



**NEWS FROM:  
NEW YORK STATE JOINT COMMISSION ON PUBLIC ETHICS**

**FOR IMMEDIATE RELEASE:  
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**JOINT COMMISSION SENDS FINANCIAL DISCLOSURE ENFORCEMENT NOTICES TO  
CANDIDATES**

ALBANY (May 9, 2013) -- The Joint Commission on Public Ethics today announced that more than 35 Notices of Delinquency have been sent to State legislative candidates who failed to file financial disclosure statements as required by law. The notices are part of an enforcement process that could ultimately result in a fine of up to \$40,000 among other sanctions.

A Notice of Delinquency, a formal notice pursuant to Executive Law §94(12), affords filers 15 days to comply with the law or potentially face Commission enforcement proceedings. Under law, Notices of Delinquency are sent subsequent to an initial notice of failure to file. A list of candidates who failed to file during the 2012 election season is on the Commission website at <http://jcope.ny.gov/public/candidates.html>. None of the candidates receiving the notices were successful in the 2012 general elections.

Pursuant to State disclosure laws, individuals seeking election to statewide or State legislative seats are required to file financial disclosure statements within 10 days of becoming a candidate. Most others are required to file by May 15.

"Those in elected office representing New Yorkers and those seeking to do so are required to disclose information about their personal financial interests," said Commission Chair Daniel J. Horwitz. "Disclosure is a critical component to promoting trust in government and, as part of its mandate, the Commission expects compliance with State disclosure laws."

With limited exceptions, those required to file publicly-available financial disclosure statements include State officers and employees in policy-making positions or who make an annual salary of more than \$88,256, members of the Legislature, legislative employees, political party chairman in counties with a population of more than 300,000 and candidates for statewide office or a seat in the Legislature.

The Notices of Delinquency announced today are available on the commission website here <http://jcope.ny.gov/public/candidates.html>.