

**APPLICATION REQUESTING AN EXEMPTION FROM  
FILING A FINANCIAL DISCLOSURE STATEMENT**

NYS Joint Commission on Public Ethics  
540 Broadway  
Albany, New York 12207  
(518) 474-4522

*PLEASE PRINT OR TYPE ALL ANSWERS*

AGENCY/UNION EXEMPTION APPLICATION			
<b>NAME OF ORGANIZATION OR STATE AGENCY REQUESTING EXEMPTION:</b>			
<b>NAME OF INDIVIDUAL AUTHORIZED TO FILE REQUEST:</b>			
<b>TITLE:</b>			
<b>TELEPHONE NUMBER:</b>			
<b>STREET:</b>			
<b>CITY / STATE / ZIP:</b>			
<b>TITLE, TITLE CODE AND ANNUAL COMPENSATION OF THE POSITION FOR WHICH AN EXEMPTION IS REQUESTED:</b>	<b>TITLE:</b>		
	<b>TITLE CODE:</b>		
	<b>ANNUAL COMPENSATION:</b>		
<b>Previous exemption request denied:</b>		No	Yes (Year )
<b>Agency:</b>			
<b>Please check <i>Yes</i> or <i>No</i> for each of the following questions</b>			<b>YES</b>
			<b>NO</b>
Do the duties of the title involve the negotiation, authorization, or approval of contracts, leases, franchises, revocable consents, concessions, variances, special permits, or licenses (as defined in Section 73 of the Public Officers Law)?			<input type="checkbox"/>
Do the duties of the title involve the purchase, sale, rental or lease of real property, goods or services, or a contract therefor?			<input type="checkbox"/>
Do the duties of the title involve the obtaining of grants of money or loans?			<input type="checkbox"/>
Do the duties of the title involve the adoption or repeal of any rule or regulation having the force and effect of law?			<input type="checkbox"/>
A copy of this form and attachments must be filed with the appointing authority of the State agency or agencies where the title is located. Check yes to confirm this form has been filed with the State agency or agencies.			<input type="checkbox"/>
A copy of the title description must be attached. Check yes to confirm the title description is attached.			<input type="checkbox"/>
STATE ANY ADDITIONAL FACTS WHICH SUPPORT THE CONCLUSION THAT THE DUTIES OF THE TITLE DO NOT INVOLVE ANY OF THE DUTIES SET FORTH ABOVE.			
<b>SIGNATURE:</b>			<b>DATED:</b>
<b>ON BEHALF OF:</b>			

## GENERAL INSTRUCTIONS

- Make sure an official job specification for the title is attached.
- Provide a list of all agencies where such title is located, if known.
- Forward a copy of this form to all agencies where the title or job classification is located.
- Attach a statement to support the position that the title does not involve the duties which would otherwise preclude an exemption from filing a financial disclosure statement.
- An exemption request must be post-marked no later than May 15, 2013 for this Financial Disclosure Statement.