE: If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the reporting individual personally provided services, or who was referred

to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period for such services rendered in direct connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$50,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$25,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (i) through (v) of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law. Only a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	Nature of Services Provided
<u>NONE</u>	

(c) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of \$1,000 excluding investments in securities and interests in real property.

SEE ATTACHED

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9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of \$1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in item 10. Indicate the value and nature of each such gift.

Self, Spouse or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift (In Table I)
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NONE

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10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.

Source	Description
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NONE

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11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans (e.g., 401, 403(b), 457, etc.) established in accordance with the

internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Identity Category  
of Value\*  
(In Table II)

SEE ATTACHED  
\_\_\_\_\_  
\_\_\_\_\_

\* The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

NONE  
\_\_\_\_\_  
\_\_\_\_\_

(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

NONE  
\_\_\_\_\_  
\_\_\_\_\_

13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the

aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse	Source	Nature	Category of Amount (In Table I)
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SEE ATTACHED

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14. List the sources of any deferred income (not retirement income) in EXCESS of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

Source	Category of Amount (In Table I)
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NONE

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15. List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

Item Assigned or Transferred	Assigned or Transferred to	Category of Value (In Table I)
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NONE

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16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the

issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

Self/ Spouse	Issuing Entity	Type of Security	Percentage of corporate stock owned or controlled (if more than 5% of pub- licly traded stock, or more than 10% if stock not publicly traded, is held)	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)
<u>SEE ATTACHED</u>				

17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of \$1,000 is held

by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

Self/ Spouse/ Corporation	Location	Size	General Nature	Acquisition Date	Percentage of Ownership	Category of Market Value (In Table II)
NONE						

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

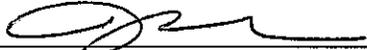
Name of Debtor	Type of Obligation, Date Due, and Nature of Collateral, if any	Category of Amount (In Table II)
NONE		

19. List below all liabilities of the reporting individual and such individual's spouse, in EXCESS of \$10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan

issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor	Type of Liability and Collateral, if any	Category of Amount (In Table II)
MORGAN STANLEY	PERSONAL NOTE SECURED BY SPOUSE BROKERAGE ACCT SECURITIES	N
MORGAN STANLEY	PERSONAL NOTE SECURED BY JOINT BROKERAGE ACCT SECURITIES	M

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

  
(Signature of Reporting Individual)

5/9/13  
Date (month/day/year)

[CATEGORY OF INCOME TABLES]

TABLE I

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 350,000
Category K	\$ 350,000 to under \$ 450,000
Category L	\$ 450,000 to under \$ 550,000
Category M	\$ 550,000 to under \$ 650,000
Category N	\$ 650,000 to under \$ 750,000
Category O	\$ 750,000 to under \$ 850,000
Category P	\$ 850,000 to under \$ 950,000
Category Q	\$ 950,000 to under \$1,050,000
Category R	\$1,050,000 to under \$1,150,000
Category S	\$1,150,000 to under \$1,250,000
Category T	\$1,250,000 to under \$1,350,000
Category U	\$1,350,000 to under \$1,450,000
Category V	\$1,450,000 to under \$1,550,000
Category W	\$1,550,000 to under \$1,650,000
Category X	\$1,650,000 to under \$1,750,000

Category Y	\$1,750,000	to under	\$1,850,000
Category Z	\$1,850,000	to under	\$1,950,000
Category AA	\$1,950,000	to under	\$2,050,000
Category BB	\$2,050,000	to under	\$2,150,000
Category CC	\$2,150,000	to under	\$2,250,000
Category DD	\$2,250,000	to under	\$2,350,000
Category EE	\$2,350,000	to under	\$2,450,000
Category FF	\$2,450,000	to under	\$2,550,000
Category GG	\$2,550,000	to under	\$2,650,000
Category HH	\$2,650,000	to under	\$2,750,000
Category II	\$2,750,000	to under	\$2,850,000
Category JJ	\$2,850,000	to under	\$2,950,000
Category KK	\$2,950,000	to under	\$3,050,000
Category LL	\$3,050,000	to under	\$3,150,000
Category MM	\$3,150,000	to under	\$3,250,000
Category NN	\$3,250,000	to under	\$3,350,000
Category OO	\$3,350,000	to under	\$3,450,000
Category PP	\$3,450,000	to under	\$3,550,000
Category QQ	\$3,550,000	to under	\$3,650,000
Category RR	\$3,650,000	to under	\$3,750,000
Category SS	\$3,750,000	to under	\$3,850,000
Category TT	\$3,850,000	to under	\$3,950,000
Category UU	\$3,950,000	to under	\$4,050,000
Category VV	\$4,050,000	to under	\$4,150,000
Category WW	\$4,150,000	to under	\$4,250,000
Category XX	\$4,250,000	to under	\$4,350,000
Category YY	\$4,350,000	to under	\$4,450,000
Category ZZ	\$4,450,000	to under	\$4,550,000
Category AAA	\$4,550,000	to under	\$4,650,000
Category BBB	\$4,650,000	to under	\$4,750,000
Category CCC	\$4,750,000	to under	\$4,850,000
Category DDD	\$4,850,000	to under	\$4,950,000
Category EEE	\$4,950,000	to under	\$5,050,000
Category FFF	\$5,050,000	to under	\$5,150,000
Category GGG	\$5,150,000	to under	\$5,250,000
Category HHH	\$5,250,000	to under	\$5,350,000
Category III	\$5,350,000	to under	\$5,450,000
Category JJJ	\$5,450,000	to under	\$5,550,000
Category KKK	\$5,550,000	to under	\$5,650,000
Category LLL	\$5,650,000	to under	\$5,750,000
Category MMM	\$5,750,000	to under	\$5,850,000
Category NNN	\$5,850,000	to under	\$5,950,000
Category OOO	\$5,950,000	to under	\$6,050,000
Category PPP	\$6,050,000	to under	\$6,150,000
Category QQQ	\$6,150,000	to under	\$6,250,000
Category RRR	\$6,250,000	to under	\$6,350,000
Category SSS	\$6,350,000	to under	\$6,450,000
Category TTT	\$6,450,000	to under	\$6,550,000
Category UUU	\$6,550,000	to under	\$6,650,000
Category VVV	\$6,650,000	to under	\$6,750,000
Category WWW	\$6,750,000	to under	\$6,850,000
Category XXX	\$6,850,000	to under	\$6,950,000
Category YYY	\$6,950,000	to under	\$7,050,000
Category ZZZ	\$7,050,000	to under	\$7,150,000
Category AAAA	\$7,150,000	to under	\$7,250,000
Category BBBB	\$7,250,000	to under	\$7,350,000
Category CCCC	\$7,350,000	to under	\$7,450,000

Category DDDD	\$7,450,000 to under \$7,550,000
Category EEEE	\$7,550,000 to under \$7,650,000
Category FFFF	\$7,650,000 to under \$7,750,000
Category GGGG	\$7,750,000 to under \$7,850,000
Category HHHH	\$7,850,000 to under \$7,950,000
Category IIII	\$7,950,000 to under \$8,050,000
Category JJJJ	\$8,050,000 to under \$8,150,000
Category KKKK	\$8,150,000 to under \$8,250,000
Category LLLL	\$8,250,000 to under \$8,350,000
Category MMMM	\$8,350,000 to under \$8,450,000
Category NNNN	\$8,450,000 to under \$8,550,000
Category OOOO	\$8,550,000 to under \$8,650,000
Category PPPP	\$8,650,000 to under \$8,750,000
Category QQQQ	\$8,750,000 to under \$8,850,000
Category RRRR	\$8,850,000 to under \$8,950,000
Category SSSS	\$8,950,000 to under \$9,050,000
Category TTTT	\$9,050,000 to under \$9,150,000
Category UUUU	\$9,150,000 to under \$9,250,000
Category VVVV	\$9,250,000 to under \$9,350,000
Category WWWW	\$9,350,000 to under \$9,450,000
Category XXXX	\$9,450,000 to under \$9,550,000
Category YYYY	\$9,550,000 to under \$9,650,000
Category ZZZZ	\$9,650,000 to under \$9,750,000
Category AAAAA	\$9,750,000 to under \$9,850,000
Category BBBBB	\$9,850,000 to under \$9,950,000
Category CCCCC	\$9,950,000 to under \$10,000,000
Category DDDDD	\$10,000,000 or over

TABLE II

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 500,000
Category K	\$ 500,000 to under \$ 750,000
Category L	\$ 750,000 to under \$1,000,000
Category M	\$1,000,000 to under \$1,250,000
Category N	\$1,250,000 to under \$1,500,000
Category O	\$1,500,000 to under \$1,750,000
Category P	\$1,750,000 to under \$2,000,000
Category Q	\$2,000,000 to under \$2,250,000
Category R	\$2,250,000 to under \$2,500,000
Category S	\$2,500,000 to under \$2,750,000
Category T	\$2,750,000 to under \$3,000,000
Category U	\$3,000,000 to under \$3,250,000
Category V	\$3,250,000 to under \$3,500,000
Category W	\$3,500,000 to under \$3,750,000
Category X	\$3,750,000 to under \$4,000,000
Category Y	\$4,000,000 to under \$4,250,000
Category Z	\$4,250,000 to under \$4,500,000

Category AA	\$4,500,000 to under \$4,750,000
Category BB	\$4,750,000 to under \$5,000,000
Category CC	\$5,000,000 to under \$5,250,000
Category DD	\$5,250,000 to under \$5,500,000
Category EE	\$5,500,000 to under \$5,750,000
Category FF	\$5,750,000 to under \$6,000,000
Category GG	\$6,000,000 to under \$6,250,000
Category HH	\$6,250,000 to under \$6,500,000
Category II	\$6,500,000 to under \$6,750,000
Category JJ	\$6,750,000 to under \$7,000,000
Category KK	\$7,000,000 to under \$7,250,000
Category LL	\$7,250,000 to under \$7,500,000
Category MM	\$7,500,000 to under \$7,750,000
Category NN	\$7,750,000 to under \$8,000,000
Category OO	\$8,000,000 to under \$8,250,000
Category PP	\$8,250,000 to under \$8,500,000
Category QQ	\$8,500,000 to under \$8,750,000
Category RR	\$8,750,000 to under \$9,000,000
Category SS	\$9,000,000 to under \$9,250,000
Category TT	\$9,250,000 to under \$9,500,000
Category UU	\$9,500,000 or over

Jane L. Corwin  
Annual Statement of Financial Disclosure  
For Calendar Year 2012

Question 11.

Jane Lewis Corwin  
IRA Rollover

Investments:	Category:
DFA Emerging Markets Fund	D
DFA Emerging Markets Portfolio	D
DFA Small Cap Value Fund	F
DFA Small Company Fund	E
DFA International Value III Portfolio	H
DFA Large Cap Value III Fund	G
DFA US Large Company Fund	F
DFA US Micro Cap Portfolio	E
DFA US Small Cap Value Fund	H
Dodge & Cox Stock Fund	D



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Question 13:

	Source:	Nature:	Category:
Joint	Stocks and Bonds	Dividends and Interest	W
Self	Stocks and Bonds	Dividends and Interest	H
Spouse	Stocks and Bonds	Dividends and Interest	H
Inherited IRA	Stocks and Bonds	Dividends and Interest	D



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Question 8.

Spouse	AQ Kafe	424 Madison Av., 14 <sup>th</sup> Floor New York, NY 10017	Restaurant
Spouse	Innate Therapeutics	Auckland, New Zealand	Drug Development
Spouse	Tri State Bank	1 Oxford Center Suite 2700 301 Grant St. Pittsburgh, PA 15219	Bank
Spouse	Shadow Rock Ventures LLC	5151 Rockledge Drive Clarence, NY 14031	less than 1% in Kinex Pharmaceuticals
Joint	Corwin Holdings LLP	5151 Rockledge Drive Clarence, NY 14031	Real Estate Partnership
Spouse	Corwin Development Co, LLC	5151 Rockledge Drive Clarence, NY 14031	Financing Company
Spouse	Azoona, LLC	5151 Rockledge Drive Clarence, NY 14031	Digital Marketing Company
Spouse	Pharminex, LLC	6467 Main Street Williamsville, NY 14221	less than 1% interest in Kinex Pharmaceuticals
Joint	Benchmark Cayo Grande, LLC	4053 Maple Road Amherst, NY 14226	Real Estate Partnership
Joint	Benchmark Village Green, LLC	4053 Maple Road Amherst, NY 14226	Real Estate Partnership
Joint	398 Central Avenue, LLC	398 Central Avenue Unit 8H Hoboken, NJ 07030	Real Estate Partnership



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Question 16.

Owned Jointly by Self and Spouse:

Issuing Entity:	Type of Security:	Category:
Put Apple Inc.	Derivative	A
Allegheny County PA Pittsburgh Intl Airport	Municipal Bond	E
Houston TX Community College System	Municipal Bond	H
Henry Hudson NJ Regional School District	Municipal Bond	F
Fayetteville-Manlius NY Central District	Municipal Bond	E
Hillborough County FL School Board Partnership	Municipal Bond	J
Orange County CA Public Authority	Municipal Bond	H
South Bend IN Community School Corp	Municipal Bond	J
Parsippany-Troy Hills Twp NJ GO	Municipal Bond	I
Miami-Dade County FL School Board Partnership	Municipal Bond	J
New York, NY GO-A	Municipal Bond	B
Ocean County, NJ General Improvements	Municipal Bond	I
SONYMA Revenue Serial 110	Municipal Bond	J
NYC Transitional Finance Authority Future Tax Rev Ser A-1	Municipal Bond	I
NYS Urban Dev Corp Rev Ser A ESD Corp	Municipal Bond	J
Cresskill NJ School District	Municipal Bond	J
Connecticut State GO Serial A	Municipal Bond	G
NYS Dorm Auth PIT Rev Serial F	Municipal Bond	J
Clive IA Corp Purpose Serial B	Municipal Bond	H
Maywood NJ School District	Municipal Bond	J
NYS Dorm Auth Lease Revenue	Municipal Bond	D
NYC GO Serial F	Municipal Bond	H
Connecticut St GO Serial A	Municipal Bond	I
Lewiston ME GO Serial A	Municipal Bond	I
East Hampton Town NY GO	Municipal Bond	J
Islip NY GO	Municipal Bond	I
NYC GO Serial I	Municipal Bond	H
NYC GO Serial B	Municipal Bond	D
Wolcott CT GO	Municipal Bond	J
Amherst NY Serial A	Municipal Bond	J
Sunrise FL Special Tax District	Municipal Bond	E
Fort Wayne IN Income Tax R	Municipal Bond	J
Northwestern OH Water and Sewer	Municipal Bond	H
Wilmette IL GO	Municipal Bond	H
Arkansas State Dev Fin Authority	Municipal Bond	G
NYC GO Serial F	Municipal Bond	E
Indiana Board Special Board D	Municipal Bond	J
NYS Environmental Facilities Corp Clean Drinking Water	Municipal Bond	H



Middleborough MA GO	Municipal Bond	C
State Public School Bldg Auth PA College Rev A	Municipal Bond	F
South Carolina St Housing Finance Agency Serial A-1	Municipal Bond	I
Oklahoma Housing Finance Agency Single Family Revenue A-1	Municipal Bond	G
Monmouth Cty NJ GO	Municipal Bond	I
Arkansas St Dev Finance Authority Single Family M	Municipal Bond	E
NYS Urban Dev Corp Empire St PIT Rev-N	Municipal Bond	J
New Jersey St	Municipal Bond	J
Clark County NV School District Serial A	Municipal Bond	J
California Housing Finance Agency Home Mortgage Ser-D	Municipal Bond	J
Cohasset MA GO	Municipal Bond	I
Chicopee MA GO	Municipal Bond	J
Blind Brook-Rye NY School District	Municipal Bond	J
King County WA Evergreen Healthcare Ctr	Municipal Bond	I
Cambridge MN School District School Bldg A	Municipal Bond	J
Spring Lake Park MN School District	Municipal Bond	J
Florida St Atlantic Univ Revenue Ref-B	Municipal Bond	J
Massachusetts St Port Auth Rev A	Municipal Bond	H
Central NM Community College	Municipal Bond	J
South Dakota St Bldg Auth Revenue A	Municipal Bond	J
Byram Hills NY School District	Municipal Bond	J
Chicago, IL GO 3-D	Municipal Bond	J
South Carolina St Pub Svc Auth Santee Cooper	Municipal Bond	J
Van TX School District	Municipal Bond	J
Williamson County TX Tax Serial A	Municipal Bond	J
Mississippi St GO Serial 1A	Municipal Bond	F
Mississippi St GO Serial 1B	Municipal Bond	H
Mississippi St GO Serial 1C	Municipal Bond	I
Massachusetts St Port Authority O/D	Municipal Bond	I
Burlington County NJ Gen Improv	Municipal Bond	F
Burlington County NJ Gen Improv	Municipal Bond	D
NYC GO Series C	Municipal Bond	H
San Antonio TX GO Serial A	Municipal Bond	J
NYC GO Serial C Subser C-1	Municipal Bond	J
NYC GO Serial C	Municipal Bond	I
NYS Environmental Facilities Special Obligation Rev	Municipal Bond	J
Bexar County TX GO	Municipal Bond	I
NYC GO Subser A-1	Municipal Bond	I
NYC GO Serial 1	Municipal Bond	J
Millburn Twp NJ Board of Education	Municipal Bond	J
Leeburg FL Utilities	Municipal Bond	J
South Country School District Brookhaven NY	Municipal Bond	J
Rockland Cty NY GO	Municipal Bond	K
Maryland St GO	Municipal Bond	J
Hawaii St GO	Municipal Bond	J
Collier Cty FL Special Obl Revenue	Municipal Bond	F
Burlington Cty NJ General Improvment	Municipal Bond	J
South Brunswick NJ GO	Municipal Bond	H



NYS Dorm Auth	Municipal Bond	K
Westchester Cty NY GO	Municipal Bond	J
Burlington Cty NJ Gen Improv	Municipal Bond	H
NYC GO	Municipal Bond	K
Illinois St GO	Municipal Bond	J
Cook Kane Lake & McHenry Cty Comm College	Municipal Bond	J
Texas Transportation Hwy Fund	Municipal Bond	I
LA CA Unified School District	Municipal Bond	H
Phoenix AZ Variable Purp GO	Municipal Bond	J
Irving TX Certificate Of Obligation	Municipal Bond	I
Nassau Cty NY General Improv	Municipal Bond	I
Sangamon Cass Cty IL Community College	Municipal Bond	H
Houston TX Public Improv	Municipal Bond	I
Illinois St GO	Municipal Bond	I
Birmingham AL Capital Improv	Municipal Bond	H
Empire State Dev PIT	Municipal Bond	H
NYS Dorm Auth PIT	Municipal Bond	I
Harris Cty TX Flood District	Municipal Bond	J
Comal TX School District	Municipal Bond	I
Westchester Cty NY GO	Municipal Bond	J
NYS Dorm Auth	Municipal Bond	H
NYS Dorm Auth	Municipal Bond	I
NYC GO	Municipal Bond	J
NYS Housing Finance Agency PIT	Municipal Bond	G
NYC Municipal Water and Sewer Revenue	Municipal Bond	J
Portland OR Water System	Municipal Bond	H
NYC Municipal Water Auth	Municipal Bond	G
NJ St Education Facilities	Municipal Bond	I
NYC Municipal Water Auth	Municipal Bond	J
Berkshire Hathaway	Corporate Bond	I
Apple Inc.	Stock	K
Blackrock Inc.	Stock	E
Caterpillar, Inc.	Stock	K
Con Ed, Inc.	Stock	E
First Niagara Financial Group	Stock	E
General Dynamics, Inc	Stock	E
JP Morgan Chase	Stock	E
Marathon Petroleum Corp.	Stock	D
McDonalds Corp.	Stock	E
National Fuel Gas	Stock	J
Novartis	Stock	D
Royal Dutch Shell	Stock	E
Viacom Inc.	Stock	D
Western Asset High Yield Fund	Stock	E
Consumer Discretionary SPDR	ETF	K
Energy Select SPDR	ETF	I
Industrial Select SPDR	ETF	J
I Shares DJ US Tech Index Fund	ETF	J



I Shares MSCI EAFE Fund	ETF	K
I Shares S&P Latin America 40	ETF	I
I Shares S&P Midcap 400	ETF	K
I Shares S&P Smallcap 600	ETF	J
Materials Select SPDR	ETF	H
Power Shares QQQ	ETF	K
SPDR S&P Biotech	ETF	I
SPDR S&P Homebuilders	ETF	J
SPDR S&P Pharmaceutical	ETF	I
SPDR S&P Regional Banking ETF	ETF	J
Financial Select SPDR	ETF	K
Van Guard MSCI Emerging Markets	ETF	K
Van Guard REIT ETF	ETF	J
Illinois St GO	Municipal Bond	J
Clarkstown NY Central School District	Municipal Bond	I
Schodack NY Central School District	Municipal Bond	K
Skagit Cty WA School District	Municipal Bond	I
Washoe Cty NV GO	Municipal Bond	J
Springfield MA GO	Municipal Bond	I
El Paso TX GO	Municipal Bond	I
University of AK Revenue	Municipal Bond	I
Cook Cty IL School District	Municipal Bond	J
Milwaukee Cty WI Corp Purp	Municipal Bond	I
Will Cty IL Community Unit Schools	Municipal Bond	H
Westview Elem Bldg Corp	Municipal Bond	K
Zionsville IN Community Schools Bldg Corp	Municipal Bond	J
Coppell TX School District	Municipal Bond	J
DeKalb and Kane Cty IL School Districts	Municipal Bond	J
Florida St Board of Govs Univ System	Municipal Bond	I
New Hampshire GO	Municipal Bond	J
Delmar TX College District	Municipal Bond	J
Harris Cty TX Revenue	Municipal Bond	I
Lorraine Cty OH GO	Municipal Bond	J
Mariemont OH City Schools	Municipal Bond	J
Mt. Vernon IN School Bldg Corp	Municipal Bond	I
Mt. Vernon IN School Bldg Corp	Municipal Bond	J
Zachary LA School District	Municipal Bond	J
Greenville MI Public Schools	Municipal Bond	K
New Hampshire GO	Municipal Bond	J
DuPage Cty IL Community High School District	Municipal Bond	J
Mesquite TX Waterworks and Sewer	Municipal Bond	J
Florida St Board of Education	Municipal Bond	J
Lewisville TX School District	Municipal Bond	H
Cincinnati, OH School District	Municipal Bond	I
Pierce Cty WA School District	Municipal Bond	J
University of MI GO	Municipal Bond	J
Denton TX CTFS	Municipal Bond	K
Tamp Bay FL Water Auth	Municipal Bond	K



Mesquite TX School District	Municipal Bond	J
Michigan State Univ GO	Municipal Bond	J
Goldman Sachs	CD	I
Discover Bank	CD	J
AIA Group SPDR	Stock	E
Air Liquide ADR	Stock	E
Allianz ADS	Stock	E
American Movil ADR	Stock	D
Anheuser Busch Spon	Stock	E
ARM Holdings PLC ADS	Stock	E
Atlas Copco ADR	Stock	D
BG Group PLC	Stock	D
Bunge LTD	Stock	D
Canadian National Railways Co	Stock	D
Cochlear LTD Unispon ADR	Stock	D
CSL LTD Unispon ADR	Stock	D
Dassault Systems ADS	Stock	E
DBS Group Holdings Ltd	Stock	D
Fanuc Corporation Unsp ADR	Stock	E
Fresenius Medical Care	Stock	E
Gazprom OAO Spon ADR	Stock	D
HSBC Holdings PLC Spon ADR	Stock	D
ICIC Bank LTD Com	Stock	E
Imperial Oil Ltd.	Stock	D
ITAU Unibanco Multiple ADR	Stock	E
JGC Corp Unsp ADR	Stock	D
L'Oreal CO ADR	Stock	E
Li & Fung Ltd. Unsp ADR	Stock	E
Lonza Group AG ADR	Stock	D
LVMH Moet Hennessy Louis Vuitton	Stock	D
MTN Group Spons ADR	Stock	D
Nestle Spon ADR	Stock	E
Nokian Tyres ADR	Stock	D
Petroleo Bras ADS	Stock	D
Potash CP of Saskatchewan Inc	Stock	D
Giagen NV	Stock	D
Roche Holdings ADR	Stock	E
SAP AG	Stock	E
Sasol Ltd. ADR	Stock	D
Schlumberger Ltd.	Stock	E
Schneider Electric ADR	Stock	E
Sonova Holdings ADR	Stock	D
Svenska Handelsbanken ADR	Stock	D
Swatch Group ADR	Stock	E
Sysmex Corp ADR	Stock	D
Taiwan Semiconductor Ltd. ADR	Stock	E
Tesco PLC ADR	Stock	D
Turkiye Garanti Bankasi	Stock	E



Unicharm Corp ADR	Stock	E
Unilever PLC ADS	Stock	D
WPP PLC ADR	Stock	E
Xinyi Glass Holdings Ltd.	Stock	D
3M Co	stock	E
American Electric Power	stock	D
AT&T	stock	E
BHP Billiton Plc ADR	stock	D
Chevron Corp	stock	E
Comcast	stock	E
Conocophillips	stock	D
DuPont	stock	E
Exxon Mobil	stock	E
General Electric	stock	D
General Mills	stock	D
HJ Heinz	stock	E
Home Depot	stock	D
Intel Corp	stock	E
International Paper	stock	E
Johnson and Johnson	stock	E
Johnson Controls	stock	D
Kimberly Clark Corp	stock	E
Metlife Inc	stock	D
Microsoft	stock	E
Nestle ADR	stock	D
Nextera Energy Inc	stock	D
Peoples United Financial	stock	D
Pepsico Inc	stock	D
Pfizer Inc	stock	E
Phillips 66	stock	C
PPG Industries	stock	E
Procter and Gamble	stock	E
Qualcomm Inc	stock	D
Raytheon Co	stock	E
Schlumberger Ltd	stock	D
Spectra Energy	stock	E
Target	stock	E
Texas Instruments	stock	D
Thermo Fisher Scientific	stock	E
Time Warner	stock	E
Total SA ADR	stock	E
Travelers	stock	E
UPS	stock	E
United Technologies	stock	E
Verizon Communications	stock	E
WalMart	stock	E
Walt Disney	stock	D
Waste Management	stock	E



Wisconsin Energy stock D

Owned solely by Self:

Chenango Valley NY School District	Municipal Bond	I
Cato-Meridian NY School District	Municipal Bond	H
Dallas TX GO	Municipal Bond	I
Palo Alto CA School District	Municipal Bond	F
Sugar Land TX GO	Municipal Bond	F
Central NM Community College	Municipal Bond	F
San Antonio TX GO Serial A	Municipal Bond	E
NYC GO Serial C	Municipal Bond	F
Will & Kendall Counties Community School Districts	Municipal Bond	J
NYS Thruway Auth PIT Rev Serial A	Municipal Bond	F
NYC GO Subser A1	Municipal Bond	H
NYC GO Serial L	Municipal Bond	I
NYS Dorm Auth PIT Rev Serial C	Municipal Bond	I
Oyster Bay NY GO Public Improv	Municipal Bond	E
Monroe Twp NJ Middlesex County GO	Municipal Bond	E
Pennsylvania St GO Second Serial	Municipal Bond	J
Illinois St GO	Municipal Bond	E
University of TX Regents System Rev	Municipal Bond	H
Birmingham AL Cap Improv Serial A	Municipal Bond	E
NYS Dorm Auth PIT Rev A	Municipal Bond	E
Radnor Twp PA	Municipal Bond	F
NYS Dorm Auth PIT Rev A	Municipal Bond	H
Illinois St Sales Tax Rev	Municipal Bond	E
NYS Housing Finance Agency PIT Rev A	Municipal Bond	E
NYC Municipal Water Financing Auth Water and Sewer Ser 8	Municipal Bond	J
NYC Municipal Water Financing Auth Water and Sewer Rev-EE	Municipal Bond	E

Owned solely by Spouse:

Chenango Valley NY School District	Municipal Bond	I
Cato-Meridian NY School District	Municipal Bond	H
Dallas TX GO	Municipal Bond	I
Palo Alto CA School Districts	Municipal Bond	F
Sugar Land TX GO	Municipal Bond	F
Central NM Community College	Municipal Bond	F
San Antonio TX GO Serial A	Municipal Bond	E
NYC GO Serial C Subser C1	Municipal Bond	F
Will & Kendall Counties IL School Districts	Municipal Bond	J
NYS Thruway Auth PIT Rev Serial A	Municipal Bond	E
NYC GO Subser A1	Municipal Bond	H
NYC GO Serial L	Municipal Bond	I
NYS Dorm Auth PIT Serial C	Municipal Bond	I
Oyster Bay NY GO	Municipal Bond	E
Monroe Twp NJ Middlesex County GO	Municipal Bond	E



Pennsylvania St GO Second Series	Municipal Bond	J
Illinois St GO	Municipal Bond	E
Texas St Transportation First Tier	Municipal Bond	H
NYC GO Serial E	Municipal Bond	E
NYS Dorm Auth PIT Rev A	Municipal Bond	E
Radnor Twp PA	Municipal Bond	F
NYS Dorm Auth PIT Rev A	Municipal Bond	H
Illinois St Sales Tax Rev	Municipal Bond	E
NYS Housing Finance Agency PIT Rev A	Municipal Bond	E
NYC Municipal Finance Authority Water and Sewer Rev Ser 8	Municipal Bond	J
NYC Municipal Finance Authority Water and Sewer Rev EE	Municipal Bond	E

